

**SYSTEM, METHOD, AND ARTICLE OF MANUFACTURE FOR
CONTROLLING DATA COLLECTION, MANIPULATION AND STORAGE
ON A NETWORK WITH SERVICE ASSURANCE CAPABILITIES**

FIELD OF THE INVENTION

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The present invention relates to the data collection control and more particularly to data collection, manipulation and storage on a network with service assurance capabilities.

BACKGROUND OF THE INVENTION

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A network system architecture enables multiple applications like voice, data and video to be mixed over the network that comprises switching nodes and communications links. Networks for these applications generally have been designed for a particular type of application, such as teleconferencing applications. Different types of applications, however, have diverse requirements.

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"Quality of Service" or "QoS" parameters in part define these requirements. In the case of ATM networks, such parameters may include errored cell ratio (ECR), cell loss ratio, fixed delay and delay variation parameters. Applications may also indicate a set of parameters called a "traffic contract" that relates to the bandwidth required from the network. The Errored Cell Ratio (ECR) value is the ratio of the ATM cells in error to all the ATM cells sent during some interval. The remaining parameters are described later. Each application may have a maximum or minimum acceptable value for each parameter and a requested value for each parameter.

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As previously indicated, networks are implemented with switching nodes and communications links. The communications links typically have been based upon

conventional telephony transmission systems and comprised fiber optic, microwave or wireline links. Fiber optic links transfer typically an error rate of 10^{-9} ; microwave and wireline connections, 10^{-7} . More recently, communications links have begun to comprise terrestrial and satellite mobile wireless
5 communications links and cable television systems. Each of these communications links are prone to introducing errors at significantly greater rates.

Some present ATM networks attempt to overcome such errors by implementing a transformation process in the form of a correction, or data manipulation, technique
10 at each switching node on a "per-communications link" basis. That is, the network is designed such that certain links operate with a transforming process that is not alterable on a dynamic basis. For example, it is fairly common that satellite systems have a single error correcting code that operates over the entire bit stream passing over the link, notwithstanding the specific application. Using error correcting codes,
15 such as forward error correction (FEC) codes, requires significant amounts of redundant information to be sent with each block of bits wherein a "block" may comprise a partial ATM cell, a single ATM cell or a plurality of ATM cells. This redundant information adds "overhead" to the transfer. This, in turn, reduces the bandwidth available for transporting an ATM cell. To be effective, an error
20 correcting code must also match the anticipated error burst characteristics of the transmission system; systems that are subject to longer bursts require larger codewords or interleaving or both. Interleaving adds significant amounts of fixed delay to that already present in the transmission system. Such fixed delays manifest themselves, for example, as awkward conversation and user discomfort in a
25 teleconferencing application or even as motion sickness and disorientation in a virtual reality application.

Despite the foregoing efforts, network failures are inevitable, and there is a need of monitoring network performance for the purpose of maintaining a predetermined
30 agreed upon QoS.

SUMMARY OF THE INVENTION

5 A system, method and article of manufacture are provided for controlling the collection, manipulation and storage of network performance data and network event data of a network with service assurance capabilities. Upon the receipt of an activation signal, a signal is transmitted to initiate the retrieving of network performance data and network event data generated from at least one network monitor. Such network monitor is adapted for monitoring a network system and the
10 relocating of the data into a common directory. A signal is then transmitted to initiate the manipulation of the data and the loading of the manipulated data into a database.

In one embodiment, the signal to initiate the manipulating and storing of the data initiates a controller program that transmits a signal to initiate the manipulation of
15 the data. Such controller program transmits a signal to initiate the calculation of statistics from the data, and further transmits a signal to initiate the assigning of key codes to the data.

In one aspect of the present invention, the controller program also transmits a signal to initiate the sorting of the data, and further transmits a signal to initiate the
20 concatenating of the data. Still yet, the controller program transmits a signal to initiate the loading of the data into the database.

As an option, the present invention may further transmit a signal to initiate a clean archive program for deleting files from an archive directory, a signal to initiate a table extract program for extracting data from tables stored in the database, a signal
25 to initiate a trigger reporting program for generating reports, a signal to initiate a purge record program for deleting records from the database, and a signal to initiate a database backup program for backing up data stored on the database.

BRIEF DESCRIPTION OF THE DRAWINGS

5 The invention will be better understood when consideration is given to the following detailed description thereof. Such description makes reference to the annexed drawings wherein:

Figure 1 is a schematic diagram of a hardware implementation of one embodiment of the present invention;

10 Figure 2 illustrates an embodiment of the present invention which provides service assurance for a network;

Figure 3 illustrates one embodiment of the present invention for dispatching network event information of a network with service assurance capabilities;

Figure 4 illustrates an embodiment of the present invention for assigning time-based identifiers to data stored in a database;

15 Figure 5 is a flowchart illustrating an embodiment of the present invention for collecting data;

Figure 6 is a flowchart that provides an overview of a data collection process of one embodiment of the present invention;

20 Figure 7 illustrates an embodiment of the present invention for retrieving and relocating event and performance data of a network with service assurance capabilities;

Figure 8 illustrates operation of the main data acquisition script (get_data.pl) for each entry in the configuration file (get_data.cfg) during data acquisition in accordance with one embodiment of the present invention;

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Figure 9 illustrates an exemplary configuration of an architecture of the present invention for manipulating data using a Patroller and an Event Controller and Manager;

5 Figure 10 depicts an embodiment of the present invention which generates an alarm to indicate a status of a network for service assurance purposes;

Figure 11 illustrates an embodiment of the present invention used to develop a database;

Figure 12 illustrates an exemplary database configuration chart of an embodiment of the present invention;

10 Figure 13 illustrates an exemplary report indicating required table space allotments in a database;

Figure 14 depicts an exemplary report which lists statistics relating to the data in the database in accordance with one embodiment of the present invention;

15 Figure 15 is an exemplary report indicating times to load various amounts of data in accordance with an exemplary embodiment of the present invention;

Figure 16 depicts an embodiment of the present invention which graphically conveys availability in a network with service assurance capabilities

Figure 17A depicts a main ad hoc screen for navigating when making an ad hoc request for a report in accordance with an embodiment of the present invention;

20 Figure 17B illustrates a graphical user interface for selecting a report type in accordance with one embodiment of the present invention;

Figure 18 illustrates a form which receives report criteria in accordance with one embodiment of the present invention;

25 Figure 19 illustrates exemplary batch reporting requirements in accordance with one embodiment of the present invention;

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Figure 20 lists generic report types;

Figure 21 shows exemplary ad hoc reporting requirements in accordance with one embodiment of the present invention;

5 Figure 22 illustrates sample metric information in accordance with an embodiment of the present invention;

Figure 23 depicts a line graph report in accordance with one embodiment of the present invention;

Figure 24 shows a line graph report in accordance with one embodiment of the present invention;

10 Figure 25 depicts a comparison box-plot report in accordance with an embodiment of the present invention;

Figure 26 illustrates a trend box-plot report in accordance with an embodiment of the present invention;

15 Figure 27 depicts a bar graph report in accordance with an embodiment of the present invention;

Figure 28 shows an availability spectrum report in accordance with an embodiment of the present invention;

Figure 29 illustrates an exception spectrum report in accordance with an embodiment of the present invention;

20 Figure 30 depicts an exception test report in accordance with an embodiment of the present invention;

Figure 31 illustrates an embodiment of the present invention which maps events on a network with service assurance capabilities; and

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Figure 32 depicts an exemplary data/directory structure in accordance with one embodiment of the present invention.

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DETAILED DESCRIPTION OF THE PREFERRED EMBODIMENTS

5 A preferred embodiment of a system in accordance with the present invention is preferably practiced in the context of a personal computer such as an IBM compatible personal computer, Apple Macintosh computer or UNIX based workstation. A representative hardware environment is depicted in Figure 1, which illustrates a typical hardware configuration of a workstation in accordance with a preferred embodiment having a central processing unit 110, such as a
10 microprocessor, and a number of other units interconnected via a system bus 112. The workstation shown in Figure 1 includes a Random Access Memory (RAM) 114, Read Only Memory (ROM) 116, an I/O adapter 118 for connecting peripheral devices such as disk storage units 120 to the bus 112, a user interface adapter 122 for connecting a keyboard 124, a mouse 126, a speaker 128, a microphone 132, and/or
15 other user interface devices such as a touch screen (not shown) to the bus 112, communication adapter 134 for connecting the workstation to a communication network (e.g., a data processing network) and a display adapter 136 for connecting the bus 112 to a display device 138. The workstation typically has resident thereon an operating system such as the Microsoft Windows NT or Windows/95 Operating
20 System (OS), the IBM OS/2 operating system, the MAC OS, or UNIX operating system. Those skilled in the art will appreciate that the present invention may also be implemented on platforms and operating systems other than those mentioned.

A preferred embodiment is written using JAVA, C, and the C++ language and
25 utilizes object oriented programming methodology. Object oriented programming (OOP) has become increasingly used to develop complex applications. As OOP moves toward the mainstream of software design and development, various software solutions require adaptation to make use of the benefits of OOP. A need exists for these principles of OOP to be applied to a messaging interface of an electronic
30 messaging system such that a set of OOP classes and objects for the messaging interface can be provided.

OOP is a process of developing computer software using objects, including the steps of analyzing the problem, designing the system, and constructing the program. An object is a software package that contains both data and a collection of related structures and procedures. Since it contains both data and a collection of structures and procedures, it can be visualized as a self-sufficient component that does not require other additional structures, procedures or data to perform its specific task. OOP, therefore, views a computer program as a collection of largely autonomous components, called objects, each of which is responsible for a specific task. This concept of packaging data, structures, and procedures together in one component or module is called encapsulation.

In general, OOP components are reusable software modules which present an interface that conforms to an object model and which are accessed at run-time through a component integration architecture. A component integration architecture is a set of architecture mechanisms which allow software modules in different process spaces to utilize each others capabilities or functions. This is generally done by assuming a common component object model on which to build the architecture. It is worthwhile to differentiate between an object and a class of objects at this point. An object is a single instance of the class of objects, which is often just called a class. A class of objects can be viewed as a blueprint, from which many objects can be formed.

OOP allows the programmer to create an object that is a part of another object. For example, the object representing a piston engine is said to have a composition-relationship with the object representing a piston. In reality, a piston engine comprises a piston, valves and many other components; the fact that a piston is an element of a piston engine can be logically and semantically represented in OOP by two objects.

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OOP also allows creation of an object that “depends from” another object. If there are two objects, one representing a piston engine and the other representing a piston engine wherein the piston is made of ceramic, then the relationship between the two objects is not that of composition. A ceramic piston engine does not make up a
5 piston engine. Rather it is merely one kind of piston engine that has one more limitation than the piston engine; its piston is made of ceramic. In this case, the object representing the ceramic piston engine is called a derived object, and it inherits all of the aspects of the object representing the piston engine and adds further limitation or detail to it. The object representing the ceramic piston engine
10 “depends from” the object representing the piston engine. The relationship between these objects is called inheritance.

When the object or class representing the ceramic piston engine inherits all of the aspects of the objects representing the piston engine, it inherits the thermal
15 characteristics of a standard piston defined in the piston engine class. However, the ceramic piston engine object overrides these ceramic specific thermal characteristics, which are typically different from those associated with a metal piston. It skips over the original and uses new functions related to ceramic pistons. Different kinds of piston engines have different characteristics, but may have the
20 same underlying functions associated with it (e.g., how many pistons in the engine, ignition sequences, lubrication, etc.). To access each of these functions in any piston engine object, a programmer would call the same functions with the same names, but each type of piston engine may have different/overriding implementations of functions behind the same name. This ability to hide different implementations of a
25 function behind the same name is called polymorphism and it greatly simplifies communication among objects.

With the concepts of composition-relationship, encapsulation, inheritance and polymorphism, an object can represent just about anything in the real world. In fact,
30 one’s logical perception of the reality is the only limit on determining the kinds of

things that can become objects in object-oriented software. Some typical categories are as follows:

- Objects can represent physical objects, such as automobiles in a traffic-flow simulation, electrical components in a circuit-design program, countries in an economics model, or aircraft in an air-traffic-control system.
- Objects can represent elements of the computer-user environment such as windows, menus or graphics objects.
- An object can represent an inventory, such as a personnel file or a table of the latitudes and longitudes of cities.
- An object can represent user-defined data types such as time, angles, and complex numbers, or points on the plane.

With this enormous capability of an object to represent just about any logically separable matters, OOP allows the software developer to design and implement a computer program that is a model of some aspects of reality, whether that reality is a physical entity, a process, a system, or a composition of matter. Since the object can represent anything, the software developer can create an object which can be used as a component in a larger software project in the future.

If 90% of a new OOP software program consists of proven, existing components made from preexisting reusable objects, then only the remaining 10% of the new software project has to be written and tested from scratch. Since 90% already came from an inventory of extensively tested reusable objects, the potential domain from which an error could originate is 10% of the program. As a result, OOP enables software developers to build objects out of other, previously built objects.

This process closely resembles complex machinery being built out of assemblies and sub-assemblies. OOP technology, therefore, makes software engineering more like hardware engineering in that software is built from existing components, which are available to the developer as objects. All this adds up to an improved quality of the software as well as an increased speed of its development.

Programming languages are beginning to fully support the OOP principles, such as encapsulation, inheritance, polymorphism, and composition-relationship. With the advent of the C++ language, many commercial software developers have embraced
5 OOP. C++ is an OOP language that offers a fast, machine-executable code.

Furthermore, C++ is suitable for both commercial-application and systems-programming projects. For now, C++ appears to be the most popular choice among many OOP programmers, but there is a host of other OOP languages, such as Smalltalk, Common Lisp Object System (CLOS), and Eiffel. Additionally, OOP
10 capabilities are being added to more traditional popular computer programming languages such as Pascal.

The benefits of object classes can be summarized, as follows:

- Objects and their corresponding classes break down complex programming
15 problems into many smaller, simpler problems.
- Encapsulation enforces data abstraction through the organization of data into small, independent objects that can communicate with each other. Encapsulation protects the data in an object from accidental damage, but allows other objects to interact with that data by calling the object's member
20 functions and structures.
- Subclassing and inheritance make it possible to extend and modify objects through deriving new kinds of objects from the standard classes available in the system. Thus, new capabilities are created without having to start from scratch.
- 25 • Polymorphism and multiple inheritance make it possible for different programmers to mix and match characteristics of many different classes and create specialized objects that can still work with related objects in predictable ways.
- Class hierarchies and containment hierarchies provide a flexible mechanism
30 for modeling real-world objects and the relationships among them.

- Libraries of reusable classes are useful in many situations, but they also have some limitations. For example:
- Complexity. In a complex system, the class hierarchies for related classes can become extremely confusing, with many dozens or even hundreds of classes.
- Flow of control. A program written with the aid of class libraries is still responsible for the flow of control (i.e., it must control the interactions among all the objects created from a particular library). The programmer has to decide which functions to call at what times for which kinds of objects.
- Duplication of effort. Although class libraries allow programmers to use and reuse many small pieces of code, each programmer puts those pieces together in a different way. Two different programmers can use the same set of class libraries to write two programs that do exactly the same thing but whose internal structure (i.e., design) may be quite different, depending on hundreds of small decisions each programmer makes along the way. Inevitably, similar pieces of code end up doing similar things in slightly different ways and do not work as well together as they should.

Class libraries are very flexible. As programs grow more complex, more programmers are forced to reinvent basic solutions to basic problems over and over again. A relatively new extension of the class library concept is to have a framework of class libraries. This framework is more complex and consists of significant collections of collaborating classes that capture both the small scale patterns and major mechanisms that implement the common requirements and design in a specific application domain. They were first developed to free application programmers from the chores involved in displaying menus, windows, dialog boxes, and other standard user interface elements for personal computers.

Frameworks also represent a change in the way programmers think about the interaction between the code they write and code written by others. In the early days of procedural programming, the programmer called libraries provided by the

operating system to perform certain tasks, but basically the program executed down the page from start to finish, and the programmer was solely responsible for the flow of control. This was appropriate for printing out paychecks, calculating a mathematical table, or solving other problems with a program that executed in just one way.

The development of graphical user interfaces began to turn this procedural programming arrangement inside out. These interfaces allow the user, rather than program logic, to drive the program and decide when certain actions should be performed. Today, most personal computer software accomplishes this by means of an event loop which monitors the mouse, keyboard, and other sources of external events and calls the appropriate parts of the programmer's code according to actions that the user performs. The programmer no longer determines the order in which events occur. Instead, a program is divided into separate pieces that are called at unpredictable times and in an unpredictable order. By relinquishing control in this way to users, the developer creates a program that is much easier to use. Nevertheless, individual pieces of the program written by the developer still call libraries provided by the operating system to accomplish certain tasks, and the programmer must still determine the flow of control within each piece after it's called by the event loop. Application code still "sits on top of" the system.

Even event loop programs require programmers to write a lot of code that should not need to be written separately for every application. The concept of an application framework carries the event loop concept further. Instead of dealing with all the nuts and bolts of constructing basic menus, windows, and dialog boxes and then making these things all work together, programmers using application frameworks start with working application code and basic user interface elements in place. Subsequently, they build from there by replacing some of the generic capabilities of the framework with the specific capabilities of the intended application.

Application frameworks reduce the total amount of code that a programmer has to write from scratch. However, because the framework is really a generic application that displays windows, supports copy and paste, and so on, the programmer can also relinquish control to a greater degree than event loop programs permit. The
5 framework code takes care of almost all event handling and flow of control, and the programmer's code is called only when the framework needs it (e.g., to create or manipulate a proprietary data structure).

A programmer writing a framework program not only relinquishes control to the
10 user (as is also true for event loop programs), but also relinquishes the detailed flow of control within the program to the framework. This approach allows the creation of more complex systems that work together in interesting ways, as opposed to isolated programs, having custom code, being created over and over again for similar problems.

15 Thus, as is explained above, a framework basically is a collection of cooperating classes that make up a reusable design solution for a given problem domain. It typically includes objects that provide default behavior (e.g., for menus and windows), and programmers use it by inheriting some of that default behavior and
20 overriding other behavior so that the framework calls application code at the appropriate times.

There are three main differences between frameworks and class libraries:

- Behavior versus protocol. Class libraries are essentially collections of
25 behaviors that you can call when you want those individual behaviors in your program. A framework, on the other hand, provides not only behavior but also the protocol or set of rules that govern the ways in which behaviors can be combined, including rules for what a programmer is supposed to provide versus what the framework provides.
- Call versus override. With a class library, the code the programmer
30 instantiates objects and calls their member functions. It's possible to

instantiate and call objects in the same way with a framework (i.e., to treat the framework as a class library), but to take full advantage of a framework's reusable design, a programmer typically writes code that overrides and is called by the framework. The framework manages the flow of control among its objects. Writing a program involves dividing responsibilities among the various pieces of software that are called by the framework rather than specifying how the different pieces should work together.

- Implementation versus design. With class libraries, programmers reuse only implementations, whereas with frameworks, they reuse design. A framework embodies the way a family of related programs or pieces of software work. It represents a generic design solution that can be adapted to a variety of specific problems in a given domain. For example, a single framework can embody the way a user interface works, even though two different user interfaces created with the same framework might solve quite different interface problems.

Thus, through the development of frameworks for solutions to various problems and programming tasks, significant reductions in the design and development effort for software can be achieved. A preferred embodiment of the invention utilizes HyperText Markup Language (HTML) to implement documents on the Internet together with a general-purpose secure communication protocol for a transport medium between the client and the Newco. HTTP or other protocols could be readily substituted for HTML without undue experimentation. Information on these products is available in T. Berners-Lee, D. Connolly, "RFC 1866: Hypertext Markup Language - 2.0" (Nov. 1995); and R. Fielding, H. Frystyk, T. Berners-Lee, J. Gettys and J.C. Mogul, "Hypertext Transfer Protocol -- HTTP/1.1: HTTP Working Group Internet Draft" (May 2, 1996). HTML is a simple data format used to create hypertext documents that are portable from one platform to another. HTML documents are SGML documents with generic semantics that are appropriate for representing information from a wide range of domains. HTML has been in use by the World-Wide Web global information initiative since 1990. HTML is an

application of ISO Standard 8879; 1986 Information Processing Text and Office Systems; Standard Generalized Markup Language (SGML).

To date, Web development tools have been limited in their ability to create dynamic Web applications which span from client to server and interoperate with existing computing resources. Until recently, HTML has been the dominant technology used in development of Web-based solutions. However, HTML has proven to be inadequate in the following areas:

- Poor performance;
- Restricted user interface capabilities;
- Can only produce static Web pages;
- Lack of interoperability with existing applications and data; and
- Inability to scale.

Sun Microsystem's Java language solves many of the client-side problems by:

- Improving performance on the client side;
- Enabling the creation of dynamic, real-time Web applications; and
- Providing the ability to create a wide variety of user interface components.

With Java, developers can create robust User Interface (UI) components. Custom "widgets" (e.g., real-time stock tickers, animated icons, etc.) can be created, and client-side performance is improved. Unlike HTML, Java supports the notion of client-side validation, offloading appropriate processing onto the client for improved performance. Dynamic, real-time Web pages can be created. Using the above-mentioned custom UI components, dynamic Web pages can also be created.

Sun's Java language has emerged as an industry-recognized language for "programming the Internet." Sun defines Java as: "a simple, object-oriented, distributed, interpreted, robust, secure, architecture-neutral, portable, high-performance, multithreaded, dynamic, buzzword-compliant, general-purpose programming language. Java supports programming for the Internet in the form of

platform-independent Java applets." Java applets are small, specialized applications that comply with Sun's Java Application Programming Interface (API) allowing developers to add "interactive content" to Web documents (e.g., simple animations, page adornments, basic games, etc.). Applets execute within a Java-compatible browser (e.g., Netscape Navigator) by copying code from the server to client. From a language standpoint, Java's core feature set is based on C++. Sun's Java literature states that Java is basically, "C++ with extensions from Objective C for more dynamic method resolution."

Another technology that provides similar function to JAVA is provided by Microsoft and ActiveX Technologies, to give developers and Web designers wherewithal to build dynamic content for the Internet and personal computers. ActiveX includes tools for developing animation, 3-D virtual reality, video and other multimedia content. The tools use Internet standards, work on multiple platforms, and are being supported by over 100 companies. The group's building blocks are called ActiveX Controls, small, fast components that enable developers to embed parts of software in hypertext markup language (HTML) pages. ActiveX Controls work with a variety of programming languages including Microsoft Visual C++, Borland Delphi, Microsoft Visual Basic programming system and, in the future, Microsoft's development tool for Java, code named "Jakarta." ActiveX Technologies also includes ActiveX Server Framework, allowing developers to create server applications. One of ordinary skill in the art readily recognizes that ActiveX could be substituted for JAVA without undue experimentation to practice the invention.

Overall Architecture

One embodiment of the present invention is composed of multiple software programs which are linked together to create an architecture which is capable of monitoring a network for events and checking system functions and resources. Such

events can include alarms, faults, alerts, etc. Other embodiments of the present invention may each include an individual software program.

Reports on system performance, errors, etc. can be generated and output. For example, the reports may depict to operators/administrators of the network what is
5 happening inside the network in real-time. This allows the administrators to respond to problems before disruptions to service occur.

Accordingly, Figure 2 illustrates an embodiment of the present invention which provides service assurance for a network. In operation 200, an alarm is generated to indicate a status of a network. Network event information of the network is
10 dispatched in operation 202 upon generation of the alarm after which the network event information is mapped in operation 204. The data collected on the status of the network is manipulated and stored in a database. See operations 206 and 208. In operation 210, availability of the network is conveyed graphically.

15 Collector

A collector may be used to collect system information including events and performance data and route pertinent portions of the system information to a notifier program which informs a system administrator of the system information. One example of a collector is Netcool® manufactured by Micromuse Inc., whose
20 headquarters are located at 139 Townsend St., San Francisco, CA 94107.

Notifier

In one aspect of the present invention, a notifier may form part of the architecture or may stand alone. The notifier is a configurable decision engine that determines how
25 to dispatch system information to users. Notification actions can include initiating alphanumeric pages, trouble tickets, log messages, and external scripts. The notifier is integrated closely with the collector and is able to forward alerts to specific

individuals based on the contents of the alert and the time/day it was. The notifier also allows an alert to trigger more than one type of notification. This enables functionality like creating a trouble ticket before paging so that the trouble ticket number can be sent along with an alphanumeric page.

- 5 The notifier creates integration between the Collector, and any of a number of other programs which may include an alphanumeric paging program and/or a trouble tickets generation program. Preferably, the notifier can be modified to integrate with existing systems. The notifier may be written in the PERL language.

Accordingly, Figure 3 illustrates one embodiment of the present invention for
10 dispatching network event information of a network with service assurance capabilities. In operation 300, a network is monitored for an event. Thereafter, in operation 302, at least one notification action is generated based upon the occurrence of the event. The notification action may include an alphanumeric page, an e-mail message, a resolution script, a remedy trouble ticket, and/or a log message. Further,
15 the notification action may be transmitted in operation 304 to notify a recipient about the occurrence of the event.

In one aspect of the present invention, the step of generating the notification action further includes the steps of: determining the type of event that occurred, and generating at least one notification action associated with the determined type of
20 event. Further, the order in which notification actions are generated upon the occurrence of the event may be based upon the determined type of event.

In another aspect of the present invention, the step of transmitting the notification action may further include the steps of: determining characteristics of the occurred event, selecting at least one recipient for receiving the notification action based upon
25 the determined characteristics of the occurred event, and transmitting the notification action to the selected recipient.

In still yet another aspect of the present invention, the step of transmitting the notification action may further include the step of determining the time of occurrence of the occurred event. Such selection of the recipient for receiving the

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notification action may be further based upon the occurrence time of the occurred event. In addition, the occurred event may be compared to a list of scheduled events such that the generation of the notification action may be inhibited when the occurred event matches of the listed scheduled events.

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Automation Programs

Automations are a configurable function in the collector. Automations enhance the collector's functionality by adding intelligence and/or automating tasks. Exemplary automations include:

- 10 • Fault/Resolution Pairing – each resolution alert received (e.g. Link Up) will trigger an automation to try and find its corresponding fault notification (Link Down, which would have been generated earlier) by matching the node and the problem uniquely. When a F/R pair is found, the resolution alert is removed and the fault alert is cleared (no longer a “critical” or “warning” status). Additional
15 information can be added to the alert including the duration of the fault.
- Event and Notification Logging – when a notification is sent out by the notifier, an automation can update the alert for which a notification was generated to indicate what action was taken (page, trouble ticket number, logged, etc;).
- 20 • Maintenance – all cleared alerts can be removed from the event list after a specified period, reducing distractions from the operators.

Data Acquisition

In another embodiment of the present invention, Data Acquisition scripts are programs which coordinate the collection and transfer of application logs to a central
25 location. Data Acquisition can be used so that log files containing performance statistics gathered by a monitoring program can be transferred to a central server for

processing by the Performance Data Manipulator (see below). The Data Acquisition scripts may be written in PERL

Performance Data Manipulator (PDM)

5 In an embodiment of the present invention, a PDM is a script that processes log files that have been collected by Data Acquisition in order to load the data into a database. The PDM converts the log files from formats specific to a particular monitoring program into a common format. PDM then formats the file based on data warehousing techniques which include converting nodes and performance
10 metrics to key codes which are stored in the database. The coded data file is then bulk loaded into the database. The PDM may be written in PERL.

Database Data Warehouse design

15 An embodiment of the present invention includes a database schema for storing and reporting on network element performance data. The schema should be built for the efficient storage, processing, and aging of performance data.

Time Key Preloader

20 The Time Key Preloader is a script that supports the efficient loading of data in the database. In prior art database systems, due to the use of "key codes" in the design of databases a unique time key needed to be created for each entry stored in the database. The original solution to this was to create a time key every time an entry was received. This is inefficient. One embodiment of the present invention includes the Time Key Preloader which pre-generates time keys for every second, minute,
25 five minutes, and/or hour, etc. of the day all at once using a direct interface to the database. Thus, the time key for the time an alert or metric is received becomes

associated with the alert or performance metric. The Time Key Preloader may be written in PERL.

Figure 4 illustrates an embodiment of the present invention for assigning time-based identifiers to data stored in a database. First, prior to receiving data, a plurality of time-based identifiers are generated in operation 400. Next, in operation 402, the time-based identifiers are saved. Upon receipt of data in operation 404, one of the appropriate time-based identifiers is assigned to the received data in operation 406. In operation 408, the received data is stored with the assigned time-based identifier in a database.

- 10 In one aspect of the present invention, the time-based identifiers are generated for every second, minute, and hour of every day at once. The time-based identifiers may be generated using an interface to the database. Further, the time-based identifiers may be stored in a database separate from that in which the data is stored.

- 15 In yet another aspect of the present invention, the received data relates to a parameter of a network. Further, the data may be stored for service assurance purposes on the network.

Event Correlator and Manager (ECM)

- 20 One embodiment of the present invention includes an event correlation application which uses behavior models to correlate network conditions, identify critical problems, filter out superfluous events and take appropriate actions. An example of an ECM is NerveCenter manufactured by Seagate Software, 920 Disc Drive, Scotts Valley, California 95067.

- 25 Patroller

An embodiment of the present invention includes a patroller which discover the environment of a network, continuously surveys related systems, and/or initiates alarms based on preset parameters. An example of a Patroller is BMC Patrol manufactured by BMC Software, BMC Software, Inc., 2101 CityWest Blvd.,
5 Houston, TX 77042-2827.

Alarm Definitions

Alarm Definitions are customizations to an ECM which allow for event correlation and alarm suppression. Preferably, the Alarm Definitions are specific to particular
10 networks in order to add automated intelligence for problem resolution and to reduce unneeded alarm traffic sent to operators.

Automation Scripts and Knowledge Modules

Automation scripts allow the automation of application and system management
15 tasks. Automation scripts may monitor application health and perform corrective actions when the status of an application changes. Other functions may include sending SNMP traps when specific conditions are detected in an application or system.

20 Reporting Interface Development, Customer Service Integration Module

One embodiment of the present invention provides the ability to correlate network events to individual customers (or providers in a Managed Network Services world) and notify customer service representatives of known outages affecting customers through a web interface. This allows proactive notification to customers of
25 problems that affect them as well as builds confidence in customers calling to report problems that the provider is aware of.

DATA COLLECTION

5 Data Collection Overview

Referring to Figure 5, in one embodiment of the present invention, an activation signal is received in operation 500. Upon receipt of the activation signal, a signal is transmitted in operation 502 to initiate the retrieving of network performance data and network event data generated from at least one network monitor. Such network monitor is adapted for monitoring a network system and the relocating of the data into a common directory. Then, in operation 504, the signal is transmitted to initiate the manipulation of the data and the loading of the manipulated data into a database.

In one embodiment, the signal to initiate the manipulating and storing of the data initiates a controller program that transmits a signal to initiate the manipulation of the data. Such controller program transmits a signal to initiate the calculation of statistics from the data, and further transmits a signal to initiate the assigning of key codes to the data.

In another aspect of the present invention, the controller program also transmits a signal to initiate the sorting of the data, and further transmits a signal to initiate the concatenating of the data. Still yet, the controller program transmits a signal to initiate the loading of the data into the database.

As an option, the present invention may further transmit a signal to initiate a clean archive program for deleting files from an archive directory, a signal to initiate a table extract program for extracting data from tables stored in the database, a signal to initiate a trigger reporting program for generating reports, a signal to initiate a purge record program for deleting records from the database, and a signal to initiate a database backup program for backing up data stored on the database.

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The cron process starts one script, `sa_master_process_control.pl` 606, which then begins the rest of the processes

sa_master_process_control.pl

The sa_master_process_control.pl script 606 does not actually move or manipulate any of the data files, but instead starts other scripts which will move and manipulate the data to the appropriate locations and into the required formats. There are 8 sequential steps to this process, each beginning after the previous has finished. The steps are as follows:

1. clean.pl 608

2. get_data.pl

The get_data.pl script 610 is designed to move files from the systems where the data is being collect to the /sa directory structure where it will then be further processed. There are three options that this script can do. The three options are (a) to move files locally on a Unix system, (b) to move files from a remote Unix system, and (c) to move files from a remote NT system.

The script gets its settings from a configuration file named get_data.cfg 612. The most important part is the configuration section for each application:

```
# ***** Host Identification Section *****
```

```
#$NodeName~$Location~$SourcePath~$SourceExt~$TargetPath~$TmpExt~$MoveMethod~$Archive~#$ArchivePath~$ArchiveExt~$TransferType~$Platform~$TargetExt~$DestHost~$LogFile~$UnixRemoteScript
```

```
#####Example#####  
***
```

```
nsmmws16~remote~/opt/PATROLLER/Solaris25-sun4/remote/~.dat~/sa/dev/dat/process/~.tmp~move~yes~/opt/PATROLLER3.2/Solaris25-sun4/remote/~.old~ftp~Un
```

ix~.pat~twmmdb02~/files0/home/noc/bin/UnixRemote.log~/files0/home/noc/bin/UnixRemote.pl

Use the definition above and the example as a guide on adding more applications and host systems.

- 5 For moving files remotely from a Unix system, the **UnixRemote.pl** script 614 is called using remsh. Once UnixRemote.pl finishes, the verify.cmp file is used to make sure that all the files were properly transferred.

UnixRemote.pl

- 10 UnixRemote.pl 614 is called using remsh on the system which the files are to be moved. It first deletes the *.old files, which are the data files from the previous day. Then the current data files are renamed to \$node_\$date_*. \$extension. A verify.cmp file is created to later verify that all the files are transferred. The *. \$extension files and the verify.cmp file are then transferred to the /sa directory structure, usually by ftp. Once that's done, the old files are archived by change \$extension to
15 \$extension.old, which flags them for deletion the next day.

3. extract 616

4. data_manip_wrapper.pl

- 20 The **data_manip_wrapper.pl** script 618 controls the action manipulation of the data by calling a number of subscripts, each performing it's own function. Each script is called when the previous script has finished.

data_manip_stage1.pl

- The **data_manip_stage1.pl** script 620 is the main script which massages the data.
25 If new applications are added, this is the script which will need to be modified. This

script takes the individual data files in the /sa directory structure, reads in the **data_manip_stage1.cfg** configuration files, manipulates the data so that it's in the format defined by **data_manip_stage1.cfg**, and then outputs files in the form of **\$element.element**.

5 **calculate_stats.pl**

The **calculate_stats.pl** script 622 calculates the mean, max, min, sample, and standard deviation for each .element file. The output of the script is **\$element.element.stage2** and **\$element.element.stat** for each .element file.

key_codes.pl

- 10 The **key_codes.pl** script 624 uses *perf_metric_tb.ext* and *network_element_tb.ext* to look up the codes associated with the node or element being monitored. It assigns the key code as the name of the file (i.e. *keycode.element.stage2* and *keycode.element.stat*). It also produces *perf_metric_time_tb.dat*.

sort_elmnt_files.pl

- 15 The **sort_elmnt_files.pl** 626 sorts all of the *keycode.element.stage2* and *keycode.element.stat* files and renames them *keycode.element.stage2.sorted* and *keycode.element.stat.sorted*.

union_all.pl

- 20 The **union_all.pl** script 628 takes the *keycode.element.stage2.sorted* files and concatenates them into *perf_fact_tb.dat* and the *keycode.element.stat.sorted* files and concatenates them into *perf_fact_dly_tb.dat*.

move.pl

The **move_all.pl** script 630 moves *perf_fact_tb.dat*, *perf_fact_dly_tb.dat* and *perf_metric_time_tb.dat* to /files6/ipsa/data_loads/data_files.

5. data_2_db.pl 632

6. purge_records.pl 634

5

7. backup.pl 636

8. trigger_reporting.pl 638

10 **Call Script**

This script does system calls and utilizes FTP to run other scripts. It calls the scripts in a specific order and logs successes and failures.

Scripts on other machines

- 15 If scripts need to be run on other machines, FTP should be used. With FTP, a configuration file is needed to house user and password information. Also, an account may have to be set up on that machine to be able to run that script.

NT Account

- 20 From the NT host:

Open Microsoft Internet Information Service (IIS), from there open Internet Services Manager

Right-Click on SA Ftp Site. Click on home directory and make sure this is share, not local. Add path, Network Share path, and make sure that Write and Log Access is accessed.

5 In the Security Accounts tab, make sure that the radio button for "Not allow anonymous connections is clicked.

In the FTP Site tab, make sure that the connections are limited to 1.

In the Directory Security tab, make sure the radio button for Denied Access is clicked and add the domain for the server that is attempting the FTP.

10 UNIX

The user and password being used to FTP must be supported by the system being accessed.

FTP example

15 #

Trigger daily reporting

#Create \$ReportingScript in /sa/dev/dat/tmp with string "This file is used to trigger the daily reporting process"

20 open (FILE, >\$SACCommon::SATempDir\${ReportingScript}) || die "not able to open \$ReportingScript (\$!)";

print FILE "This file is used to trigger the daily reporting process";

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#Transfer the file to \$NTHost

\$ftp = Net::FTP->new("\$NTHost");

\$ftp->login("\$User", "\$Password");

\$ftp->cwd("\$NTPath");

5 \$Return = \$ftp->put("\$SACCommon::SATempDir\${ReportingScript}",
"\$NTPath\${ReportingScript}");

\$ftp->quit;

#Process the return value

10 if (0 != \$Return) {

&SACCommon::WriteLog("FTP of \$ReportingScript failed");

}elseif (0 == \$Return) {

&SACCommon::WriteErrorLog("FTP of \$ReportingScript was successful");

}

15

#Delete \$ReportingScripts file from /sa/dev/dat/tmp

close (FILE);

unlink (\$SACCommon::SATempDir\${ReportingScript});

20 Scripts on Local machines

554777.227.460

[illegible]

#

5

```
if (0 != $Return) {
```

```
}elseif (0 == $Return) {
```

10

}

1. Cleanup Utility 608

15

4. Data Manipulation 618

6. IPSA_ProcessTheBatchQueue.txt (Reporting SPSS) 638

20

8. Backup 636

get_data.pl script

The get_data.pl script, along with the get_data.cfg file, retrieves data from both local
5 and remote hosts and relocates it in a common directory. The get_data.pl script also
uses the UnixRemote.pl script, which resides on the remote UNIX machines from
which data is being acquired. A similar script could also be designed for use with
remote NT machines. The get_data.cfg file is the configuration file used to define
all program specific information and parameters. There are additional variables and
10 settings defined in the SACCommon.pm Perl module, which is located in
/sa/usr/mod/. In general, SACCommon.pm contains global variables and sub-
routines.

Configuration File (get_data.cfg):

15 The following is an example of what the configuration file entries look like. Each
line is read by get_data.pl and then acted on according to the logic defined in the
script.

***** Host Identification Section (continuous line)

20 *****

\$NodeName~\$Location~\$SourcePath~\$SourceExt~\$TargetPath~\$TmpExt~\$Move
Method~\$Archive~

\$ArchivePath~\$ArchiveExt~\$TransferType~\$Platform~\$TargetExt~\$DestHost~\$L
ogFile~\$UnixRemoteScript

#####Example (continuous
line)#####

nsmmwsl6~remote~/opt/PATROLLER/Solaris25sun4/remote/test/~.dat~/sa/dev/d
/process/~.tmp~

5 move~yes~/opt/PATROLLER/Solaris25sun4/remote/test/~.old~ftp~Unix~.pat~twm
mdb02~/files0/home/noc/bin/UnixRemote.log~/files0/home/noc/bin/UnixRemote.pl

The information contained in each entry is unique to the data being retrieved. The
"~" character is used as field delimiter throughout the file. Following is a description
10 of the fields that must be defined for each entry:

- \$NodeName – DNS name or alias for the local / remote host from which files need to be collected.
- \$Location – Options include "local" or "remote".
- \$SourcePath – Complete source path designating the directory from which data
15 will be retrieved.
- \$SourceExt – File extension used to designate which files need to be collected.
- \$TargetPath – Complete target path designating the destination directory, on \$DestHost, where files should be transferred to.
- \$TmpExt
- 20 • \$MoveMethod
- \$Archive
- \$ArchivePath
- \$ArchiveExt

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- \$TransferType
 - \$Platform
 - \$TargetExt
 - \$DestHost - DNS name or alias for the host where files will to be transferred to.
- 5 It is not necessary for the destination host to be the system calling get_data.pl.
- \$LogFile
 - \$UnixRemoteScript

10 There is a single variable, \$ScriptName, defined locally within get_data.pl. It is recommended for use with the WriteErrorLog and WriteLog sub-routines provided in SACCommon.pm. This is a static variable, and should not need to be changed unless the name of the script is changed.

Data acquisition local and remote

15 Figure 7 illustrates an embodiment of the present invention for retrieving and relocating event and performance data of a network with service assurance capabilities. First, in operation 700, a data file is obtained from a host. The data file includes event data collected on a network and/or performance data collected on the network. In operation 702, a verification control file is created that is associated
20 with the data file. The data file is renamed in operation 704 and copied to a target directory in operation 706. Thereafter, the copying of the renamed data file is verified with the verification control file in operation 708.

In one aspect of the present invention, information is added to the renamed data file relating to host name, date, and application extension. Further, the type of host is

determined from which the data file was obtained. The type of host is selected from a local host and/or a remote host.

In another aspect of the present invention, a platform of the determined host is determined when the host is determined to be a remote host. Further, the
5 verification control file may be copied with the renamed data file to the target directory. In addition, the data file may be archived.

In yet another embodiment, previously archived data files may be deleted. Further, a move method may be determined for the data file. A temporary copy of the data file may be created based on the move method. Such move method includes moving the
10 data file and/or copying the data file.

Figure 8 illustrates operation of the main data acquisition script (get_data.pl) 800 for each entry in the configuration file (get_data.cfg) 802. As shown in Figure 8, the main data acquisition script (get_data.pl) reads the configuration file (get_data.cfg)
15 once, at the beginning of processing, and stores necessary information in memory. Specifically, user and password are stored as variables, and the host entries are stored as an array. Each host entry is then processed in order:

1. If the data files are local, the get_data.pl script will execute the following steps.
20
 - Remove old archived files. 804
 - If the files are to be moved, they are renamed with .tmp. 806
 - Parse the system data and reconfigure for file renaming. 808
 - Rename the files, add machine name, date and application extension. 810
 - Copy the files to the target directory. 812
 - 25 • Archive the moved files, rename by appending .old to the file name. 814

UnixRemote.pl will execute and perform the following steps remotely.

- Delete old archived files. 816
 - If the files are to be moved, they are renamed with .tmp. 818
 - Parse the system data and reconfigure for file renaming. 820
 - Rename the files, add machine name, date and application extension. 822
 - Ftp the files to the target directory and create a verification file. 824
 - Archive the moved files, rename by appending .old to the file name. 826
 - It returns to get_data.pl and get_data.pl continues to execute the verification of the FTP. (To be able to execute FTP commands, the Net::FTP Perl module must be used). 828
3. If the data files are remote and the platform is NT, the remote shell command will be used to run a script that will reside on the remote machine. The script will execute the following steps remotely.
- Delete old archived files. 830
 - If the files are to be moved, they are renamed with .tmp. 832
 - Parse the system data and reconfigure for file renaming. 834
 - Rename the files, add machine name, date and application extension. 836
 - Ftp the files to the target directory and create a verification file. 838

- Archive the moved files, rename by appending .old to the file name. 840
- It returns to get_data.pl and get_data.pl continues to execute the verification of the FTP. (To be able to execute FTP commands, the Net::FTP Perl module must be used). 842

5

Data Manipulation Scripts

The scripts contained in the general function of data manipulation are:

data_manip_stage1.pl, calculate_stats.pl, key_codes.pl, sort_elmnt_files.pl,
union_all.pl and move_all.pl. The combination of these scripts takes the data from
10 /sa/dev/dat/process, manipulates it and performs mathematical computations on it
then moves it to the /files6/ipsa/data_loads/data_files so that the SQL loader can
load it into the database.

Detailed Description of Modules

15 data_manip_stage.pl

The *data_manip_stage1.pl* script is the main script which manipulates the data. If
new applications are added, this will need to be modified. This script takes the
individual data files in the configuration files, manipulates the data so that it is in the
format defined by *data_manip_stage1.cfg* and then outputs files in the form of
20 *<element>.element*. This manipulation of data has several steps:

- Filters out records that contain metrics we do not use for reporting,
- Filters out fields we do not use for reporting
- Reorders the fields we do want into the order *<element>*, *<metric>*,
<instance>, *<date-time stamp>*, *<value>*

- Converts raw metric names to standard metric names (according to a table)
- Converts Date Time Stamps to Standard

calculate_stats.pl

5 The *calculate_stats.pl* script calculates the mean, maximum, minimum, sample size, and standard deviation for each metric in each *.element file. The output of the script is <element>.element.stage2 and <element>.element.stat for each .element file. The format of the *.stat files is <element>, <metric>, <instance>, <min>, <max>, <mean>, <standard dev>, <sample size>.

key_codes.pl

The *key_codes.pl* script uses *perf_metric_tb.ext* and *network_element_tb.ext* to look up the codes associated with the node or element being monitored. It assigns the key code to the name of the file in place of the element name (i.e.

15 `<keycode>.element.stage2` and `<keycode>.element.stat`). It also produces *perf_metric_time_tb.dat*, which is a list of all of the unique date-time stamps appearing in the raw data and their corresponding epoch times (the number of seconds since Jan 1, 1970)

20 sort_elmnt_files.pl

The `sort_elmnt_files.pl` sorts all of the `<keycode>.element.stage2` and `<Keycode>.element.stat` files and renames them `<keycode>.element.stage2.sorted` and `<keycode>.element.stat.sorted`.

union_all.pl

The union_all.pl script takes the <keycode>.element.stage2.sorted files and concatenates them into perf_fact_tb.dat and the <keycode>.element.stat.sorted files and concatenates them into perf_fact_dly_tb.dat.

5

move_all.pl

The move_all.pl script moves *perf_fact_tb.dat*, *perf_fact_dly_tb.dat* and *perf_metric_time_tb.dat* to /files6/ipsa/data_loads/data_files.

10 ***Data Manipulator Sample Raw Data***

Patroller Data

File 1: nsmmpe29_1999_902194516.dat

15 „nsmmpe29,HISTORY_Propagator,HISTORY_Propagator,GetHistoryData,1999-08-03 19:35:04,0

„nsmmpe29,NT_CPU,CPU_0,CPUprcrProcessorTimePercent,1999-08-03 19:42:51,1.83103

„nsmmpe29,NT_CPU,CPU_0,CPUprcrProcessorTimePercent,1999-08-03

20 19:57:51,2.2381

„nsmmpe29,NT_CPU,CPU_0,CPUprcrProcessorTimePercent,1999-08-03 20:12:52,3.98922

66E22F" 44F460

„nsmmpe29,NT_CPU,CPU_0,CPUprcrProcessorTimePercent,1999-08-03
20:27:52,1.52942

„nsmmpe29,NT_MEMORY,NT_MEMORY,MEMmemAvailableBytes,1999-08-03
19:34:41,4.14453

5 „nsmmpe29,NT_MEMORY,NT_MEMORY,MEMmemAvailableBytes,1999-08-03
19:49:41,2.4375

„nsmmpe29,NT_MEMORY,NT_MEMORY,MEMmemAvailableBytes,1999-08-03
20:04:41,1.85156

10 „nsmmpe29,NT_MEMORY,NT_MEMORY,MEMmemAvailableBytes,1999-08-03
20:19:41,1.19531

„nsmmpe29,NT_MEMORY,NT_MEMORY,MEMmemAvailableBytes,1999-08-03
20:34:41,1.14844

„nsmmpe29,NT_NETWORK,2,NETniPktsPerSec,1999-08-03 19:46:55,10.9169

„nsmmpe29,NT_NETWORK,2,NETniPktsPerSec,1999-08-03 20:01:55,11.5108

15 „nsmmpe29,NT_NETWORK,2,NETniPktsPerSec,1999-08-03 20:16:55,11.514

„nsmmpe29,NT_NETWORK,2,NETniPktsPerSec,1999-08-03 20:31:55,12.0128

File 2: nsmmws09_1999_901881775.dat

„nsmmws09,CPU,CPU,CPUCpuUtil,1999-07-31 04:46:42,4

20 „nsmmws09,CPU,CPU,CPUCpuUtil,1999-07-31 05:01:47,2

„nsmmws09,CPU,CPU,CPUCpuUtil,1999-07-31 05:16:52,3

„nsmmws09,CPU,CPU,CPUCpuUtil,1999-07-31 05:31:57,2

662327 " 2272460

662227 2272460

„nsmmws09,DISK,sd4,DSKPercentBusy,1999-07-31 04:42:14,0
„nsmmws09,DISK,sd4,DSKPercentBusy,1999-07-31 04:57:14,4
„nsmmws09,DISK,sd4,DSKPercentBusy,1999-07-31 05:12:15,0
„nsmmws09,DISK,sd4,DSKPercentBusy,1999-07-31 05:27:15,0
5 „nsmmws09,MEMORY,MEMORY,MEMFreeMem,1999-07-31 04:46:42,37184
„nsmmws09,MEMORY,MEMORY,MEMFreeMem,1999-07-31 05:01:47,37172
„nsmmws09,MEMORY,MEMORY,MEMFreeMem,1999-07-31 05:16:52,37168
„nsmmws09,MEMORY,MEMORY,MEMFreeMem,1999-07-31 05:31:57,37168
„nsmmws09,NETWORK,le0,NETPacketsIn,1999-07-31 04:43:05,6660
10 „nsmmws09,NETWORK,le0,NETPacketsIn,1999-07-31 04:58:06,6423
„nsmmws09,NETWORK,le0,NETPacketsIn,1999-07-31 05:13:06,6822
„nsmmws09,NETWORK,le0,NETPacketsIn,1999-07-31 05:28:07,6285
„nsmmws09,NETWORK,le0,NETPacketsOut,1999-07-31 04:43:05,168
„nsmmws09,NETWORK,le0,NETPacketsOut,1999-07-31 04:58:06,118
15 „nsmmws09,NETWORK,le0,NETPacketsOut,1999-07-31 05:13:06,199
„nsmmws09,NETWORK,le0,NETPacketsOut,1999-07-31 05:28:07,118

File 3: twmmnt02_1999_901997338.dat

„twmmnt02,HISTORY_Propagator,HISTORY_Propagator,GetHistoryData,1999-
20 08-01 12:48:56,0

„twmmnt02,NT_CPU,CPU_0,CPUprcrProcessorTimePercent,1999-08-01

13:02:42,0.835579

„twmmnt02,NT_CPU,CPU_0,CPUprcrProcessorTimePercent,1999-08-01

13:17:42,0.776552

5 „twmmnt02,NT_CPU,CPU_0,CPUprcrProcessorTimePercent,1999-08-01

13:32:42,0.795792

„twmmnt02,NT_CPU,CPU_0,CPUprcrProcessorTimePercent,1999-08-01

13:47:42,0.786594

„twmmnt02,NT_MEMORY,NT_MEMORY,MEMmemAvailableBytes,1999-08-01

10 13:02:49,21.4688

„twmmnt02,NT_MEMORY,NT_MEMORY,MEMmemAvailableBytes,1999-08-01

13:17:49,21.5703

„twmmnt02,NT_MEMORY,NT_MEMORY,MEMmemAvailableBytes,1999-08-01

13:32:49,16.7617

15 „twmmnt02,NT_MEMORY,NT_MEMORY,MEMmemAvailableBytes,1999-08-01

13:47:49,16.8359

„twmmnt02,NT_NETWORK,2,NETniPcktsPerSec,1999-08-01 13:02:40,14.9904

„twmmnt02,NT_NETWORK,2,NETniPcktsPerSec,1999-08-01 13:17:40,15.0483

„twmmnt02,NT_NETWORK,2,NETniPcktsPerSec,1999-08-01 13:32:40,14.8512

20 „twmmnt02,NT_NETWORK,2,NETniPcktsPerSec,1999-08-01 13:47:40,15.0225

„twmmnt02,NT_PHYSICAL_DISKS,DISK_0,PDpdDiskTimePercent,1999-08-01

13:02:40,0

„twmmnt02,NT_PHYSICAL_DISKS,DISK_0,PDpdDiskTimePercent,1999-08-01

13:17:40,0

66E22F" / / F 450

„twmmnt02,NT_PHYSICAL_DISKS,DISK_0,PDpdDiskTimePercent,1999-08-01
13:32:40,0

„twmmnt02,NT_PHYSICAL_DISKS,DISK_0,PDpdDiskTimePercent,1999-08-01
13:47:40,0

5

Event Correlation and Manager Data

File 1: ifdata.log

Time=08/11/1999 09:39:27 Tue, LogId=22248, Severity=Normal,
PropertyGroup=SA_Router_Collection_Group, Node=nsmmrt04e2-1,
10 Alarm=IfDataLogger, Ostate=Ground, Trigger=ifData, Nstate=Ground, TrapTime=
, GenericTrapNumber=, Enterprise=, SpecificTrapNumber=, Instance= 26, Object=
ifEntry, Attribute ifSpeed=100000000 Attribute ifInOctets=464859949 Attribute
ifOutOctets=312935185

Time=08/11/1999 09:39:27 Tue, LogId=22249, Severity=Normal,
15 PropertyGroup=SA_Router_Collection_Group, Node=nsmmrt04e2-1,
Alarm=IfDataLogger, Ostate=Ground, Trigger=ifData, Nstate=Ground, TrapTime=
, GenericTrapNumber=, Enterprise=, SpecificTrapNumber=, Instance= 27, Object=
ifEntry, Attribute ifSpeed=100000000 Attribute ifInOctets=0 Attribute
ifOutOctets=0

20 Time=08/11/1999 09:39:27 Tue, LogId=22250, Severity=Normal,
PropertyGroup=SA_Router_Collection_Group, Node=nsmmrt04e2-1,
Alarm=IfDataLogger, Ostate=Ground, Trigger=ifData, Nstate=Ground, TrapTime=
, GenericTrapNumber=, Enterprise=, SpecificTrapNumber=, Instance= 28, Object=
ifEntry, Attribute ifSpeed=100000000 Attribute ifInOctets=0 Attribute
25 ifOutOctets=0

Time=08/11/1999 09:39:27 Tue, LogId=22251, Severity=Normal,
PropertyGroup=SA_Router_Collection_Group, Node=nsmmrt04e2-1,

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Alarm=IfDataLogger, Ostate=Ground, Trigger=ifData, Nstate=Ground, TrapTime=
, GenericTrapNumber=, Enterprise=, SpecificTrapNumber=, Instance= 29, Object=
ifEntry, Attribute ifSpeed=3705032704 Attribute ifInOctets=0 Attribute
ifOutOctets=0

5 Time=08/11/1999 09:39:27 Tue, LogId=22252, Severity=Normal,
PropertyGroup=SA_Router_Collection_Group, Node=nsmmrt03,
Alarm=IfDataLogger, Ostate=Ground, Trigger=ifData, Nstate=Ground, TrapTime=
, GenericTrapNumber=, Enterprise=, SpecificTrapNumber=, Instance= 1, Object=
ifEntry, Attribute ifSpeed=10000000 Attribute ifInOctets=1522731264 Attribute
10 ifOutOctets=2554292181

Time=08/11/1999 09:39:27 Tue, LogId=22253, Severity=Normal,
PropertyGroup=SA_Router_Collection_Group, Node=nsmmrt03,
Alarm=IfDataLogger, Ostate=Ground, Trigger=ifData, Nstate=Ground, TrapTime=
, GenericTrapNumber=, Enterprise=, SpecificTrapNumber=, Instance= 2, Object=
15 ifEntry, Attribute ifSpeed=10000000 Attribute ifInOctets=0 Attribute ifOutOctets=0

Time=08/11/1999 09:39:27 Tue, LogId=22254, Severity=Normal,
PropertyGroup=SA_Router_Collection_Group, Node=nsmmrt03,
Alarm=IfDataLogger, Ostate=Ground, Trigger=ifData, Nstate=Ground, TrapTime=
, GenericTrapNumber=, Enterprise=, SpecificTrapNumber=, Instance= 3, Object=
20 ifEntry, Attribute ifSpeed=10000000 Attribute ifInOctets=0 Attribute ifOutOctets=0

Time=08/11/1999 09:39:27 Tue, LogId=22255, Severity=Normal,
PropertyGroup=SA_Router_Collection_Group, Node=nsmmrt03,
Alarm=IfDataLogger, Ostate=Ground, Trigger=ifData, Nstate=Ground, TrapTime=
, GenericTrapNumber=, Enterprise=, SpecificTrapNumber=, Instance= 4, Object=
25 ifEntry, Attribute ifSpeed=10000000 Attribute ifInOctets=0 Attribute ifOutOctets=0

Time=08/11/1999 09:39:27 Tue, LogId=22256, Severity=Normal,
PropertyGroup=SA_Router_Collection_Group, Node=nsmmrt03,
Alarm=IfDataLogger, Ostate=Ground, Trigger=ifData, Nstate=Ground, TrapTime=
, GenericTrapNumber=, Enterprise=, SpecificTrapNumber=, Instance= 5, Object=

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ifEntry, Attribute ifSpeed=10000000 Attribute ifInOctets=958056 Attribute
ifOutOctets=3610555

File 2: lsystemdata.log

- 5 *Time=08/11/1999 09:18:26 Tue, LogId=22153, Severity=Normal,
PropertyGroup=SA_Router_Collection_Group, Node=nsmmrt04e2-1,
Alarm=lsystemDataLogger, Ostate=Ground, Trigger=lsystemData,
Nstate=Ground, TrapTime= , GenericTrapNumber= , Enterprise= ,
SpecificTrapNumber= ,Instance= 0, Object= lsystem, Attribute busyPer=4*
- 10 *Time=08/11/1999 09:18:28 Tue, LogId=22154, Severity=Normal,
PropertyGroup=SA_Router_Collection_Group, Node=nsmmrt03,
Alarm=lsystemDataLogger, Ostate=Ground, Trigger=lsystemData,
Nstate=Ground, TrapTime= , GenericTrapNumber= , Enterprise= ,
SpecificTrapNumber= ,Instance= 0, Object= lsystem, Attribute busyPer=4*
- 15 *Time=08/11/1999 09:33:38 Tue, LogId=22219, Severity=Normal,
PropertyGroup=SA_Router_Collection_Group, Node=nsmmrt04e2-1,
Alarm=lsystemDataLogger, Ostate=Ground, Trigger=lsystemData,
Nstate=Ground, TrapTime= , GenericTrapNumber= , Enterprise= ,
SpecificTrapNumber= ,Instance= 0, Object= lsystem, Attribute busyPer=2*
- 20 *Time=08/11/1999 09:33:40 Tue, LogId=22220, Severity=Normal,
PropertyGroup=SA_Router_Collection_Group, Node=nsmmrt03,
Alarm=lsystemDataLogger, Ostate=Ground, Trigger=lsystemData,
Nstate=Ground, TrapTime= , GenericTrapNumber= , Enterprise= ,
SpecificTrapNumber= ,Instance= 0, Object= lsystem, Attribute busyPer=7*
- 25 *Time=08/11/1999 09:48:43 Tue, LogId=22283, Severity=Normal,
PropertyGroup=SA_Router_Collection_Group, Node=nsmmrt04e2-1,
Alarm=lsystemDataLogger, Ostate=Ground, Trigger=lsystemData,*

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*Nstate=Ground, TrapTime= , GenericTrapNumber= , Enterprise= ,
SpecificTrapNumber= ,Instance= 0, Object= lsystem, Attribute busyPer=2*

*Time=08/11/1999 09:48:45 Tue, LogId=22284, Severity=Normal,
PropertyGroup=SA_Router_Collection_Group, Node=nsmmrt03,*

5 *Alarm=lsystemDataLogger, Ostate=Ground, Trigger=lsystemData,*

*Nstate=Ground, TrapTime= , GenericTrapNumber= , Enterprise= ,
SpecificTrapNumber= ,Instance= 0, Object= lsystem, Attribute busyPer=10*

*Time=08/11/1999 10:03:49 Tue, LogId=22347, Severity=Normal,
PropertyGroup=SA_Router_Collection_Group, Node=nsmmrt04e2-1,*

10 *Alarm=lsystemDataLogger, Ostate=Ground, Trigger=lsystemData,*

*Nstate=Ground, TrapTime= , GenericTrapNumber= , Enterprise= ,
SpecificTrapNumber= ,Instance= 0, Object= lsystem, Attribute busyPer=4*

*Time=08/11/1999 10:03:51 Tue, LogId=22348, Severity=Normal,
PropertyGroup=SA_Router_Collection_Group, Node=nsmmrt03,*

15 *Alarm=lsystemDataLogger, Ostate=Ground, Trigger=lsystemData,*

*Nstate=Ground, TrapTime= , GenericTrapNumber= , Enterprise= ,
SpecificTrapNumber= ,Instance= 0, Object= lsystem, Attribute busyPer=6*

*Time=08/11/1999 10:18:56 Tue, LogId=22417, Severity=Normal,
PropertyGroup=SA_Router_Collection_Group, Node=nsmmrt04e2-1,*

20 *Alarm=lsystemDataLogger, Ostate=Ground, Trigger=lsystemData,*

*Nstate=Ground, TrapTime= , GenericTrapNumber= , Enterprise= ,
SpecificTrapNumber= ,Instance= 0, Object= lsystem, Attribute busyPer=3*

*Time=08/11/1999 10:18:58 Tue, LogId=22418, Severity=Normal,
PropertyGroup=SA_Router_Collection_Group, Node=nsmmrt03,*

25 *Alarm=lsystemDataLogger, Ostate=Ground, Trigger=lsystemData,*

*Nstate=Ground, TrapTime= , GenericTrapNumber= , Enterprise= ,
SpecificTrapNumber= ,Instance= 0, Object= lsystem, Attribute busyPer=3*

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662227 222460

Desired Metrics and their Standard or Derive Metrics

Table 1

SNMP metrics	Patroller metrics	Standard or Derived Metric
busyPer	n/a	CpuBusy_Percent
ifInOctets, ifOutOctets, ifSpeed	n/a	InterfaceUtilization_Percent
ifInOctets, ifOutOctets, ifSpeed	n/a	InterfaceUtilization_BPS
n/a	CPUCpuUtil	CpuUtilization_Percent
n/a	MEMFreeMem	MemoryFree_Bytes
n/a	NETPacketsIn, NETPacketsOut	NetworkTraffic_PPS
n/a	DSKPercentBusy	DiskBusy_Percent
n/a	CPUprcrProcessorTimePerc ent	CpuProcessorTime_Percent
n/a	MEMmemAvailableBytes	MemoryFree_Bytes
n/a	NETniPcktsPerSec	NetworkTraffic_PPS
n/a	PDpdDiskTimePercent	DiskTime_Percent
n/a	ftpResponseTime	FtpResponseTime_Seconds
n/a	smtpResponseTime	SmtResponseTime_Seconds

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n/a	nntpResponseTime	NntpResponseTime_Seconds
n/a	httpResponseTime	HttpResponseTime_Seconds

Data Loader

The purpose of the data loader in the SA toolkit is to perform recommended operations on the gathered event data before it is loaded into the database.

- 5 Primarily, the raw data files need to be concatenated, reformatted, translated to key codes, and sorted. In addition, the data loader will also perform statistical calculations on the data for daily “roll-up” reports to be loaded into the database.

Body Section

10 Patroller Data

Performance data from Patroller collectors is in the following text log file format:

„<element name>,<object type>,<instance>,<metric name>,<date time>,<metric value>

15

... a sample file (found in nsmmws16:/opt/PATROLLER3.2/Solaris25-Sun4/remote/):

„nsmmws09,CPU,CPU,CPUCpuUtil,1999-07-30 14:58:13,25

„nsmmws09,CPU,CPU,CPUCpuUtil,1999-07-30 15:13:18,52

„nsmmws09,CPU,CPU,CPUCpuUtil,1999-07-30 15:28:24,32

„nsmmws09,DISK,fd0,DSKPercentBusy,1999-07-30 15:03:15,0

„nsmmws09,DISK,sd4,DSKPercentBusy,1999-07-30 15:03:15,0

5 „nsmmws09,DISK,sd4,DSKPercentBusy,1999-07-30 15:18:15,4

„nsmmws09,DISK,sd4,DSKPercentBusy,1999-07-30 15:33:15,0

„nsmmws09,MEMORY,MEMORY,MEMFreeMem,1999-07-30 14:58:13,24008

„nsmmws09,MEMORY,MEMORY,MEMFreeMem,1999-07-30 15:13:18,23548

„nsmmws09,MEMORY,MEMORY,MEMFreeMem,1999-07-30 15:28:24,23544

10

After parsing and reordering, the format is:

<element>, <metric name>, <instancename>, <date time>, <metric value>

15 Finally, the element name and metric name must be translated to their corresponding key codes and the date time must be converted to the format consistent with the database:

16838, 236998718,6293,54

20

Event Correlator and Manager (ECM) Data

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Performance data from ECM collectors is in the following text log file format:
(note: fields in *italics* are do not have values for poll data):

Time=<datetime>, LogId=<LogID>, Severity=<Severity>,
5 PropertyGroup=<PropertyGroup>, Node=<Node>, Alarm=<Alarm>,
Ostate=<Ostate>, Trigger=<Trigger>, Nstate=<Nstate>, *TrapTime*=<TrapTime>,
GenericTrapNumber=<GenericTrapNumber>, *Enterprise*=<Enterprise>,
SpecificTrapNumber=<SpecificTrapNumber>, Instance=<,[instance_value]>,
Object=<[base_object_name]>, Attribute <[attributename]>=value

10

... a sample file (found in /opt/seasoft/userfiles/logs/ifdata.log)

Time=08/11/1999 09:39:27 Tue, LogId=22248, Severity=Normal,
PropertyGroup=SA_Router_Collection_Group, Node=nsmmrt04e2-1,
15 Alarm=IfDataLogger, Ostate=Ground, Trigger=ifData, Nstate=Ground, TrapTime=
, GenericTrapNumber=, Enterprise=, SpecificTrapNumber=, Instance= 26, Object=
ifEntry, Attribute ifSpeed=100000000 Attribute ifInOctets=464859949 Attribute
ifOutOctets=312935185

Time=08/11/1999 09:39:27 Tue, LogId=22249, Severity=Normal,
20 PropertyGroup=SA_Router_Collection_Group, Node=nsmmrt04e2-1,
Alarm=IfDataLogger, Ostate=Ground, Trigger=ifData, Nstate=Ground, TrapTime=
, GenericTrapNumber=, Enterprise=, SpecificTrapNumber=, Instance= 27, Object=
ifEntry, Attribute ifSpeed=100000000 Attribute ifInOctets=0 Attribute
ifOutOctets=0

25 Time=08/11/1999 09:39:27 Tue, LogId=22250, Severity=Normal,
PropertyGroup=SA_Router_Collection_Group, Node=nsmmrt04e2-1,
Alarm=IfDataLogger, Ostate=Ground, Trigger=ifData, Nstate=Ground, TrapTime=

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, GenericTrapNumber= , Enterprise= , SpecificTrapNumber= , Instance= 28, Object=
ifEntry, Attribute ifSpeed=100000000 Attribute ifInOctets=0 Attribute
ifOutOctets=0

Time=08/11/1999 09:39:27 Tue, LogId=22251, Severity=Normal,

5 PropertyGroup=SA_Router_Collection_Group, Node=nsmmrt04e2-1,
Alarm=IfDataLogger, Ostate=Ground, Trigger=ifData, Nstate=Ground, TrapTime=
, GenericTrapNumber= , Enterprise= , SpecificTrapNumber= , Instance= 29, Object=
ifEntry, Attribute ifSpeed=3705032704 Attribute ifInOctets=0 Attribute
ifOutOctets=0

10

After parsing and reordering, the format is:

<element>, <metric name>, <instance name>, <datetime>, <metric value>

15 Finally, the element name and metric name must be translated to their corresponding
key codes and the date time must be converted to the format consistent with the
database:

16838, 236998718, 6293, 54

20

High Level Architecture Description

Figure 9 illustrates an exemplary configuration of an architecture 900 of the present
invention for manipulating data using a Patroller and an ECM.

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Load Config File 902

Inputs: Configuration file.

Description: This subroutine will open the configuration file, read in the required values to three arrays and close the configuration file.

- 5 **Outputs:** Three arrays: @SectionNames, @SectionMarker, @SectionValues.
@SectionNames will contain all of the section titles found in the Config file,
(SECTION_GENERAL, SECTION_CONCATENATE_FILES,
SECTION_REORDER_FIELDS...); @SectionValues will contain each line from
the config file (that isn't a section header, a blank line, or a comment) as a single
10 element; @SectionMarker will contain the index number of the last line of each
section. This way, you can pull out just the elements of the @SectionValues array
that you need for a given functional block.

Concatenate All Files 904

- 15 **Inputs:** All log files in the directory sa/prd/dat/process/ECM and
sa/prd/dat/process/Patroller. ECM data will have a .nrc extension, Patroller data will
have a .pat extension.

- Description:** This subroutine will concatenate all files from a given source into one
master file. For each file in the directory (/ECM and / Patroller), it will read in each
20 line, and:

Concatenate to master (actually done last) 906

Filter to only include desired metrics (delete line if it isn't listed as a desired
metric) 908

- Reorder and drop fields (split on ",", and put in proper order: <element>,
25 <metric>, <time>, value). 910

5

Generate Element Files 914

10

15

20

Convert to Standard Metric Names 918

Description: For each element file, this function will lookup each metric name in a hash or flatfile. If found, it will convert it to standard. Else, it's already standard.

Sort Element files by Metric Time 920

10 **Description:** This subroutine will perform an ordered sort on each element file, sorting first by metric, then by time stamp.

Calculate Derived Fields 922

Description: For each element file, this subroutine will read each line and search for all metrics (at a given time) required to calculate derived metrics, calculate the given derived metric, create a new line for this derived metric, and append this line to the end of the file.

Calculate Statistical Data and create Rollup Files 924

Inputs: Element files. (*.elemnrc, *.elempat, proper order, comma separated, all text, sorted by metric and timestamp, derived metrics at end of file).

Description: For each element file, this subroutine will

- 1) read each line for a given metric,
- 5 2) store each value into a temp array,
- 3) calculate min, max, mean, standard dev., and sample size,
- 4) create a new line (<element>, <metric>, <time>, <min>, <max>, <mean>, <stddev>, <n>),
- 5) write this line to a newly created rollup file and
- 10 6) repeat for the next metric.

Outputs: Original element files (unchanged), rollup files *stats.elemdailypat*, *stats.elemdailynrc*

Convert all Text Fields to Key Codes 926

- 15 **Inputs:** Element files (*.elemnrc, *.elempat, proper order, comma separated, all text, sorted by metric and timestamp, derived metrics at end of file), and rollup files.

- Description:** For each file, this subroutine will read each line, convert each text field to a key code (according to a lookup table in a hash or file, except for the time field, which will be calculated), and write the line to a temp file. When all lines
- 20 have been converted, the source file will be deleted, and the temp file will be moved to the source file.

For each file and for each unique time stamp, create a new line with the new calculated time key cd (<perf_time_key_cd>, <dt>, <day>, <mon>, <yr>, <hour>, <min>, <sec>, <dow>) and write to a file *perf_metric_time_tb.dat* file. (This file

then should be collapsed to remove duplicate time stamps from different elements).

928

Outputs: (Encoded) element files and rollup files.

5 Union All Files of Same Element 930

Inputs: Element files (*.elemnrc, *.elempat, proper order, comma separated, all *key codes*, sorted by metric and timestamp, derived metrics at end of file), and rollup files (all *key codes*)

10 **Description:** This subroutine will concatenate all files of the same element, regardless of collector source (*.pat, *.nrc,...) into a *.elemall files.

Outputs: All-Element files: element1.elemall, element2.elemall, ...

Sort Each Element File 932

Inputs: All-Element files (see A.10)

15 **Description:** This subroutine will sort each file first by metric, then by time stamp.

Outputs: All-Element files

Union All Files 934

Inputs: All-Element files, Rollup files (see A.10)

20 **Description:** This subroutine will concatenate all *.elemall files into perf_fact_tb.dat, and all rollup files into perf_fact_daily_tb.dat.

Outputs: Database load files: perf_fact_tb.dat, perf_fact_daily_tb.dat

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Move Files 936

Inputs: A files ready to be archived or moved to sql loader (*perf_fact_tb.dat*, *perf_fact_daily_tb.dat*, *perf_metric_time_tb.dat*)

- 5 **Description:** This subroutine copies and/or moves files to any arbitrary location on a local drive.

Outputs: Copies and/or moves files to /files6/ipsa/data_loads/data_files/ on twmmdb02.

Table 2 - Metric Names

SNMP metrics	Patroller metrics	Standard or Derived Metric
busyPer	n/a	CpuBusy_Percent
ifInOctects, ifOutOctets, ifSpeed	n/a	InterfaceUtilization_Percent
ifInOctets, ifOutOctets, ifSpeed	n/a	InterfaceUtilization_BPS
n/a	CPUCpuUtil	CpuUtilization_Percent
n/a	MEMFreeMem	MemoryFree_Bytes
n/a	NETPacketsIn, NETPacketsOut	NetworkTraffic_PPS
n/a	DSKPercentBusy	DiskBusy_Percent
n/a	CPUprcrProcessorTimePerc	CpuProcessorTime_Percent

	ent	
n/a	MEMmemAvailableBytes	MemoryFree_Bytes
n/a	NETniPktsPerSec	NetworkTraffic_PPS
n/a	PDpdDiskTimePercent	DiskTime_Percent
n/a	ftpResponseTime	FtpResponseTime_Seconds
n/a	smtpResponseTime	SmtpResponseTime_Seconds
n/a	nntpResponseTime	NntpResponseTime_Seconds
n/a	httpResponseTime	HttpResponseTime_Seconds

Configuration of Event Correlator and Manager

This section describes how to configure the Event Correlator and Manager (UNIX) to collect performance data. It covers the process of adding property groups, creating polls, and building alarms to collect basic performance statistics from CISCO routers. Although the examples are specific to CISCO routers, the concepts and processes introduced are transitive, and can be used to implement collection for other types of SNMP devices.

Accordingly, Figure 10 depicts an embodiment of the present invention which generates an alarm to indicate a status of a network for service assurance purposes. Such purposes can include identifying errors and faults, monitoring system resources, anticipating problems, etc. Once a parameter of a network that is to be monitored is selected in operation 1000, a triggering level of the parameter is determined in operation 1002. In operation 1004, the parameter for an occurrence of the triggering level is monitored. If the triggering level is reached, an alarm is initiated in operation 1006.

In one aspect of the present invention, the alarm is named for identification purposes. Optionally, the parameter can be adapted to be monitored for a plurality of components of the network. In such an embodiment, a separate alarm notification may be initiated for each of the components upon the monitored occurrence of the triggering level thereon.

As an option, the alarm notification may be stored upon its initiation. Preferably, the alarm notification is stored until the occurrence of a user action.

Assumptions:

- The ECM MIB definition for your network hardware has been added to the MIB file.

Starting the client

- Start the ECM Client as 'noc', if it is not already running.
- Using the **Server / Connect** menu selection, connect to the network management station as (i.e. nsmmws16) as 'noc'.
- Using the **Server / Resync** menu selection, resynchronize the ECM database with the platform database containing managed nodes (i.e. HP NNM).

Create a new 'Property Group'

- Property groups allow categorization of managed devices into groups. The groups can be based on device types, location, priority, supported MIBs, business function, or any other useful characteristic. Polls or alarms can be restricted to the members of one or more property groups.

- Select the **Admin / Property Group List** menu option to open the Property Group List.
- Select the **MIB to Group** button to open the MIB to Property Group window.
- Select the MIB of interest from those listed in the MIB list. For our example, select **MIB-II**.
- Type a unique name in the Property Group Name field, and then select **OK**. For this example, enter "SA_Router_Collection_Group".

Adding a property to a property group

10 Adding properties to an existing property group enables one to take advantage of the flexibility of property groups. Properties may need to be added if it is desired to:

- Make a property group unique by adding a property that isn't in any other group. By assigning the unique property group to selected devices, you can manage those devices differently from other devices.
- Make polls and/or alarms apply to devices belonging to that property group.
- Monitor a base object on a group of devices when the base object isn't listed as a property in the devices' property group.

For the purposes of this example, the "Isystem" base object property will be added, defined in the cscoe.asn1 MIB definition; as well as a custom property to make the property group unique.

- From within the Property Group List window, select SA_Router_Collection_Group from the list of groups
- Click inside the New Property field, type the name of the new property, and select **Add**. For our example, type "SA_Router_Collection_Property".

- Repeat the previous step to add the “lsystem” property.
- Select Save
- Close the Property Group List window

Assigning property groups

- 5 In the following example, SA_Router_Collection_Group, the property groups created in the previous section, will be assigned to a single router.

- Open the Node Definition window for the device.
 - Select the property group you would like associated with this device from the pop-up list in the Group field.
- 10 • Select Save, and close the Node Definition window.

Create a new ‘Poll’

- Open the ‘Polls’ list from the Patroller console using the **Admin/Polls...** menu selection.
 - Select ‘Add’ from the Polls window.
- 15 • Configure the poll
- Leave ‘Object Type’ as Poll
 - Change ‘Name’ to a new name
 - Set the desired poll rate.
 - Type a new name for the ‘Poll Trigger’. Use a name similar to the name you
- 20 gave to this poll.
- Leave False Trigger blank

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- Leave Property as 'NO_PROP'
- Select 'Set Poll Condition'

(a) Select the 'Base Object' from which you want to collect data. This base object directly correlates to the base object of the SNMP MIB.

- 5 (b) Select the desired 'Attributes' from the base object and drag them into the 'Expression' box using the middle mouse button. For each Attribute selected, change the 'Rel Op' field to 'present' and click the 'Add' button. When all attributes have been selected, click the 'Apply' button.

Example Poll:

10 Poll = lsystemDataPoll

- Poll rate = 15 min
- True Trigger = lsystemDataTrigger
- Property = SA_Router_Collection_Property
- Poll condition:

- 15 ○ Base object = lsystem
- lsystem.avgBusy1 present and
 - lsystem.avgBusy5 present and
 - lsystem.busyPer present

20 **Create a new "Alarm"**

- Open the 'Alarm Definitions' list from the Patroller console using 'Admin -> Alarm Definitions...' selection.

- Select 'Add' from the Alarm window.
- Configure the new Alarm.
 - Select a new name for the Logging alarm.
 - Select the property of the device group you want to collect data from.
- 5 ○ Select the 'State Diagram' button.
 - Using the 'New State' button, create 2 states called 'Ground' and 'Logging'. The 'Severity' of both states should be set to 'normal'.
 - Using the 'New Transition' button, create a transition from 'Ground' to 'Logging'. The trigger for this transition should be the name of the 'Poll Trigger' you defined in section 3.1.4. Select 'Apply'. Under 'Action' select 'Log' and type the name of the log file where you want the data stored in the 'Arguments' field .
Note: use the name of the trigger with a '.log' extension for the log name. Do not enter a path name as all data is stored in the pre-defined ECM logging directory.
- 10 ○ Create the same transition from 'Logging' to 'Logging'.
- 15 ○ In the 'Alarm Configuration View' select 'On' and 'Apply'.

Note/Caution:

- When designing a logging alarm based on two states (i.e. ground and logging), be aware that a new 5K instance of the alarm may be instantiated and remain in memory for each object within the defined scope. For example, a subobject scoped
- 20 memory for each object within the defined scope. For example, a subobject scoped alarm with two states, ground and logging, created to collect interfaced data from a router would be instantiated once for each interface on all routers in the target property group. Because this alarm contains two states, ground and logging, the alarm remains in memory until manually stopped as it never returns to the ground

state. One method of avoiding this unnecessary overhead is to create the logging alarm with only one state, ground, and a single transition from ground to ground.

Example Alarm:

- 5 Design is Ground to Ground, with a single transition based on the 'lsystemDataTrigger' defined in the example poll above.

Alarm = lsystemDataLogger

- Property = SA_Router_Collection_Property
- 10 • Scope = node
- State List:
- Ground – Normal
- Transition List:
- Ground → Ground Trigger = ifDataTrigger
- 15 • Action = Log to file:
- Ifdata.log
- Verbose enabled

Update the Nerve Center Schema files

- The ECM schema file(s) (e.g. ecm_schema.def) are used by the SA data
- 20 management subsystem to define and upload data into SAS datasets.

- Edit the file '/perf/CONFIGS/NERVEC_schema.def' Add to the end of this file a section for the new data you are collecting. The section should start with a single line to define the new 'TABLE' name, multiple lines for the attributes being collected, and a single line indicating the end of the table definition with the label 'ENDTABLE'.

- Add the 'TABLE' line. This line has 5 fields separated by commas.

Field 1 - 'TABLE' label

Field 2 - Name of new SAS dataset. This field should be no longer than 6 characters.

- Field 3 - Name of the 'Poll Trigger'.

Field 4 - Name of the Alarm.

- Field 5 - INDEXED or NONINDEXED. Type INDEXED if this is to be and INDEXED dataset. An INDEXED dataset contains data with multiple instances for a single device. For example, collecting router interface data for each interface on a router would be INDEXED, while collecting CPU data from a single CPU on a router would be NONINDEXED.

Example:

TABLE,NCSWRMON,RMONData,NC_RMONDataLogger,INDEXED

- Add a line for each attributed collected. These lines have 5 fields separated by commas.

Field 1 - Name of the SAS Dataset defined in the 'TABLE' line.

Field 2 - New name of the attribute. This name must be 7 characters or less.

Field 3 - Name of the 'Poll Trigger'.

Field 4 - Name of the Attribute being collected by ECM.

Field 5 - Type of data. (STRING, C2RATE, GAUGE, INT)

5 STRING: Alphanumeric string.

C2RATE: If the data is a rising counter, the label will tell SAS to convert it to a rate for the interval in which it was collected.

GAUGE: If the data is already a rate or a percentage. SAS will do no conversion.

10 INT: If the data is an integer (Ex: Interface number)

Example:

NCSWRMON,INBITS,RMONData,etherStatsDataSource,STRING

15 ○ Add a line to signify the end of the configuration section. This line has 3 fields separated by commas.

Field 1 - 'ENDTABLE' label

Field 2 - Name of new SAS dataset.

20 Field 3 - Name of the ECM Alarm.

Example:

ENDTABLE,NCSWRMON,NC_RMONDataLogger

5

DATABASE

One embodiment of the present invention, shown in Figure 11, is used to develop a database. Data is extracted from a source system with an application program in operation 1100. The data is translated into a consistent model in operation 1102.

10 The model contains information selected from one or more of performance metric information, time data, geographic location data, and event data. The modeled data is stored in a database in operation 1104. The data is analyzed in operation 1106 based on the information and the results of the analysis are output. It should be noted that the data may be analyzed before or after storing.

15 In one aspect of the present invention, the data is extracted using a plurality of application programs. Further, the information may include performance metric information, time data, geographic location data, and event data.

In yet another aspect of the present invention, the results may be outputted by way of reports and charts. Further, identifiers may be assigned to groups of the data.

20 The data can also be stored in a plurality of databases including a first database for development purposes, a second database for testing purposes, and a third database for production purposes.

Refer to the following sections for more detailed information and additional embodiments.

Database Design

The database for the Service Assurance Toolkit can be designed as a data warehouse. This design offers greater performance and flexibility, which will enable the database to evolve in future releases of the Service Assurance Toolkit.

5 The architecture for a successful data warehouse, by which we mean the end-to-end tools and processes, will vary from implementation to implementation. A typical data warehousing architecture should include, as a minimum:

- Multiple extract programs from one or more operational systems, to retrieve the source data for the warehouse.
- 10 • A data repository (“Operational Data Store”) containing the extracted data in an appropriate model.
- A tool to analyze and display the data as reports and charts.

The design of the SA database should also be independent of any relational DBMS. By doing this the SA toolkit will be more portable across platforms and will allow us to better meet client needs. Also, the design of the database may contain all necessary information to meet the requirements laid out in the reporting requirement document. For the SA toolkit, the data model should contain information such as:

- Network element information.
- 20 • Performance Metric information.
- Detailed time data
- Network element geographic location data
- Network element event data

The design of the database can utilize surrogate keys to uniquely identify records. This will be done to increase query performance as well as to add flexibility to the database design. However, this adds complexity to the data manipulation because look-ups on the surrogate keys will need to take place.

5

Physical Database

The SA toolkit may be built on the Oracle RDBMS platform. While this is a specific platform, the physical design of the database can be independent of Oracle and portable to the major players in the RDBMS world i.e. Sybase, Informix, DB2, Microsoft, etc.

10

Development Environment

The database environment will have one database for the entire development process. However, for larger networks, more databases can be installed. For example, three databases may be installed—one database each for the devel, test, and production environments.

15

The installation and file system structure for the database will follow an Optimal Flexible Architecture (OFA). OFA is a set of 13 guidelines or goals to follow in order to standardize the database environment.

20

Database Backup/Recovery

Backups of the SA database will meet the following requirements:

- The backup script utility may be written in perl. Perl allows scripts to be more portable across hardware platforms.

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- The backup utility can be executed by the UNIX cron utility. It could also be executed by the Windows NT AT scheduler if running on the NT platform.
- Cold backups will be run at least once a week. This requires database down time.
- 5 • Exports of the database will take place every evening at the end of the nightly batch schedule.

Database Objects

- 10 All database objects (DDL, scripts, code) will be placed under source control. This will be done to allow for versioning when databases for other environments are implemented. This also places these objects in a centralized location for easy access.

Data Management, Retention and Volume

15 Data Volume

The estimated volume of detailed data is 2,000,000 rows per day. The physical design of the database will be built to handle this volume while remaining flexible enough to handle a large increase in volume.

20 Data Manipulation

Due to the fact surrogate keys will be used to uniquely identify records, a look-up process needs to occur in the data manipulation process. A perl script will be written to extract surrogate key information from the database to flat files. The data

5

Data Loading

10

15

20

Phase 2 recommends detailed level data to be retained for 40 days and daily rollup data retained for 13 months. A perl script will be written to purge this data once it has expired. This process will run nightly, before the actual loading of the data occurs.

Database Developer

The purpose of this section is to outline the steps taken to install a Database Developer portion of the present invention on a server. The following examples will teach how to install the Database Developer on both a Windows NT Workstation
5 and a HP 9000 Server. This section should also be applicable to install the Database Developer on different servers. "Dbse" throughout the description of the present invention denotes a database program.

Minimum System Requirements

10 The minimum system requirements should be determined before installation of the Database Developer. Exemplary minimum system requirements are:

Each Client Workstation

- 90 MHz processor
- 32 Mb RAM, 1 Gb hard disk
- 15 • 60-680 Mb available disk space (depending on the components you choose to install)
- Windows NT 4.0/95
- SQL*Net Client 2.3.4
- TCP/IP Adapter
- 20 • SQL*Plus 3.3.4
- CD-ROM drive

On the Server (for each Repository created).

- 125 Mb in the SYSTEM tablespace
- 20-330 Mb in other tables for the Repository data
- 16-28 Mb available RAM for the System Global Area

Pre-Installation Steps

- 5
- Verify the system requirements are met at each client workstation
 - Verify the Server is correctly installed and accessible on the network
 - Verify the database instance you wish to use has been created and functions correctly

10

Client Installation

1. Log on to the client workstation as a user with administrative privileges but not as an administrator
 2. Close all other applications
 3. Insert the Database Developer media into a media reading device, (e.g., insert a CD into the CD-ROM drive)
- 15
4. In the *Installation Settings* dialog box:
 - a) Type the name of the license owner for this product
 - b) Select *DEFAULT_HOME* for Home Name
 - c) Select *English* for Home Language
- 20
- d) Click *OK*
 5. Select *Yes* in all *Update* message boxes to update out-of-date products

6. Select *No* in all *Up to Date* message boxes to avoid reinstalling products
7. Select *OK* in all *General Information* and *System Support Files* message boxes to acknowledge message
8. Select *OK* to acknowledge the products that will be installed
- 5 9. Select *OK* to acknowledge that Installation is complete
10. Configure *SQL*Net* as follows:
 - a) Click *Start* → *Programs* → *SQL for Windows NT* → *SQL Net Easy Configuration*
 - b) Choose *Add Database Alias* and click *OK*
 - 10 c) In the *Choose Database Alias* box, type the database alias you wish to use and click *OK*
 - d) Choose *TCP/IP* in the *Choose Protocol* dialog box and click *OK*
 - e) In the *Choose Protocol/Server Name and Database Instance* dialog box:
 - i. Type the name of the server where the database is located
 - 15 ii. In the *Database Instance* field, type the name of the database
 - iii. Click *OK*, click *Yes*
 - f) Select *Exit SQL*Net Easy Configuration*, click *OK*
 - g) Click *OK*

20 Server Installation (Example)

Activities at the Database Server

1. Log on to the UNIX Server that holds the database
2. Start the database instance, if not already running
3. Verify that *TNS Listener* is running
4. Log onto *SQL*Plus* as *system*
5. In *SQL*Plus*, verify that the procedural option has been installed
 - a) Execute the following SQL statement:

SQL> create or replace procedure test as x varchar2(10)

2 begin

3 if x is null then null

10 4 end if

5 end

6 /

Warning: Procedure created with compilation errors.

15

SQL> drop procedure test;

Procedure dropped.

- b) If the SQL statement returns an error (as above), continue to step c. Otherwise go to step 5.
- 20

c) Run the following SQL script to install the option where *dbse_home* is the location where dbse is installed (C:\DBSNT):

SQL> @dbse_home/rdbms/73/admin/catproc.sql

6. Create two tablespaces, one for Repository Data and another for Repository Index, of size **100 Mb** each
7. Create one tablespace for small rollback segments (recommended four rollback segments @ **10 Mb** each)
8. Create one large tablespace for the rollback statement used during installation. The size of this rollback segment should be as big as possible (recommended at least **100 Mb**). In this tablespace, create one rollback segment and put it online (this rollback segment and tablespace can be removed after install)
9. Take all rollback segments offline except the SYSTEM rollback segment and the one created in step 8
10. Check the size of the SYSTEM tablespace. You will need at least **125 Mb** of free space for the installation.
11. Create the Repository owner and grant connection and resource roles
12. Check the settings in the INITxxx.DBA (where xxx is the name of the database) file and change if necessary.
13. If any changes were made to INITxxx.DBA in the previous step, shut down and restart the database. Make sure to close any *SQL*Plus* windows that are open. Once the database is restarted successfully, exit *Server Manager*

Activities at the Client

1. Log on to the Client Workstation as a user with administrative privileges but not as an administrator
2. Log on to the database using *SQL*Plus*
3. Create a role called *OWNER*

- 5 a) Execute the following SQL statement where *home* is the directory where the Database Developer was installed:

SQL> *home*\repadm20\utl\ckrorole.sql

- b) Issue all privileges that apply to the Repository owner

4. Grant the role created in step 3 to the Repository owner

- 10 5. Close any *SQL*Plus* windows that are open

6. Start the *Repository Administration Utility*

7. Connect as the Repository owner

8. Click the *View Privileges* button and verify the required system privileges and roles are granted for the Repository owner. If privileges and roles are correct,
15 continue by clicking *Cancel*

9. Click the *View Tablespace* button to check whether the SYSTEM tablespace is fragmented. If the SYSTEM tablespace is not fragmented, continue by clicking *Cancel*

10. Click the *View Parameters* button to verify the parameters are set correctly. If
20 they are correct, continue by clicking *Cancel*

11. Click the *Install* button on the *Repository Administration Utility* window

12. Choose Small, Medium, or Large in the Repository Size box according to the expected initial size of the Repository and click the *Estimate* button

13. Click the *Pre-Check* button to view a list of system privileges. Insure all privileges have a check mark under the “*Has Privilege?*” column. If so, continue by clicking *OK*

5 14. Click the *Tablespace* button to view all available tablespaces. Insure there is sufficient space in each tablespace. If so, continue by clicking *Cancel*

15. Assign tablespaces for indexes by using the dropdown lists under *Index Tablespaces* and for tables using the dropdown lists under *Table Tablespaces* using the tablespaces created in step 6

10 16. Start installation by clicking on the *Start* button. **NOTE:** expect this step to take 2-3 hours

17. When you see the message box saying *Operation Complete*, click *OK*, then *Cancel*

15 18. Examine the log files to ensure that there are no errors and warnings. These files can be deleted if they contain no errors or warnings and all post-installation procedures run correctly

19. Delete temporary tablespace/rollback segment

20. Put all other rollback segments online

20 **Automatic Startup and Shutdown of Database**

This section will outline the steps to setup automatic database startup and shutdown procedures on a HP 9000 server. This process is operating system dependent, but a similar procedure should be applicable for other servers. Consult the correct installation guide for the exact process for the particular server you are working with.

25

Required Files

The following files are required for automatic startup and shutdown. Some of the files will be created in the process, some are already created, while others are symbolic links.

`/sbin/init.d/dbse`

This file contains the main script for the database startup and shutdown. It can be created from `/sbin/init.d/template`. This script will start the database with the command `su - dbse -c dbstart` and shut it down with the command `su - dbse -c dbstop`.

`/etc/rc.config.d/dbse`

This file contains assignment statements that will enable automatic startup and shutdown procedures. It will be created during configuration.

`/etc/rc1.d/K100dbse -> /sbin/init.d/dbse`

This file is a link to the `/sbin/init.d/dbse` script. Depending on the three-digit number after the “K”, the script will be executed in ascending order at shutdown. The value after “K” should be as small as possible so the database is one of the first processes to be shutdown.

`/etc/rc2.d/S990dbse -> /sbin/init.d/dbse`

This file is a link to the /sbin/init.d/dbse script. Depending on the three-digit number after the “S”, the script will be executed in ascending order at startup. The value after “S” should be as large as possible so the database is one of the first processes to be shutdown.

5

/etc/dbstab

This file defines which databases are started automatically. The dbse installer creates this file. It simply needs to be modified. If the database is created manually, this file needs to be created.

10

Create /sbin/init.d/dbse script

Make a copy (but do not remove) /sbin/init.d/template and name it /sbin/init.d/dbse.

Create /etc/rc.config.d/dbse file

- 15 Create a file named dbse in the /etc/rc.config.d/ directory. In this file, include the following assignment statements:

DBSE_START = 1

Export DBSE_START

- 20 **Edit the /etc/dbstab file**

This file will already be created if the installer program is used to create the database. This file includes all database that are to be started automatically at system boot. An entry for each database instance will be listed in this file in the

format where *Y* indicates automatic startup and shutdown is activated and *N* indicates it is not activated:

DBSE_SID:DBSE_HOME:{Y|N}

- 5 For each database in which you want to invoke automatic startup and shutdown, make changes as necessary to activate automatic startup and shutdown.

Create a link from /sbin/rc1.d/Kxxxdbse to /sbin/init.d/dbse

- 10 The scripts in the directory /sbin/rc1.d are executed when the system is shut down. Scripts are executed in ascending order determined by the three-digit number after the “K” (where the number is xxx of the filename Kxxxdbse). This number defines ordering between all scripts located in the /sbin/rc1.d directory. Number the script as low as possible in order to ensure that dbse is shut down before any other processes.

- 15 **Create a link from /sbin/rc2.d/Sxxxdbse to /sbin/init.d/dbse**

- 20 The scripts in the directory /sbin/rc2.d are executed when the system is started. Scripts are executed in ascending order determined by the three-digit number after the “S” (where the number is xxx of the filename Sxxxdbse). This number defines ordering between all scripts located in the /sbin/rc2.d directory. Number the script as high as possible in order to ensure that dbse is shut down before any other processes.

/sbin/init.d/dbse

#!/sbin/sh

#

PATH=/sbin:/usr/sbin:/usr/bin

export PATH

5

rval=0

set_return () {

 x=\$?

 if [\$x -ne 0]

10

 then

 echo "EXIT CODE: \$x"

 rval=1

 fi

}

15

case \$1 in

start_msg)

 echo "Start Dbse"

20

;;

0547377.12229
0547377.12229

5

• •
” ”

10

then

else

exit 2

15

then

```
su - dbse -c dbstart
```

else

```
rval=2
```

```
fi

;;

'stop')

5   if [ -f /etc/rc.config.d/dbse ]

    then

        . /etc/rc.config.d/dbse

    else

        echo "ERROR: /etc/rc.config.d/dbse MISSING"

10   exit 2

    fi

    if [ ${DBSE_START} -eq 1 ]

    then

        echo "Stopping Dbse"

15   su - dbse -c dbshut

        set_return

    else

        rval=2

    fi

20  ;;
```

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*)

```
echo "Usage: $0 {start|stop}"
```

```
;;
```

5

```
esac
```

```
exit $rval
```

10

Manual Startup and Shutdown of Database

This section will outline the processes to start and stop a database on a HP 9000 server. It will also outline the process to start and stop a Listener Service. This process should be applicable for different versions of UNIX and databases on various other types of servers.

15

Database Startup Procedure

The following steps can be used when starting a database in UNIX version HP UX 10.2. To verify that the database has been started properly, see the section below entitled "Verifying the database is started/stopped."

20

1. Log on to UNIX as system user
2. Enter system user's password

3. Check environment table to be sure that the DBSE_SID is set to the database instance that you wish to start → *env*
4. Start Server Manager → *svrmgrl*
5. Connect to the system user → *connect / as sysdba*
- 5 6. Start the database → *startup*
7. Exit Server Manager → *exit*

Database Shutdown Procedure

10 The following steps can be used when stopping a database in UNIX. To verify that the database has been stopped properly, see section five below.

1. Log on to UNIX as system user
2. Enter system user's password
3. Check environment table to be sure that the DBSE_SID is set to the database instance that you wish to start → *env*
- 15 4. Start Server Manager → *svrmgrl*
5. Connect to the system user → *connect / as sysdba*
6. Start the database → *shutdown*
7. Exit Server Manager → *exit*

20 Verifying the database is started/stopped

It is possible to check if the database is started or stopped after completing the steps above. To do so, follow these steps.

1. At the command line type → *ps -ef | grep system_user* (where *system_user* is the system user's name that you are logged on as)
 2. Look for an entry such as the following → *ora_pmon_SID* (where *SID* is the database instance name)
- 5 If you find an entry such as the one above, the database is running. If not, the database is shutdown. If you do not get the expected results, redo the database startup or shutdown procedure set forth above.

Listener

- 10 The Listener is a utility that handles database connection requests. It may have a service for all databases. If a database is started while the Listener is already running, it may be necessary to restart the Listener.

- a. Check if Listener is started for the database instance

- At the UNIX prompt, enter the command *lsnrctl status*. Under the heading "Services Summary...", check that there is an entry reading "*SID* has 1 service handler(s)." (where *SID* is the desired database instance). If there is an entry for the desired *SID*, then the Listener is started. Otherwise the listener is not started.
- 15

- b. Start the Listener

- To start the listener, type *lsnrctl start* at the UNIX prompt. After this has completed, verify the listener is started by following the steps above in section 6.1.
- 20

- c. Stop the Listener

It is recommended to stop the listener when the server is shutdown. To do this type *lsnrctl stop* at the UNIX prompt.

Database Configuration

Figure 12 illustrates an exemplary database configuration chart. Table 3 provides a key to Figure 12.

5

Table 3

Key:	O/S	Operating system at current location. Values include HP-UX, NT.
	Tablespace:	Name of database tablespace
	Default status:	Indication of whether tablespace should be on-line/off-line during normal environment operation.
	Physical requirement s:	Indication of such things as requirements to have data vs. index tablespaces on separate system disks.
	Related tablespaces/r elationships:	List of other tablespaces and their relationships to the current tablespace. For example, the related tablespace might be an index counterpart to the current tablespace, or it might be a data partitioning counterpart, etc.
	Datafile:	Name of a database datafile which is part of the current tablespace.
	Datafile size:	Size, in MB of the current database datafile.

Table 4

File Descriptors	Default Status	File name(s)	File size

Table 5

Key:	File Descriptors	Description of the file or file groups which will reside outside of the database
	Default status	Condition of file at time of database startup- available, off-line
	File name(s)	Name(s) of files to be created
	File size	Size of files to be created

10

Environment: Development

Location: Development database Server

Table 6

Tablespace	Group Permitted Access	Type of Access
IPSADAT01	SPSS_DEVEL ALL	Unlimited Read-Only
IPSAIDX	SPSS_DEVEL ALL	Unlimited Read-Only
USERS	ALL	Unlimited
SYSTEM	DBA	Unlimited
TEMP01	ALL	Unlimited

Table 7

Key: Tablespace
 Group Permitted
 Access
 Type of Access

Name of database tablespace
Name of security group (see security document) permitted access to current tablespace.
Type of access allowed to tablespace, such as unlimited, quota per user, etc.

5

init.dbs Configuration

db_name = IPSA01

10 db_block_size = 8192

sort_area_size = 102400

db_file_multiblock_read_count = 32

LARGE

15

db_block_buffers = 10000 # LARGE

shared_pool_size = 70000000 # X LARGE

5 log_checkpoint_interval = 10000

processes = 100 # MEDIUM

nls_date_format = "DD-Mon-YYYY HH24:MI:SS"

10

dml_locks = 200 # MEDIUM

log_buffer = 65536 # des 2k

15 open_cursors = 400 # des 2k

sequence_cache_entries = 10 # SMALL

sequence_cache_hash_buckets = 10 # SMALL

20

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```
20 lock_shared_memory = true
```

reads entire SGA into memory on startup. Will take longer to startup database

define two control files by default

```
5  control_files = (/files0/ipsa/vendor/dbse/links/IPSA01/IPSA01ctrl01.ctl,
                  /files0/ipsa/vendor/dbse/links/IPSA01/IPSA01ctrl02.ctl,
                  /files0/ipsa/vendor/dbse/links/IPSA01/IPSA01ctrl03.ctl,
                  /files0/ipsa/vendor/dbse/links/IPSA01/IPSA01ctrl04.ctl)

10 background_dump_dest = /files0/ipsa/vendor/dbse/admin/IPSA01/bdump
    user_dump_dest = /files0/ipsa/vendor/dbse/admin/IPSA01/udump
    core_dump_dest = /files0/ipsa/vendor/dbse/admin/IPSA01/cdump
```

15 **Database Cold Backup**

This section will describe the required files needed to run *cold_backups*. It will outline the recommended steps to configure *cold_backups* (written in Perl) to run on a database. Finally, this section will discuss how to execute the script. The location of the *cold_backups* script can be anywhere in the database's directory system.

Required files/directories

The following list of files and directories need to be created before *cold_backups* will run. These files are described below and common naming conventions are given. Also, an OFA directory structure location is specified.

5

System Identification (SID) File

This file should contain a list of one or more system ids, dbse home, and dbse base. Every system id will be shutdown, backed up, and restarted. The values of this file are also used to set the correct environment of the SID. The format of this file must be of the form: *SID,DBSE_HOME,DBSE_BASE*. There are no spaces between fields. Also, it is important that there are no blank lines in this file. A common naming convention for this file is *cold_sids*. The file is best housed with other files related to the backup.

15 SQL*Plus Script File

This script will generate a complete list of files that need to be backed up. A common naming convention is *unix_script.sql*. This file will be located in the directory specified for sql scripts.

20 Password Script

The contents of this file can be found in Appendix B or in O:\Performance\QoSM\Product Development\Database\Doc\getpass. This script allows the user's password to be used within the script without hard-coding the password into it. A common naming convention is *getpass*. This file should be housed in the unix directory. **NOTE:** the password is *not* encrypted so it is important that an unauthorized user does not execute this script at the command line.

Backup Directory

- ### Configuration of Variables

- Current Directory (\$Current_Dir)

SID File (\$SID_File)

This file, described above in System Identification (SID) File, is located in the current directory. This file contains a list of system ids and environment variables for all databases that need to be backed up. The files has a strict format that must be followed for the script to run in the cron.

5

Script File (\$Script_File)

This file is described above in "SQL*Plus Script File."

Log (\$Log)

- 10 This file contains messages and errors generated from *cold_backup*. A common naming convention is *cold.log*. The log file is created in the current directory (see section 4.2 above) by *cold_backups*. The log file will be kept in an archived form, also in the current directory, for one previous backup and it will be named **.old* where * represents the filename you designate as the log file.

15

User (\$User)

This variable designates which user has export privileges.

Mail Program (\$Mailer)

- 20 This variable is set to a command line mail program. The mail program is used to notify the Database Administrator of problems occurred during execution of the backup or to inform the Administrator of a successful backup.

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File Mount Point (\$Mount_point)

This variable is set to the directory structure mount point.

DBA (\$DBA)

- 5 This variable is set to the Database Administrator that is responsible for getting email messages.

How to Execute

Manually

- 10 The *cold_backups* script can be run manually by having a user execute the script. Since the backup requires that the database be shutdown, inform all database users of the downtime. Expect up to fifteen minutes for the backup. In order to run the script manually go to the directory where the script is located. Check the permissions, and set if necessary. At the command line, type *cold_backups*. The
- 15 script will run without user interaction. The script is complete when the prompt returns.

Crontab

- It is possible to schedule the backup using the UNIX crontab. To create a new crontab or to edit an existing one, type *crontab -e* at the UNIX command line. To list the entries in the crontab, type *crontab -l* at the command line. Entries in the crontab file are lines of six fields separated by spaces or tabs. An asterisk (*) in fields one through five indicates all legal values. Values can be separated by commas (for a list of values) or hyphens (for inclusive range). The fields are as
- 25 follows:

Table 8

Field	Acceptable Data
1—minute	0-59
2—hour	0-23
3—month day	1-31
4—month	1-12
5—weekday	0-6 (Sunday is 0)
6—command	Complete path of executable

By using the crontab, it is possible to schedule daily, weekly, or monthly backups during non-peak hours. Since the backup requires that the database be shutdown, inform all database users of the expected downtime. Expect up to fifteen minutes for the backup. Check the permissions of *cold_backups* before the first backup and set if necessary.

SQL*Plus Script File

```
set echo off

10 set heading off

set embedded on

set pagesize 1000

set termout off
```



```
#          in $DBSE_HOME, with the executable      #
#          located in $DBSE_HOME/bin.              #
#
# Dependencies:  getpass requires one file, .password  #
5  #
#  SID_FILE:  Contains a list passwords for      #
#          system, sys, and dbse.                #
#
# Command syntax: getpass USERNAME                #
10 #-----#
# what shell do we use?
SHELL=/usr/bin/sh
# where is our home?
15 DBSE_HOME=/files2/ipsa/vendor/dbse/product/7.3.4; export DBSE_HOME
# what path do we look for?
PATH=/usr/bin:/bin:${DBSE_HOME}/bin; export PATH
```

```
# who are we retrieving the password for

if [ $1 ]

then

    USER=$1

5  else

    echo " "

    echo "USAGE: getpass 'username'"

    echo " "

    exit 1

10  fi

# make sure the .password file exist

if [ ! -s $DBSE_HOME/.password ]

then

15  echo " "

    echo "ERROR: this machine does not appear to have a password file"

    echo " "

    exit 1

fi

20
```

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fi

else

echo " "

echo "ERROR: \${USER} is not a supported username"

echo " "

5 exit 1

fi

Crontab Examples

10 The following is an entry of a crontab. The *cold_backups* script will be backed up at 2:30am daily.

/files2/db/dev/backup1/cold_backups> crontab -l

30 2 * * * /files2/db/vendor/dbse/admin/general/unix/cold_backups/cold_backups

If both fields are specified in an entry, they are cumulative. For example:

15 0 0 1,15 * 1 /files2/db/vendor/dbse/admin/general/unix/cold_backups/cold_backups

This crontab entry runs at midnight on the first and fifteenth of each month, as well as every Monday. To specify days in only one field, set the other field to asterisk (*).

For example:

20 0 0 * * 1 /files2/db/vendor/dbse/admin/general/unix/cold_backups/cold_backups

This crontab entry runs only on Mondays.

This section will describe the files needed to run *export_backups*. It will outline the recommended steps to configure *export_backups* (written in Perl) to run on a database. Finally, this section will discuss how to execute the script. The location of the *export_backups* script should be in admin/general/unix (following OFA standards).

The *export_backups* script performs a full database export on a database by using an export utility. This script is meant to run daily and the backup files are stored in a cycle that can be configured to suit the needs of the client. For an explanation of the backup cycle and naming convention, see the sections below entitled “Configuration of Backup Cycle” and “How to Execute.”

The following list of files and directories need to be created before *export_backups* will run. These files are described below and common naming conventions are given. Also an OFA directory structure suggestion is given.

This file should contain a list of one or more system ids and the corresponding values of dbse home and dbse base. Every system id listed in this file will be backed up using the export utility. The values in this file are also used to correctly set the environment variables. The format of this file will be:

SID,DBSE_HOME,DBSE_BASE. There are no spaces, only commas, separating the
25 fields. Also, it is important that there are no blank lines in this file. A common

Day File

15

This script needs to be configured for the system. This script allows the user's password to be used within the script without hard-coding the password into it. A common naming convention is *getpass*. This file should be located in

20

25

The backup directory will store the backup files. The naming convention for this directory is *{SID}_exp* where SID is the system id. The file is best housed in the current directory (described below in “Current Directory”).

5 Configuration of Variables

Directory paths and file names are hard coded in the *export_backups* script.

Therefore it is recommended to configure these variables to suit the file structure of the particular database the backup is to be performed on. The following are variables that need to be specified for the system in which the script is run on. These variables are grouped together at the beginning of the script. Look for the commented section entitled “Variable List” to locate the variables within the script.

Current Directory (\$Current_Dir)

This variable is set to the directory location in which the backup directory (described above in “Backup Directory”), log file (described below in “Log”), SID file (described above in “System Identification (SID) File”), and day file (described above in “Day File”) are kept. This directory can be placed anywhere.

Day File (\$Day_File)

This file is described above in “Day File”.

SID File (\$SID_File)

This file is described above in “System Identification (SID) File.”

Log (\$Export_Log)

This file contains messages and errors generated from *export_backup*. A common naming convention is *export.log*. During execution, the log file is opened and the entries will be appended to the existing file. If the file does not exist, the script will
5 create a new log file with the name you designate here. Old log files will be kept in an archived form, also in the current directory, and will contain the logged entries for an entire backup cycle. The archived file will be named **.yyyymmdd* (as in 2001Dec31) where *** represents the filename you designate as the log file. The archive will occur if the day file contains the last day of the cycle.

10

User (\$Exp_User)

This variable designates which user has export privileges.

Mail Program (\$Mailer)

15 This variable is set to a command line mail program. The mail program is used to notify the Database Administrator of problems occurred during execution of the backup or to inform the Administrator of a successful backup.

DBA (\$DBA)

20 This variable is set to the Database Administrator that is responsible for getting email messages.

Configuration of Backup Cycle

The backup cycle can be changed to suit the particular requirements for the database. There are six cycle variables in the script that need to be configured if the cycle is changed. They are located in the top portion of the script in a section entitled

5 “Cycle Variables” immediately following the “Variable List” described above in section four. The values of these variables are used to name the backup file and to determine the schedule for when the backup files rollover.

Maximum Day Files (\$maxday)

This variable should be set to the maximum number of daily backup files that are

10 kept minus one. For example, if seven daily backups are kept, this value should be set to six. The naming scheme for the daily backup files is such that day00 is the current day’s backup and day06 is six days ago.

Week Ending Day (\$week_start)

15 This variable is a string and should be set to the three letter abbreviation of the week day name in which you want the weekly backup files to rollover. For example, if you want the week backup files to roll over on Sundays you would set this variable to “Sun”.

20 Maximum Week Files (\$maxweek)

This variable should be set to the maximum number of weekly backup files that are kept minus one. On the week ending date, the weekly backup files will be rolled back and day07 will become week01. For example, if you want to keep four weekly backup files, this variable should be set to three.

Maximum Cycle Days (\$maxcycledays)

This variable should be set to the total number of days in the backup cycle plus one. The value of this variable will be the largest possible value that is in the day file (see section 3.2 above). For a four-week cycle, the maximum cycle days variable should
5 be set to twenty-nine ($4 \text{ weeks} * 7 \text{ days per week} + 1 \text{ day} = 29 \text{ cycle days}$). A value of fifty-seven would represent an eight week cycle ($8 * 7 + 1 = 57$). When the value in the day file is the same as the maximum cycle days variable the cycle backup will occur.

10 Cycle Increment (\$inc_value)

Weekly backups will be kept in longer cycle increments for long term storage. The value of this variable should be set to the increment value. This variable comes into effect when rolling back the week files (described in section 5.3). For example, if
15 you want to keep backups in four-week intervals (starting at the last weekly backup) you would set this variable to four.

Maximum Cycle Files (\$maxcycle)

This variable should be set to the maximum number of backup files that are kept minus the cycle increment value. For example, if you want to keep backup files for
20 fifty-two weeks (one year) and your increment value is four, the value of this variable should be forty-eight. If the day in the day file is equal to the maximum cycle days, the weekly backup files will be rolled back in increments designated by the increment cycle variable. For example, week04 would become week12 if the increment value were eight.

25

How to Execute

Manually

The *export_backups* script can be run manually by having a user execute the script. Since the backup requires that the database be shutdown, inform all database users of the downtime. Expect up to fifteen minutes for the backup. In order to run the script manually go to the directory where the script is located. Check the permissions, and set if necessary. At the command line, type *export_backups*. The script will run without user interaction. The script is complete when the prompt returns.

10 Crontab

It is possible to schedule the backup using the UNIX crontab. To create a new crontab or to edit an existing one, type *crontab -e* at the UNIX command line. To list the entries in the crontab, type *crontab -l* at the command line. Entries in the crontab file are lines of six fields separated by spaces or tabs. An asterisk (*) in fields one through five indicates all legal values. Values can be separated by commas (for a list of values) or hyphens (for inclusive range). The fields are as follows:

Table 9

Field	Acceptable Data
1—minute	0-59
2—hour	0-23
3—month day	1-31
4—month	1-12
5—weekday	0-6 (Sunday is 0)

6—command	Complete path of executable
-----------	--------------------------------

By using the crontab, it is possible to schedule daily, weekly, or monthly backups during non-peak hours. Since the backup requires that the database be shutdown, inform all database users of the expected downtime. Expect up to fifteen minutes for the backup. Check the permissions of *export_backups* before the first backup and set
5 if necessary.

Password Script

```
#!/bin/sh
```

```
#-----#
```

```
10 # Script_name:  getpass          #
# Description:  This script will be used to retrieve the #
#               appropriate password from the .password #
#               file. It can be used from the command  #
#               line to retrieve a password or from a   #
15 #               shell script to eliminate hard coding of #
#               passwords. The .password file is located #
#               in $DBSE_HOME, with the executable    #
#               located in $DBSE_HOME/bin.            #
#
20 # Dependencies:  getpass requires one file, .password #
```

```
#
#
# SID_FILE:  Contains a list passwords for
#
# system, sys, and dbse.
#
#
5 # Command syntax: getpass USERNAME
#-----#

# what shell do we use?

SHELL=/usr/bin/sh

10

# where is our home?

DBSE_HOME=/files2/ipsa/vendor/dbs/product/7.3.4; export DBSE_HOME

# what path do we look for?

15 PATH=/usr/bin:/bin:${DBSE_HOME}/bin; export PATH

# who are we retrieving the password for

if [ $1 ]

then

20 USER=$1
```

```
else

    echo " "

    echo "USAGE: getpass 'username'"

    echo " "

5    exit 1

fi

# make sure the .password file exist

if [ ! -s $DBSE_HOME/.password ]

10 then

    echo " "

    echo "ERROR: this machine does not appear to have a password file"

    echo " "

    exit 1

15 fi

# get the appropriate password

PASSWORD=`cat $DBSE_HOME/.password|grep -i "${USER} "|awk '{print $2}'`

BAD_SIDS=`cat $DBSE_HOME/.password|grep -i "${USER} "|awk '{print $3}'`

20 OLD_PASS=`cat $DBSE_HOME/.password|grep -i "${USER} "|awk '{print $4}'`
```

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```
# if a password was found, print it to the screen

if [ $PASSWORD ]

then

5     echo "${PASSWORD}"

# print a message if passwords appear to not be synced between databases

if [ $BAD_SIDS ]

then

10    BAD_SIDS=`echo ${BAD_SIDS}|cut -c2-100`

    echo ""

    echo "WARNING:\tThe password for ${USER} may not be synchronized "

    echo "\t\tbetween all databases. The database(s) ${BAD_SIDS} appear(s) "

    echo "\tto use the old password '${OLD_PASS}'"

15    echo ""

fi

else

    echo " "

    echo "ERROR: ${USER} is not a supported username"

20    echo " "
```

exit 1

fi

5 **Data Loading Script**

The script *data_loader* is used to load comma delimited data from a text file into a Database by using SQL*Loader. The script can create a control file for this process if one is needed. This script can be run from the cron to load data into many tables, or it can be run from the command line on a single table. It is also possible to do a data re-load using *data_loader*. The script performs error checking and reports via email to a specified administrator of success or failure. The script may be written in Perl.

Configuration

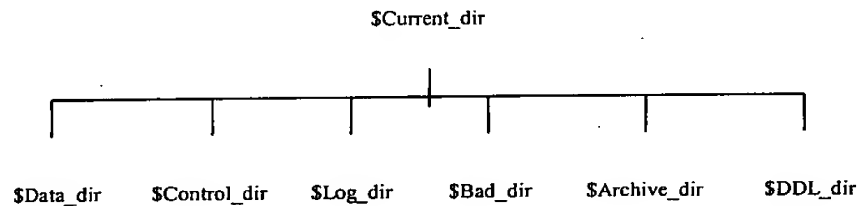
15 **Environment Variables**

Environment variables relevant to the Database need to be set. Specifically, the following environment variables need to be set: *DBSE_SID*, *DBSE_HOME*, *DBSE_BASE*, *HOME*, and *PATH* (to include the location of the dbse bin directory and the directory that holds the scripts used by this procedure). To configure these variables, look for the section in the code entitled *setting environment variables*. Set the values of *\$SID*, *\$Home*, and *\$Base*. Verify that the path is correct when setting the *PATH* environment variable.

Directory Structure

A directory structure should be set up to house the different types of files required and produced by *data_loader*. The six types of files required include data files (*.dat), control files (*.ctl), log files (*.log), bad files (*.bad), data definition files (*.ddl), and archived files (files from the previous five categories).

5



File Names

- 10 The files used/created by the *data_loader* script should have a standardized format. The log files (.log) and bad files (.bad) are named with a date stamp to make the files unique for archiving purposes. The current format of the *date_stamp* is ddMonYYYY. The value of *\$Date_stamp* should be changed to suit the frequency of the data loading. The naming convention should be
- 15 *table_name.date_stamp.extension* or in the case of the log file generated by the *data_loader* script *data_loader.date_stamp.log*. The data files (.dat) should be named *table_name.dat*. However, after loading is complete all data files are copied to the archive directory and renamed with a date stamp as in the manner described above. The control files (.ctl) will be named *table_name.ctl* and will never have a
- 20 date stamp because they are not archived. The *ddl* files should be named *index_name.ddl*.

SQL Scripts and Stored Procedures

- 25 This script uses a variety of SQL scripts and stored procedures. The scripts should be located in their own directory (following the OFA directory structure). Set *\$SQL_dir* to this directory location. In order for these scripts to function properly,

the table owner must have the ability to select from any table (*grant select any table table_owner*). The SQL scripts and stored procedures used by *data_loader* are as follows:

Table 10

<i>Script Name</i>	<i>Type</i>	<i>Input</i>	<i>Output</i>	<i>Functionality</i>
get_index	script	table name	table_name.idx	Spool the index names of the given table to the output file. (section 0)
drop_index_p	procedure	index name	none	Drops the given index (section 0)
create_ctl	script	table name	table_name.ctl	Calls the stored procedure <i>create_ctl_p</i> and spools the <i>control_tb</i> table entries to the output file. (section 0)
create_ctl_p	procedure	table name	control_tb	Inserts rows into <i>control_tb</i> to create control file (section 0)
p_exec	procedure	string	none	Executes the SQL command passed as a parameter (section 0)
sp_kill_users_p	procedure	none	none	Kills SPSS user processes (section 0)
get_direct_load	script	table name	table_name.dir load	Spools the index names that are in direct load state of the given table to the output file (section 0)

Input and Output Files

Required Files

- 5 • *table_list* (file containing a list of tables to load—used if no table is indicated at run time)
- *table_name.dat* (comma delimited file containing data to load into *table_name*)
- *index_name.ddl* (used to create indexes that were dropped)
- *table_name.date_stamp.dat* (copy of *table_name.dat* after load for archiving
- 10 purposes)

Files Generated

- *data_loader.date_stamp.log* (log file generated by *data_loader*)
- *table_name.idx* (generated by the SQL script *get_index*, it is deleted at the end of
- 15 the script—see section 0)
- *table_name.ctl* (file is generated by SQL script *create_ctl* if a ‘-c’ is indicated at run time—see section 0)
- *table_name.date_stamp.log* (log file generated by SQL*Loader—see section 0 for an explanation of the date stamp)
- 20 • *table_name.date_stamp.bad* (bad file generated by SQL*Loader—see section 0 for an explanation of the date stamp)

- *table_name.dirload* (file generated by SQL script *get_direct_load*, it is deleted if the files has zero size—see section 0 for an explanation)

Messaging

- 5 The *data_loader* script will send email to an administrator to report success or failure of the data loading process. Set *\$Mailer* to the text string that will execute the mailer in command line mode. Set *\$DBA* to the email address of the administrator.

Users

- 10 The *data_loader* script will need to log on to SQL*Plus and SQL*Loader a number of times for a variety of purposes. A variable name should be set for each schema name that will be utilized. Do not hard-code the schema password in the script. Instead use *getpass*.

- 15 Options with SQL*Loader

The following options are used with SQL*Loader:

- *control*=\$Control_file (see section 0)
- *log*=\$Log_file (see section 0)
- *bad*=\$Bad_file (see section 0)
- 20 • *skip*=\$Skip_number (default is zero, only changes if doing reload, see section 0)
- *errors*=100
- *direct*=TRUE

- Table to be loaded is *table_name*
- Do not create control file
- Skip *skip_number* records while loading

5 Procedure

The following is the procedure the *data_loader* script follows to load data into a database.

Table 11

Step	• Activity/Action
1	<ul style="list-style-type: none">• Read in and set parameters• Set database environment• Set directory structure variables• Set SQL Script directory• Set Mailer program and Administrator• Set Failure Flag to false (0)
2	<ul style="list-style-type: none">• For each user, set user name and get password using <i>getpass</i>
3	<ul style="list-style-type: none">• Archive old log files and bad files
4	<ul style="list-style-type: none">• Set the date stamp for load• Create <i>data_loader.date_stamp.log</i>
5	<ul style="list-style-type: none">• Push the table name to be loaded into an array. Get this

information either from a file (table_list) or from a parameter

- 6
 - Find the current indexes on the tables to be loaded
 - Drop the indexes that are not on the primary key(s)
- 7
 - If necessary, create control files for each table that is to be loaded
 - Check that the control file was created successfully
- 8
 - Alter the database to allow only users with RESTRICTED PRIVLEDGES to log on
 - Kill user processes that are do not have RESTRICTED PRIVLEDGES
- 9
 - Set the files names of the data file, control file, log file, and bad file
 - Check to insure the input files exist, abort loading if they do not
- 10
 - Start SQL*Loader
- 11
 - Check load results by scanning the log file for errors
 - Check if there is a bad file generated by SQL*Loader
- 12
 - Repeat steps 9-11 for all tables to be loaded
- 13
 - Alter database to allow ALL USERS to log on
- 14
 - Recreate indexes that were dropped in step 6
- 15
 - Check to see if there are any indexes on the loaded table that were left in direct load state

	<ul style="list-style-type: none">• If there are, send a message to the Administrator• If not, delete the generated file• Delete the file generated by the <i>get_index</i> SQL script
16	<ul style="list-style-type: none">• Check the value of the failure flag (it will be set to true if any errors occurred at any time during the process above)• Email the Administrator to report success or failure
17	<ul style="list-style-type: none">• Archive the data files (if the load is not a re-load) by copying the data file to the archive directory and renaming it to include a date stamp

Scripts

The following is the scripts reference above are used by *data_loader*. The bolded lines should be configured to the particular system being used.

5 *getpass (Born Shell)*

```
#!/bin/sh

#-----#

# Script_name:  get_pass          #

#                               #

10 # Description:  This script will be used to retrieve the #

#               appropriate password from the .password #

#               file. It can be used from the command  #
```


20

PATH=/usr/bin:/bin:\${DBSE_HOME}/bin; export PATH

```
5  if[ $1 ]
```

USER=\$1

echo " "

```
10      echo "USAGE: getpass 'username'"
```

echo " "

exit 1

fi

```
15 # make sure the .password file exist
```

```
if [ ! -s $DBSE_HOME/.password ]
```

then

echo " "

```
echo "ERROR: this machine does not appear to have a password file"
```

20 echo " "

```
        exit 1

    fi

    # get the appropriate password

5   PASSWORD=`cat $DBSE_HOME/.password|grep -i "${USER}" |awk '{print $2}'`

    BAD_SIDS=`cat $DBSE_HOME/.password|grep -i "${USER}" |awk '{print $3}'`

    OLD_PASS=`cat $DBSE_HOME/.password|grep -i "${USER}" |awk '{print $4}'`

    # if a password was found, print it to the screen

10  if [ $PASSWORD ]

    then

        echo "${PASSWORD}"

    # print a message if passwords appear to not be synced between databases

15  if [ $BAD_SIDS ]

    then

        BAD_SIDS=`echo ${BAD_SIDS}|cut -c2-100`

        echo ""

        echo "WARNING:\tThe password for ${USER} may not be synchronized "

20  echo "\t\tbetween all databases. The database(s) ${BAD_SIDS} appear(s) "
```



```
ws_owner    varchar2(30);

CURSOR c_index_owner (IN_IndexName VARCHAR2)

IS

SELECT table_owner

5  FROM dba_indexes

WHERE index_name = UPPER(IN_IndexName);

BEGIN

    OPEN c_index_owner(IN_IndexName);

    FETCH c_index_owner INTO ws_owner;

10  ws_stmt := 'DROP INDEX '||ws_owner||'.'||IN_IndexName;

    p_exec (ws_stmt);

    CLOSE c_index_owner;

END drop_index_p;

/

15  show errors;


create_ctl (PL/SQL Script)

SET ECHO OFF

REM  Procedure Name: create_ctl

20  REM  Description: Procedure created control file required by SQL*Loader
```

REM Tables Accessed: All_Tab_Columns (Data Dictionary Table)

```
5 SET SERVEROUTPUT OFF
```

SET FEEDBACK OFF

SET TERMOUT OFF

ACCEPT T_Name char

```
delete control_tb;
```

```
commit;
```

EXECUTE CREATE_CTL_P('&T_NAME');

SET HEADING OFF

SPOOL /files6/ipsa/data_loads/control_files/&T_Name..ctl

```
select line_text from control_tb order by line_nbr;
```

SPOOL OFF

20 SET TERMOUT ON

SET SERVEROUTPUT OFF

SET VERIFY ON

SET FEEDBACK ON

SET ECHO ON

5

create_ctl_p (PL/SQL Stored Procedure)

****Note**—there should be a table used specifically for this procedure (*control_tb*)
with the columns

LINE_NBR number(3) and LINE_TEXT varchar2(100).

10

CREATE OR REPLACE PROCEDURE create_ctl_p

(IN_TableName IN user_tab_columns.table_name%TYPE)

AS

WS_RecNo number(2) := 0;

15

WS_line_ctr number(3) := 0;

WS_index_name varchar2(30);

CURSOR c_index_name(IN_TableName IN user_tab_columns.table_name%TYPE)
is

SELECT index_name

20

FROM sys.dba_indexes

WHERE sys.dba_indexes.table_name = UPPER(IN_TableName)

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AND sys.dba_indexes.index_name like 'PK%';

CURSOR c_user_tab_columns(IN_TableName
user_tab_columns.table_name%type) is

SELECT column_name,

5 data_type,

 data_length

FROM user_tab_columns

WHERE user_tab_columns.table_name = UPPER(IN_TableName);

BEGIN

10

OPEN c_index_name(IN_TableName);

FETCH c_index_name INTO WS_index_name;

insert into control_tb values (WS_line_ctr,'UNRECOVERABLE');

WS_line_ctr := WS_line_ctr + 1;

15 insert into control_tb values (WS_line_ctr,'LOAD DATA');

WS_line_ctr := WS_line_ctr + 1;

insert into control_tb values (WS_line_ctr,'INFILE

 "/files6/ipsa/data_loads/data_files/"||IN_TableName||'.dat');

WS_line_ctr := WS_line_ctr + 1;

20 insert into control_tb values (WS_line_ctr,'APPEND');

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20

IF c_rec.data_type = 'DATE' THEN

insert into control_tb values (WS_line_ctr,'||c_rec.column_name||

' DATE "MM/DD/YYYY HH24:MI:SS"');

ELSE

5 insert into control_tb values (WS_line_ctr,'||c_rec.column_name);

END IF;

END IF;

WS_line_ctr := WS_line_ctr + 1;

END LOOP;

10 insert into control_tb values (WS_line_ctr,');

EXCEPTION

WHEN NO_DATA_FOUND THEN

DBMS_OUTPUT.PUT_LINE('The table does not exist:');

END;

15 /

show errors

p_exec (PL/SQL Stored Procedure)

CREATE OR REPLACE PROCEDURE p_exec (IN_String IN varchar2)

20 AS

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1

,serial#

FROM sys.v\$session

WHERE username = 'SPSSUSER'

AND status <> 'KILLED';

5

BEGIN

```
ora_err_code := 0;
```

```
IF NOT c_current_sessions%ISOPEN THEN
```

```
10      OPEN c_current_sessions;
```

END IF;

LOOP

FETCH c_current_sessions INTO ws_sid

```
15                                     ,ws_serial#;
```

```
IF c_current_sessions%NOTFOUND THEN
```

```
IF (c_current_sessions%ROWCOUNT = 0) THEN
```

```
RAISE NO_DATA_FOUND;
```

20 END IF;

```

        CLOSE c_current_sessions;

        EXIT;

    END IF;

5      ws_stmt := 'ALTER SYSTEM KILL SESSION "'||ws_sid||','||ws_serial#||'";

        p_exec (ws_stmt);

        END LOOP;

        CLOSE c_current_sessions;

10     EXCEPTION

        WHEN OTHERS THEN

            ora_err_code := SQLCODE;

            /* CLOSE c_current_sessions; causes invalid cursor error*/

15     END sp_kill_users_01;

    /

    show errors

get_direct_load (PL/SQL)

20     SET ECHO OFF
```


SET ECHO ON

Data Loading Parameter Reporting

15 The script *clean_archives* is used to delete files from the archive directory used by the data loading script *data_loader*. The script can use the Perl Module DataManip to compute the date stamp of the files that are to be deleted. This module can be obtained from the Perl web site (<http://www.perl.com/pack/pub>). The files put in the archive directory by the data loading script *data_loader* will have a date stamp in the
20 file name. This script will compute a date x days ago. It will then delete all the files in the archive directory that match that date. Depending on the frequency of data loading, the date stamp may include a time stamp as well. It may be more feasible to delete the archived files that match the day and not the time.

Configuration

Variables

- \$Archive_dir (this variable will represent the location where the archive files are located)
- 5 • \$Days (this variable will represent the number of days ago to compute, default is seven)

Executing *clean_archives*

clean_archives [*days*]

- 10 • If a number *days* is a parameter, it will computer *days* number of days ago and delete those files
- If no date is passed, the default of seven days is used

Procedure

- 15 The following is the procedure the *clean_archives* script follows to remove files from the archive directory:

Table 12

Step	Activity/Action
1	<ul style="list-style-type: none">• Read in and set <i>days</i> parameter, if necessary OR set <i>days</i> to the default
2	<ul style="list-style-type: none">• Computer the date to be deleted

3	<ul style="list-style-type: none">• Open the archive directory and read in the file names
4	<ul style="list-style-type: none">• For each files name, check to see if the date stamp on that file matches the date computed in step 2• If it matches, delete the file

Purge Records Script

5 The script *purge_records* is used to delete records from a Database. The script runs a PL/SQL script that deletes records from tables after a certain length of time. This script can be run from the cron or from the command line. The script performs error checking and reports via email to a specified administrator of success or failure. This script may be written in Perl.

10 **Configuration**

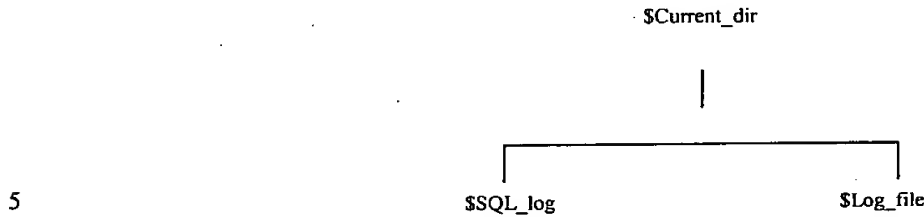
Environment Variables

15 Environment variables relevant to the Database need to be set. Specifically, the following environment variables need to be set: *DBSE_SID*, *DBSE_HOME*, *DBSE_BASE*, *HOME*, and *PATH* (to include the location of the dbse bin directory and the directory that holds the scripts used by this procedure). To configure these variables, look for the section in the code entitled *setting environment variables*. Set the values of *\$\$SID*, *\$Home*, and *\$Base*. Verify that the path is correct when setting the *PATH* environment variable.

Directory Structure

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A directory structure should be set up to house the files generated by *purge_records*. See section 0 for a list and description of these files.



File Names

10 The files used/created by the *purge_records* script should have a standardized naming format. The log file generated by the *purge_records* script will be named *purge.log*. This file will hold a months worth of log entries. At the first day of the month, it will be renamed *purge.log.date_stamp*. The current format of the *\$Date_stamp* is MonYYYY, however *\$Date_stamp* can be changed if more frequent archiving of the log file is necessary.

15 The file generated by the SQL Script is called *purge.sql.log*. This file is the spooled output of the SQL Script. It will be checked for errors/exceptions. If none are found, it is deleted. If there are errors found, it is renamed *purge.sql.log.date_stamp* with the date stamp format as described above.

SQL Script

20 This script uses a SQL script called *purge_records*. The scripts should be located in its own directory (following the OFA directory structure). Set *\$SQL_dir* to this directory location. The SQL script used by *purge_records* is as follows:

Table 13

<i>Script Name</i>	<i>Type</i>	<i>Input</i>	<i>Output</i>	<i>Functionality</i>
------------------------	-------------	--------------	---------------	----------------------

purge_recor ds	script	none	purge.sql.log	Deletes rows of tables that are past the specified date (section 0)
-------------------	--------	------	---------------	---

Output Files

Files Generated

- purge.sql.log (log file generated by SQL script *purge_records*)
- purge.log (log files generated by this script)

5 Messaging

The *purge_records* script will send email to an administrator to report success or failure of the purging process. Set *\$Mailer* to the text string that will execute the mailer in command line mode. Set *\$DBA* to the email address of the administrator.

Users

- 10 The *purge_records* script will need to log on to SQL*Plus to execute the SQL script *purge_records*. A variable name should be set to the schema that owns the tables that are going to be purged. Do not hard-code the schema password in the script. Instead use *getpass*.

15 Executing *purge_records*

The *purge_records* script does not accept any parameters. It can be run from the command line or from the cron. To execute the script, type *purge_records*.

Procedure

The following is the procedure the *purge_records* script follows to load data into a database.

Table 14

Step	Activity/Action
1	<ul style="list-style-type: none">• Set database environment• Set directory structure variables• Set SQL Script directory• Set log file name variables
2	<ul style="list-style-type: none">• Create a new log file <i>purge.log</i> if one does not exist, or open an existing <i>purge.log</i> for appending
3	<ul style="list-style-type: none">• Set the mailer program and administrator's email address
4	<ul style="list-style-type: none">• Set the date stamp for load• For the schema owner, set the user name and get password using <i>getpass</i>• Set the fail flag to false (0)
5	<ul style="list-style-type: none">• Log onto SQL*Plus• Execute the SQL script <i>purge_records</i>
6	<ul style="list-style-type: none">• Check to see if a the SQL script generated a log file• If so, scan the log file for errors. If errors are found set the fail flag to true. Print to the log file the number of rows that were

	deleted
7	<ul style="list-style-type: none"> • If the log file does not exist, the script did not run correctly. Set the fail flag to true. • Check the value of the failure flag (it will be set to true if any errors occurred at any time during the process above) • If the flag is false the purge was successful. Delete the log file generated by the SQL script and send an email report to the administrator • If the flag is true the purge was unsuccessful. Rename the file generated by the SQL script to include the date stamp and send an email report to the administrator
8	<ul style="list-style-type: none"> • If it is the first day of the month, rename <i>purge.log</i> to include the date stamp. • Otherwise print the date/time to the log and close it

Scripts

The following is the scripts reference above are used by *purge_records*. The bolded lines should be configured to the particular system you are using.

5 *getpass (Born Shell)*

```
#!/bin/sh
```

```
#-----#
```

```
# Script_name:  get_pass          #
```

```
#                                #
```

```
# Description:  This script will be used to retrieve the #
#
#       appropriate password from the .password #
#
#       file. It can be used from the command #
#
#       line to retrieve a password or from a #
5 #       shell script to eliminate hard coding of #
#
#       passwords. The .password file is located #
#
#       in $DBSE_HOME, with the executable #
#
#       located in $DBSE_HOME/bin. #
#
#
10 # Dependencies:  getpass requires one file, .password #
#
#
#       SID_FILE:  Contains a list of passwords for #
#
#       system, sys, and dbse. #
#
#
15 # Command syntax: getpass USERNAME #
#-----#
#
# what shell do we use?
SHELL=/usr/bin/sh
```

```
DBSE_HOME=/files0/ipsa/vendor/dbse/product/7.3.4; export DBSE_HOME
```

```
5 PATH=/usr/bin:/bin:${DBSE_HOME}/bin; export PATH
```

```
if [ $1 ]
```

10 USER=\$1

echo " "

```
echo "USAGE: getpass 'username'"
```

echo " "

```
15      exit 1
```

```
# make sure the .password file exist
```

```
if [ ! -s $DBSE_HOME/.password ]
```

20 then


```
echo " "

echo "ERROR: this machine does not appear to have a password file"

echo " "

exit 1

5  fi

# get the appropriate password

PASSWORD=`cat $DBSE_HOME/.password|grep -i "${USER}" |awk '{print $2}'`

BAD_SIDS=`cat $DBSE_HOME/.password|grep -i "${USER}" |awk '{print $3}'`

10 OLD_PASS=`cat $DBSE_HOME/.password|grep -i "${USER}" |awk '{print $4}'`

# if a password was found, print it to the screen

if [ $PASSWORD ]

then

15     echo "${PASSWORD}"

# print a message if passwords appear to not be synced between databases

if [ $BAD_SIDS ]

then

20     BAD_SIDS=`echo ${BAD_SIDS}|cut -c2-100`
```

66622T" /ZTZ460

```
echo ""

echo "WARNING:\tThe password for ${USER} may not be synchronized "

echo "\t\tbetween all databases. The database(s) ${BAD_SIDS} appear(s) "

echo "\t\tto use the old password '${OLD_PASS}'"

5    echo ""

    fi

else

    echo " "

    echo "ERROR: ${USER} is not a supported username"

10   echo " "

    exit 1

    fi
```

purge_records (SQL Script)

```
15  SET ECHO ON

    SET FEEDBACK ON

    SET FLUSH OFF

    SET HEADING OFF

    SET SERVEROUTPUT ON

20  SET TERMOUT OFF
```

SET VERIFY OFF

SPOOL /files6/ipsa/purge/purge.sql.log

REM *Purge records in PERF_FACT_TB table

delete from PERF_FACT_TB where PERF_FACT_TB.PERF_TIME_KEY_CD
5 in

(select PERF_METRIC_TIME_TB.PERF_TIME_KEY_CD

from PERF_METRIC_TIME_TB

where

to_char(PERF_METRIC_TIME_TB.PERF_DT, 'MM/DD/YYYY')

10 <=(select to_char(sysdate - 40, 'MM/DD/YYYY') from dual));

REM *Purge records in EVENTS_FACT_TB table

delete from EVENTS_FACT_TB where
EVENTS_FACT_TB.PERF_TIME_KEY_CD in

(select PERF_METRIC_TIME_TB.PERF_TIME_KEY_CD

15 from PERF_METRIC_TIME_TB

where

to_char(PERF_METRIC_TIME_TB.PERF_DT, 'MM/DD/YYYY')

<=(select to_char(sysdate - 40, 'MM/DD/YYYY') from dual));

REM *Purge records in PERF_FACT_DLY_TB table

20 delete from PERF_FACT_DLY_TB where
PERF_FACT_DLY_TB.PERF_TIME_KEY_CD in

66E22F" 2/27/2016

```
(select PERF_METRIC_TIME_TB.PERF_TIME_KEY_CD
from PERF_METRIC_TIME_TB
where
to_char(PERF_METRIC_TIME_TB.PERF_DT, 'MM/DD/YYYY')
5  <=(select to_char(sysdate - 397, 'MM/DD/YYYY') from dual));

SPOOL OFF
```

Database Table Data Extractor

- 10 The script *table_extract* is used to extract data from a Database table and write it to a flat file in a comma delimited format. The purpose of this script is to extract the data from non-fact tables. Therefore, there not all tables can be extracted. The script can be configured to extract data from as many tables as required. The script may be written in Perl.

15

Configuration

Environment Variables

- Environment variables relevant to the Dbse Database need to be set. Specifically, the following environment variables need to be set: *DBSE_SID*, *DBSE_HOME*,
20 *DBSE_BASE*, *HOME*, and *PATH* (to include the location of the dbse bin directory and the directory that holds the scripts used by this procedure). To configure these variables, look for the section in the code entitled *setting environment variables*. Set the values of *\$\$SID*, *\$Home*, and *\$Base*. Verify that the path is correct when setting the *PATH* environment variable.

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Users

The *table_extract* script will need to log on to SQL*Plus to execute the SQL script *table_extract*. A variable name should be set to the schema that owns the tables that are going to be extracted. Do not hard-code the schema password in the script.

- 5 Instead use *getpass* (see below).

PL/SQL

For every table that is to be extracted, a corresponding PL/SQL select statement is required. Determine which columns should be extracted and what, if any, filters are applicable.

- 10 d. Output Files

Files Generated

- *table_name.dat* (contains data returned from the select statement for *table_name* in a comma delimited format)

- 15 **Executing *table_extract***

table_extract table_name output_directory

- Extract data from *table_name*
- Put file *table_name.dat* into *output_directory*

- 20 **Procedure**

The following is the procedure the *table_extract* script follows to extract data from a database into a text file.

Table 15

Step	Activity/Action
1	<ul style="list-style-type: none">• Read in and set parameters (<i>table_name</i> and <i>output_directory</i>)• Set database environment
2	<ul style="list-style-type: none">• For each user, set user name and get password using <i>getpass</i>
3	<ul style="list-style-type: none">• Log on to SQL*Plus• Set SQL system variables• Set Spool to be <i>output_directory/table_name.dat</i>
4	<ul style="list-style-type: none">• Execute the correct select statement for <i>table_name</i>, if non exist print out an error
5	<ul style="list-style-type: none">• Log off SQL*Plus
6	<ul style="list-style-type: none">• The output file <i>table_name.dat</i> will now be in a format that has extra spaces between fields.• Open the file and remove all extra white space• Rewrite the file in the correct format

Scripts

The following is the script reference above this is used by *purge_records*. The
5 bolded lines should be configured to the particular system you are using.

getpass (Born Shell)

`#!/bin/sh`

```
#-----#  
  
# Script_name:  get_pass          #  
  
#                               #  
  
# Description:  This script will be used to retrieve the #  
5  #           appropriate password from the .password #  
#           file. It can be used from the command #  
#           line to retrieve a password or from a #  
#           shell script to eliminate hard coding of #  
#           passwords. The .password file is located #  
10 #           in $DBSE_HOME, with the executable #  
#           located in $DBSE_HOME/bin. #  
#           #  
# Dependencies:  getpass requires one file, .password #  
#           #  
15 #   SID_FILE:  Contains a list passwords for #  
#           system, sys, and dbse. #  
#           #  
# Command syntax: getpass USERNAME #  
#-----#
```

what shell do we use?

SHELL=/usr/bin/sh

where is our home?

5 DBSE_HOME=/files0/ipsa/vendor/dbse/product/7.3.4; export DBSE_HOME

what path do we look for?

PATH=/usr/bin:/bin:\${DBSE_HOME}/bin; export PATH

10 # who are we retrieving the password for

if [\$1]

then

USER=\$1

else

15 echo " "

echo "USAGE: getpass 'username'"

echo " "

exit 1

fi

20

66E22T" 22T 2460


```
# make sure the .password file exist
```

```
if [ ! -s $DBSE_HOME/.password ]
```

then

echo " "

```
5 echo "ERROR: this machine does not appear to have a password file"
```

echo " "

```
exit 1
```

fi

```
10 # get the appropriate password
```

```
PASSWORD='cat $DBSE_HOME/.password|grep -i "${USER}"|awk '{print $2}'
```

```
BAD_SIDS='cat $DBSE_HOME/.password|grep -i "${USER}"|awk '{print $3}'
```

```
OLD_PASS=`cat $DBSE_HOME/.password|grep -i "${USER}"|awk '{print $4}'`
```

```
15 # if a password was found, print it to the screen
```

```
if [ $PASSWORD ]
```

then

```
echo "${PASSWORD}"
```

```
20 # print a message if passwords appear to not be synced between databases
```

if [\$BAD_SIDS]

then

BAD_SIDS=`echo \${BAD_SIDS}|cut -c2-100`

echo ""

5 echo "WARNING:\tThe password for \${USER} may not be synchronized "

echo "\t\tbetween all databases. The database(s) \${BAD_SIDS} appear(s) "

echo "\t\tto use the old password '\${OLD_PASS}'"

echo ""

fi

10 else

echo " "

echo "ERROR: \${USER} is not a supported username"

echo " "

exit 1

15 fi

REPORTING

Figure 16 depicts an embodiment of the present invention which graphically conveys availability in a network with service assurance capabilities. In operation 1600, report parameters are selected relating to availability of monitored elements, services, and processes of a network. A database is polled in operation 1602 for data that matches the report parameters. A graph is generated in operation 1604 from the data that matches the report parameters. In operation 1606, the generated graph is displayed to graphically represent the monitored elements, services, and processes of the network.

In one aspect of the present invention, the step of selecting the report parameters further includes the steps of selecting at least one metric class parameter relating to the activity of the network, selecting a graph type parameter, and selecting at least one report criteria parameter relating to the activity of the network. The generated graph may be of the selected graph type parameter.

In another aspect of the present invention, a selectable list of metrics parameters, a selectable list of graph type parameters, and a selectable list of report criteria may be displayed. Further, the graph type parameter may be dependant upon the selected metric class parameter. The report criteria parameter may also be dependant upon the selected graph type parameter.

In one embodiment, the graph type parameter may be a line graph, a bar graph, a boxplot graph, and/or a spectrum graph. In addition, the graph may displayed via a browser.

More details follow.

IPSA Batch and Ad hoc Reporting

5 This section provides an overview of the IPSA reporting process. It sequentially covers the procedures for implementing both batch reporting and web-based ad hoc reporting. The following files and directories are used:

IPSA_StartSPSS.cmd Located anywhere, it is used to start the reporting process (see Configuration). Instantiates two SPSS processes (spssw.exe, spsswin.exe) and IPSA_SPPS.exe

- 5 <SABATCH> Batch queue file location, as specified in the IPSA_StartSPSS.cmd file (see Configuration).

IPSA_BatchQueue.txt Queue file that, if present, is passed to SPSS and contains batch report specifications. This file is deleted after being read by IPSA_SPPS.exe.

- 10 See Adhoc.doc and graphs.doc for content explanations.

<SAADHOC> Adhoc queue file location, as specified in
<SARPHME>\InputQueue\IPSA_Reporting_Config.txt (see Configuration).

- 15 IPSA_AdhocQueue.txt Queue file passed to SPSS that contains ad hoc report parameters. This file is created by the web interface (<WWW ROOT>\cgi-bin\adhoc.pl) and deleted after use by IPSA_SPPS.exe. See Adhoc.doc and graphs.doc for content explanations.

IPSA_AdHocQueue_Working_<instance>.txt

- 20 Adhoc working file with a unique IPSA_SPPS.exe instance number, <instance>, that is defined in IPSA_StartSPSS.cmd (see Configuration).

IPSA_ProcessTheBatchQueue.txt The presence of this file notifies
IPSA_SPSS.exe to read <SABATCH>\IPSA_BatchQueue.txt and pass it to SPSS.
This file is polled for every five seconds.

- 5 <SARPHME> The reporting home directory, as specified in the
IPSA_StartSPSS.cmd file (see Configuration). All files needed to generate reports
are located within this directory.

\InputQueue IPSA_SPSS.exe configuration and control files.

- 10 IPSA_Reporting_Config.txt

IPSA_SPSS.exe configuration file. Includes database location and login
information, output directories and image format specification for the generated
graphs (see Configuration).

- 15 IPSA_ControlQueue.txt Contains commands to be issued to IPSA_SPSS.exe
during execution. Used for terminating the process.

\Logs Log files generated from IPSA_SPSS.exe execution

IPSA_VB_SPSS.log Error messages from program execution.

20

\SPSS All SPSS related files.

\Macros SPSS macros

	!bargrph.SPS	bar graph
	!bxgrph.SPS	boxplot
	!spect.SPS	spectrum graph
5	!xygrph.SPS	xy line graph
	\Templates	SPSS chart looks
	avail.clo	availabilty graph
	bar.clo	bar graph
10	box.clo	boxplot
	exception.clo	exception graph
	line.clo	xy line graph
	\Working Data Files	SPSS macros use this directory for temporary files.
15		
	\Web\Html\Templates	HTML fragments for use to dynamically produce HTML
	todays_urls_head_template.txt	batch TOC header
	todays_urls_detail_template.txt	batch TOC report title
20	todays_urls_tail_template.txt	batch TOC footer

detail_urls_head_template.txt	batch/adhoc list-of-reports header
detail_urls_detail_template.txt	batch/adhoc list-of-reports details
detail_urls_tail_template.txt	batch/adhoc list-of-reports footer

5 <SAWEB> The report output home directory, as specified in <SARPHME>\InputQueue\IPSA_Reporting_Config.txt (see Configuration).

10 \Adhoc\<date>_INFO Adhoc reports issued on the date <date> where <date> is of the form YYYYMMDD. This directory is created by the report generation script.

 <adhoc_report_title><date><unique id>.html

 the generated adhoc report

15 \Batch\Daily Daily batch reports home directory

 \<date>_INFO Generated batch reports. <date> is of the form YYYYMMDD, but is the date **prior** to the date when the report request was issued. This directory is created by the report generation script.

20 \Images Images for the HTML pages

 Graph1.gif list bullet

 SA1.gif Service Assurance logo

<WEB ROOT> Root directory of the web server
where the reports will be housed.

5 bground.gif background image for the adhoc web pages

\cgi-bin Adhoc files location. This directory
must be accessible from a web browser via HTTP and have "execute" permission
assigned to it.

10 adhoc.pl Perl script used to generate ad hoc reports. This file is
accessed via HTTP from a web browser to start the ad hoc report data gathering
process.

adhoc.setup.txt Setup and configuration file for the adhoc.pl
script. Contains report types and descriptions.

15

Batch Request

Overview

To enable report generation, execute IPSA_StartSPSS.cmd. Both SPSS and a VB
file-polling application are invoked in the form of IPSA_SPSS.exe, sppssw.exe, and
20 sppsswin.exe. These are processes that can be monitored using Window NT Task
Manager. With report criteria specified in <SABATCH>IPSA_BatchQueue.txt,
create the file <SAADHOC>IPSA_ProcessTheBatchQueue.txt. The contents of this
file are unimportant, as only its presence is polled for. IPSA_SPSS.exe reads the
contents of IPSA_BatchQueue.txt and passes it to SPSS. Graphs are generated in
25 <SAWEB>\Batch\Daily\ as well as an HTML file that points to the images.

Multiple graphs will be generated if, for example, 100 elements are reported on and the maximum number of elements per axis has been set to 10. In this case, 10 graphs would be generated and the HTML file would link to each of them. To terminate the application, alter <SARPHME>\InputQueue\IPSA_ControlQueue.txt as stated in the "Controlling IPSA_SPSS during execution" section of this document.

Installation

Run SetupVisualBasicRuntime\Setup.exe to install needed Visual Basic runtime components to your system. The installation directory that you choose must be specified in IPSA_StartSPSS.cmd if you plan to use the command file.

Configuration

IPSA_StartSPSS.cmd is often used to start the reporting process. This command file changes to the IPSA installation directory and executes IPSA_SPSS.exe, passing three modifyable parameters:

SARPHME: <SARPHME>, reporting home directory

SABATCH: <SABATCH>, location of batch input queue

INSTNCE: <instance>, the unique instance of this IPSA_SPSS.exe process

<SARPHME>\InputQueue\IPSA_Reporting_Config.txt contains reporting and environment configuration for both the VB and SPSS applications:

@{

SADSN = ipsa01 SAUID = spssuser

SAPWD = spssuser SASRVER = IPSA01

SAWEB = "C:\Inetpub\WWWroot"

SAWEBRL = "/web_reports"

SAADHOC = "c:\Data\Reporting\InputQueue"

5 DBESCPE = \ MAXAXIS = 10 GRPHOUT = "JPEG File"

}@

SADSN: DSN for the database

SAUID: database user id

10 SAPWD: database password

SASRVER: database server

DBESCPE: escape character

SAWEB: the root directory of the web server (e.g. c:\InetPub\WWWroot).

15 SAWEBRL: report output directory, relative to <WEB ROOT> (e.g. if the reports will be in c:\InetPub\WWWroot\web_reports, use SAWEBRL = "/web_reports").

SAADHOC: directory location of the IPSA_AdhocQueue.txt file

MAXAXIS: maximum number of objects that can exist on any single axis.

GRPHOUT: type of graph image to be produced (valid values are "JPEG File" or "Windows Bitmap")

20

Set-Up Request

0044-224400

Update <SABATCH>IPSA_BatchQueue.txt with your report criteria. See
adhoc.doc for content specification. This file is read by IPSA_SPSS.exe and the
contents sent to SPSS to designate what data is pulled from the database and
reported upon. If *all four* of the following fields are not specified, defaults are used
5 for *all four*.

STRTYR, STRTMON, STRTDAY: default is all day yesterday

NUMDAYS: default is 1 day

10 In addition, the wildcards "*" and "?" may be used when specifying element names
and locations:

ELMNM = "*" for multi-char

ELMLOC = "?" for one-char

Start SPSS

15 Run IPSA_Start_SPSS.cmd to start IPSA_SPSS.exe and instantiate SPSS. Run
Windows Task Manager (taskmgr.exe) from Start/Run... to verify that the following
Processes are running: IPSA_SPSS.exe, spssw.exe, and spsswin.exe.

note: An enhancement would be to have IPSA_SPSS.exe run as a Windows NT
Service.

20

Propagate the Action

The presence of <SAADHOC>\IPSA_ProcessTheBatchQueue.txt will notify the
IPSA_SPSS.exe to read <SABATCH>IPSA_BatchQueue.txt and pass the report
parameters to SPSS (see the next section, "IPSA_SPSS Operation"). The content of

66222F" /Z/F/460

this file does not matter, as only its presence is checked for. This file is deleted when IPSA_SPSS.exe has processed it.

note: This is problematic when multiple instances of IPSA_SPSS.exe exist and all are expected to wait for this file to be created. A possible solution is to have
5 multiple copies of IPSA_ProcessTheBatchQueue.txt located in separate directories, each being accessed by a single IPSA_SPSS.exe process.

IPSA_SPSS Operation

This process polls for the existence of
10 <SAADHOC>\IPSA_ProcessTheBatchQueue.txt. Once found,
<SABATCH>\IPSA_BatchQueue.txt is read and its values passed to SPSS for processing.

The batch queue could be separate for each instance of this process.

Two places that all instances must access are (1) Ad-hoc queue and (2), web
15 documents dir.

Controlling IPSA_SPSS during execution

Commands may be issued to IPSA_SPSS.exe by creating
<SARPHME>\InputQueue\IPSA_ControlQueue.txt and entering commands into it.
20 IPSA_SPSS.exe reads this file (if it exists) and executes the commands contained within.

For example, the following file contents will terminate the SPSS and IPSA_SPSS.exe processes:

@{

656327 2272460

SAQUIT = yes

}@

If this method is not used, the processes must be killed using Windows Task
5 Manager (see 3.4). IPSA_ControlQueue.txt is deleted after use.

note: Currently the only command that IPSA_SPSS recognizes is “SAQUIT = yes”
(see above).

note: This file currently resides in <SARPHME>\InputQueue\ but can be
reconfigured to exist in the queue directory for multiple instances of
10 IPSA_SPSS.exe.

Output

A table of contents for the generated graphs is created in
<SAWEB>\Batch\Daily\<date>_INFO, as well as the graph image files. This table
15 of contents is viewable in a web browser.

<SARPHME>\Logs\ will contain a log file named IPSA_VB_SPSS.log if any errors
are encountered.

Updating the Setup program

20 From the Visual Basic IDE, open the IPSA_SPSS.VBP project file. Make any
needed code changes, then create IPSA_SPSS.EXE from the File menu. Close the
IDE. From the Visual Basic program group, start the Application Setup Wizard.
Open the IPSA_VB_SPSS.SWT template file and follow the prompts to create the
setup program, answering “no” to all questions related to “remote automation

to SPSS, where the graphs are created. The only real difference is that the queue file is not created by hand, it is generated from a web-based interface. The presence of the IPSA_AdhocQueue.txt file is the trigger for the report generation. This is analogous to the IPSA_ProcessTheBatchFile.txt file from batch reporting.

5

Requirements

- HTML 3.2 compliant web browser (e.g. Netscape Navigator 3.0 or higher, MS Internet Explorer 4.0 or higher).
- adhoc.pl file located in the cgi-bin directory of a web server
- 10 • adhoc.setup.txt file located in the same directory as adhoc.pl (above)

Navigation

From the main Ad hoc screen 1700 located at http://<web_server>/cgi-bin/adhoc.pl, choose a metric class from the selection list and click Next 1702 (see Figure 17A).

- 15 If multiple graph types 1710 are available for the chosen metric, they are displayed next (see Figure 17). After choosing a graph type, enter report criteria into the form (see Figure 18). Exemplary fields include Title 1800, Element 1802, Location, etc. Specify multiple elements 1804 or locations 1806 by either typing their name in the entry field or selecting them from the drop-down list and clicking "Add".
- 20 Acceptable wildcards are "*" for "all" and "?" for "zero or one". Choose multiple metrics by holding the CTRL key down while selecting each desired metric.

Click on Submit to create or update <SAADHOC>\IPSA_AdhocQueue.txt.

IPSA_SPSS.exe reads its contents and pass the parameters to SPSS. The generated graphs are created in <SAWEB>\Adhoc\<date>_INFO and returned to the user via

- 25 the browser. Upon graph generation, IPSA_AdhocQueue.txt is deleted.

Reporting Requirements Phase 2

The tables shown in Figures 19-22 detail the Batch Reports recommended, the eight generic report types, and the Adhoc requirements. The remainder of this section references these matrices several times. In particular, Figure 19 illustrates exemplary batch reporting requirements. Figure 20 lists some of the generic report types. Figure 21 shows exemplary ad hoc reporting requirements. Figure 22 illustrates sample metric information.

Figures 19-22 provide historical record of collected performance data and network events. Exception reporting is limited to views of events that occurred in real-time and does not include finding exceptions in the historical data by analyzing past data.

Batch Reporting

General Information (Example)

- Daily batch report processing will be scheduled to occur at 1:00 am using Windows NT WinAT to execute SPSS reporting scripts.
- Daily batch processing will include both performance and event reporting operations.
- Examples of the eight generic report types referenced in this document and in Figures 19-22 can be found in Figures 23-30.

Daily performance reporting processing tasks

- Import all ECM and Patroller comma-separated-value files into DBSE using SQL Loader. The data files will be moved to the data repository (i.e. data_feeds directory) by either the Patroller History Propagator or the Solaris cron facility (for ECM files).

- Generate and publish (save) the 34 html-based report files listed in the matrix shown in Figures 19-22. The reports will be published to the documents directory of the web server.

- Calculate daily roll-up statistics for each different metric collected and store the rolled-up statistics.

- Delete expired daily detail data (metric records older than 40 days months).

- Delete expired rolled-up data (rolled-up records older than 13 months).

Daily event reporting processing tasks

- Analyze all availability-type events. These events include any event specified as an event signifying an outage. An event will be received when an object goes down and comes back up. These event pairs must be located, and an outage duration calculated.

- Using the result of the above analysis, record an event for each day of the outage with an accurate daily outage duration.

- Generate and publish the 4 exception reports listed in Figures 19-22.

- Generate and publish the Network Availability, Process Availability and Service Availability reports listed in Figures 19-22.

- Delete expired events data (event records older than 13 months).

- Build and publish a daily web page with links to the 6 html event reports.

Other daily processing tasks (applies to both event and performance data)

- Build and publish a daily web page with links to the 34 performance reports, the 4 exception reports, and the 2 availability reports. This page, and all batch reports must be available by 6 am the next day.
- Delete expired html report files (older than 7 days)

5

Ad-Hoc Reporting

A web-based ad-hoc capability is required to produce reports of the same eight generic report types as the batch reports.

10 The adhoc utility should present a menu hierarchy as shown in the Adhoc Reports table of Figure 21. In this table, the expressions <element name>, <element location> and <instance> can be input by the user in the following forms:

- A single name, location, or instance (i.e. "nsmmrt03")
- A list of names, locations, or instances (i.e. "nsmmrt03,nsmmrt04")
- A variation of either a single name or list of names using * as a multi-character wildcard.
15
- A variation of either a single name or list of names using ? as a single-character wildcard.

20 Once the online menu/form is completed by the user, a "Submit" button should execute a database query with the selected choices. The "Submit" button should also validate any data that is entered if applicable, for proper format (not for valid content, however).

The query should be able access detail and rolled-up data as recent as midnight the previous day. The previous day's detail and rolled-up data should be available for adhoc queries after 6 am the next day.

Report Type Examples

Figures 23-30 show simple examples of each of the eight recommended report types.

5 Graph Explanations and Requirements

This section is an overview of what the graphs, such as those found in Figures 23-30, are and what they do. There will be some tips as to how to change certain properties if needed, how to run the graphs from the script, and other useful information.

10

Graphs

Running graphs from the script

To run the graph from the script the script (macro) open and the script that passes in the parameters. The pairs that go together are:

15 !xygrph.sps & callxy.sps : xy-line graphs for daily details

 !bargrph.sps & callbar.sps : bar graphs for daily availability

 !bxgrph.sps & callbx.sps : boxplots for daily comparisons and monthly trends

 !spect.sps & callsp.sps : spectrum graphs for event exceptions and daily
availability (high level and detail)

20

To run these scripts (macros), first you need to have the macro window open (the file with the !name) and go under the menu bar **Run > All**. This will run a define on the macro. After the processor is done running the define, switch over to the call file

(i.e. callxy.sps) and select **only** the area that has the parameters. (There are little headers in this file that describe what each parameter set will accomplish when sent to the macro) Then under the menu bar **Run > Selection**. This will then pass the parameters to the macro and produce the graph in an output window.

5

Exporting graphs manually from the output window

- To export a graph using what is in the output window, first click on the graph to select the graph area. Go under the menu **File > Export** which will bring up a dialog box. Select in the drop down box if you wish to export charts only, the
- 10 document as a whole, or the document (no charts). If you are just exporting a JPEG, charts only would be a good selection. If you wish to export a Windows Bitmap (BMP) then select the document as a whole. This will export the HTML file and the BMP. (It is easier to view a BMP in a HTML file because the BMP file will launch a paint program just to view it) Then after deciding on what you are going to export,
- 15 click on the options button next to the drop down box and here you can make selections as to size, resolution, etc. Those optimal settings will be detailed later for each graph. Click OK to get back to the main dialog box. Next set the path for the exported chart by clicking on Browse. The path to the web server is O:\ipsa\product development\reporting\Web\WWWRoot.
- 20 Select your path, click OK, and then export the document. It should then be able to be viewed on twmmnt02.

Chart Properties

- To change the chart properties (on IGRAPHS) and set it to a CHARTLOOK, double
- 25 click on the graph in the output viewer. This will activate it into interactive mode. Go up to **Format > Chart Properties** in the menu bar and make necessary changes in the tabbed dialog box. Some changes include: Text size (the title and subtitle text

size seems not to take in the CHARTLOOK option when set here – FYI), Font, Symbol attributes (shape, color, fill, etc), Axis attributes (line width, tick marks), colors (for legends, shapes, etc), and other features that need to be changed. After changing what you want, click Apply to view changes and OK to exit the dialog box. Then go to the menu bar **Format > Chartlook** and click on Save As with the “as displayed” feature highlighted in the box to the left. Save the chartlook to a location that can be called from the macro. This chartlook should then set the settings for the next graphs run with the /CHARTLOOK = ‘file’ subcommand in the IGRAPH syntax.

XY-Line Graph (See Figures 23-24)

What it shows

The xy-line graph reports the daily details for CPU Utilization (for routers, Unix, and NT), Interface Utilization and Response Time for routers, Memory Utilization (for Unix and NT), Network I/O (for Unix and NT), Memory Utilization (Unix and NT), and eventually Reponse time for FTP, SMTP, NNTP, and HTTP.

Parameters

In the parameter call:

SET MPRINT ON.

!XYGRPH ELMNM = nsmmrt03 ELMTY = NT ELMLOC = Minneapolis

METRCNM = CpuBusy_Percent STRTYR = 1999 STRTMON = 06

STRTDAY = 30 NUMDAYS = 1 SARPHME = "o:\IPSA\Product Development\Reporting"

SADSN = "ipsa01" SAUID = "spssuser" SAPWD = "spssuser"

SASRVER = "ipsa01".

The possible variables to pass in are as follows:

- 5 **ELMNM** (the element name) any elmnt_nm located in the Network_Elmnt_Tb.
With our test data we have these elements available: nsmmrt03, gfmnmnt03,
nsmmws16, nsmmrt04, nsmmrt05, nsmmrt06, and nsmmrt07.

- 10 **ELMTY** (the element type) any elmnt_type_cd located in the Network_Elmnt_Tb.
With our test data we have these types available: RT (router), UX (Unix) and NT
(NT).

ELMLOC (the element location) any elmnt_city_nm located in the Elmnt_Loc_Tb.

- 15 **METRCNM** (the metric name) any metric_nm located in the Perf_Metric_Tb.
With our test data we have these metrics available: CpuBusy_Percent,
InterfaceUtilization_Percent, InterfaceUtilization_BPS, CpuUtilization_Percent,
MemoryFree_Pages, NetworkTraffic_PPS, DiskBusy_Percent,
CpuProcessorTime_Percent, MemoryFree_Mbytes, and DiskTime_Percent. Other
metric names include FtpResponseTime_Seconds, SmtprResponseTime_Seconds,
NntpResponseTime_Seconds, and HttpResponseTime_Seconds.

- 20 **STRTYR** (the year) any perf_yr located in the Perf_Metric_Time_Tb.

STRTMON (the month) any perf_mon located in the Perf_Metric_Time_Tb. With
our test data we usually use 06 for the month of June. NOTE: Begin each one digit
month with a zero!

- 25 **STRTDAY** (the day) any perf_day located in the Perf_Metric_Time_Tb. With our
test data we usually use 30 for the day. NOTE: Begin each one digit day with a
zero!

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663227-22460

NUMDAYS (the number of days the data will include) any number valid (note that most graphs will be cluttered if too many days are spanned with the exception of a monthly detail graph set up specifically to handle that number of cases). For this DAILY graph, use the number 1.

- 5 **SARPHME** (path) This sets up the path to where certain files (if needed) can be saved or referenced from.

SADSN (DSN name) This specifies the DSN name used in the GET.

SAUID (User ID name) This specifies the User ID name used in the GET.

SAPWD (Password) This specifies the password used in the GET.

- 10 **SASRVER** (Server Name) This specifies the server used in the GET.

Manual Settings

For optimal output for the xy-line graph these settings should be made: (in the chart options in Export dialog box)

- 15 Resolution: 200 X 200

Size: 6 X 6 (or between 4 X 4 to 6 X 6)

Format: YCC Color

Quality: 100%

Color Translation: Normal

- 20 Operation: Progressive

Chartlook

65222 222460

This graph calls the chartlook line.clo found in the

O:\ipsa\product development\reporting\spss\templates directory.

Bar Graph (See Figure 27.)

5 What it shows

The bar graph reports the daily availability for Network, Services, and Processes.

It calculates the percentage of the availability for all of the elements, representing them as bars with the percentage displayed within the bars.

10 Parameters

In the parameter call:

SET MPRINT ON.

!BARGRPH EVENT = "DNS Service Failed" ELMLOC = Minneapolis

STRTYR = 1999 STRTMON = 06 STRTDAY = 30 NUMDAYS = 1

15 SARPHEME = "o:\IPSA\Product Development\Reporting"

SADSN = "ipsa01" SAUID = "spssuser" SAPWD = "spssuser"

SASERVER = "ipsa01".

The possible variables to pass in are the same as the xy-line graph except for:

20 **EVENT** (the event) any valid event_string_txt that is located in the Events_Fact_Tb.

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66227.227460

Manual Settings

For optimal output for the bar graph these settings should be made: (in the chart options in Export dialog box)

5 Resolution: 200 X 200

Size: 3 X 3

Format: YCC Color

Quality: 100%

Color Translation: Normal

10 Operation: Progressive

Chartlook

This graph calls the chartlook bar.clo found in the

O:\ipsa\product development\reporting\spss\templates directory.

15

Boxplot Graph (See Figures 25-26.)

What it shows

The boxplot graph reports daily comparisons and monthly trends.

20 Parameters

In the parameter call:

DAILY COMPARISON BOXPLOT

5 SET MPRINT ON.

!BXGRPH ELMNM = (nsmmrt03 nsmmrt04 nsmmrt05) ELMTY = RT

ELMLOC = Minneapolis METRCNM = CpuBusy_Percent

STRTYR = 1999 STRTMON = 06 STRTDAY = 30 NUMDAYS = 1 CODE =

1

10 SARPHME = "o:\IPSA\Product Development\Reporting"

SADSN = "ipsa01" SAUID = "spssuser" SAPWD = "spssuser"

SASRVER = "ipsa01".

15 MONTHLY TREND BOXPLOT

SET MPRINT ON.

!BXGRPH ELMNM = (nsmmrt05) ELMLOC = Minneapolis METRCNM =

CpuBusy_Percent

20 STRTYR = 1999 STRTMON = 06 STRTDAY = 01 NUMDAYS = 30 CODE
= 2

094747.42399
66222T" 2/2/99

```
SASRVER = "ipsa01".
```

- 20 *****NOTE:** The monthly boxplot can be exported with these settings, but will NOT
turn out very well. This type of graph will not have readable fonts until (hopefully)
the next version of SPSS.

Chartlook

This graph calls the chartlook box.clo found in the

O:\ipsa\product development\reporting\spss\templates directory.

5 **Exception Text Report (See Figure 30)**

Spectrum Graph (See Figures 28-29.)

What it shows

10 This can make three chart types: Daily exception spectrums (for routers, Unix, and NT), Daily Availability Spectrums (High Level overview) for ALL Network, Service, and Process events, and Daily Availability Spectrums (Low Level overview) for EACH event under Network, Service, and Process detailing the elements that the event occurred upon.

15 **Parameters**

In the parameter call:

DAILY EVENT EXCEPTION GRAPH:

for elements: RT, UX, NT, etc.

20 *****

SET MPRINT ON.

66222T" 44T2460

```
!EXCEPT EVENT = "DNS Service Failed" ELMLOC = Minneapolis CODE = 3
```

SARPHME = "o:\IPSA\Product Development\Reporting"

SADSN = "ipsa01" SAUID = "spssuser" SAPWD = "spssuser"

```
SASRVER = "ipsa01".
```

AVAIL (availability type) valid parameters include 'Network', 'Service', or 'Process'. This selects ALL events under these specifications, not just a single event type.

Manual Settings

Resolution: 200 X 200

Format: YCC Color

Quality: 100%

Color Translation: Normal

Operation: Progressive

Chartlook

- 5 The exception graph calls the chartlook exception.clo and the availability graphs call the chartlook avail.clo found in the

O:\ipsa\product development\reporting\spss\templates directory.

Adhoc Web Demo

- 10 The Ad hoc web demo demonstrates an HTML-based front end to the SA reporting tool. It allows the user to submit ad hoc reporting criteria from a user-friendly interface. This data is picked up by SPSS and the generated graph is displayed in the browser.

15 **Requirements:**

- HTML 3.2 compliant web browser (e.g. Netscape Navigator 3.0 or higher, MS Internet Explorer 4.0 or higher).
 - adhoc.pl file located in the cgi-bin directory of a web server
 - adhoc.setup.txt file located in the same directory as adhoc.pl (above)
- 20 • <wwwroot>/BatchTest/ directory containing SPSS graphs

Overview:

From the main Ad hoc screen, a metric class is chosen and the Next button is clicked. If multiple graph types are available for the chosen metric, they are displayed to the user. After a graph type is chosen, the report criteria can be entered into the form that is displayed. Submitting the data will display a graph for the
5 specified data.

Note: in this demo, only a minimal number of graphs exist, so only strict attention to detail will grant a valid report being displayed. If a report is requested that does not exist, the user will be told that no data points exist for the given criteria. Either use the “back” button to edit your criteria, or follow the hyperlink to create a new report
10 query.

Bar Graph

Choose the **Service Availability** metric class

Title: <anything or nothing>

15 Element(s): **gfmmt03 nsmmrt03 nsmmrt04 nsmmrt05 nsmmrt06 nsmmrt07**

Location: Minneapolis (default example)

Event: DNS Service Failed (default)

Press Submit

20 Box Plots

Daily Comparison Box Plot

Choose the **Network Element Performance** metric class

Choose **Daily Comparison Boxplot**

0947177-12299

Title: <anything or nothing>

Elements: **nsmmrt03 nsmmrt04 nsmmrt05**

Metric(s): **CPU Busy (%)**

Location: Minneapolis (default)

5 Element Type: Router (default)

Press Submit

Monthly Trend Box Plot

Choose the **Network Element Performance** metric class

10 Choose **Monthly Trend Boxplot**

Title: <anything or nothing>

Elements: *

Metric(s): **CPU Busy (%)**

Location: Minneapolis (default)

15 Element Type: Router (default)

Press Submit

Exception Graphs

Daily Event Exceptions

20 Choose the **Events** metric class

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Choose **Daily event exceptions** from the Occurrence and Availability menu

Title: <anything or nothing>

Location: Minneapolis (default)

- 5 *Choose from any of the following three combinations:*

Element(s): **gfmmnt03**

Element Type: **NT**

- 10 Element(s): **nsmmrt03 nsmmrt04 nsmmrt05**

Element Type: **Router**

Element(s): **nsmmws16**

Element Type: **Unix**

15

High Level Daily Event Exceptions

Choose the **Events** metric class

Choose **High Level Daily event exceptions** from the Occurrence and Availability menu

- 20 Title: <anything or nothing>

00477-1239
"SECRET" 11/17/00

Location: Minneapolis (default)

Event Type: Network or Service

Table 16 - Low Level Daily Event Exceptions

**REQUIRED FILES FOR
REPORTING**

IPSA_StartSPSS.cmd	Located anywhere, it is used to start the reporting process (see Configuration). Instantiates two SPSS processes (spssw.exe, spsswin.exe) and IPSA_SPPS.exe
<SABATCH>	Batch queue file location, as specified in the IPSA_StartSPSS.cmd file (see Configuration).
IPSA_BatchQueue.txt	Queue file that, if present, is passed to SPSS and contains batch report specifications. This file is deleted after being read by IPSA_SPSS.exe. See Adhoc.doc and graphs.doc for content explanations.
<SAADHOC>	Adhoc queue file location, as specified in <SARPHME>\InputQueue\IPSA_Reporting_Config.txt (see Configuration).
IPSA_AdhocQueue.txt	Queue file passed to SPSS that contains ad hoc report parameters. This file is created by the web interface (<WWW ROOT>\cgi-bin\adhoc.pl) and deleted after use by IPSA_SPSS.exe. See Adhoc.doc and graphs.doc for content explanations.

Adhoc working file with a unique IPSA_SPSS.exe
IPSA_AdHocQueue_Working_<i instance number, <instance>, that is defined in
nstance>.txt IPSA_StartSPSS.cmd (see Configuration).

The presence of this file notifies IPSA_SPSS.exe to
IPSA_ProcessTheBatchQueue.txt read <SABATCH>\IPSA_BatchQueue.txt and pass it
to SPSS. This file is polled for every five seconds.

<SARPHME> The reporting home directory, as specified in the
IPSA_StartSPSS.cmd file (see Configuration). All
files needed to generate reports are located within this
directory.

\InputQueue IPSA_SPSS.exe configuration and control files.

IPSA_Reporting_Config.txt IPSA_SPSS.exe configuration file. Includes database
location and login information, output directories and
image format specification for the generated graphs
(see Configuration).

IPSA_ControlQueue.txt Contains commands to be issued to IPSA_SPSS.exe
during execution. Used for terminating the process.

\Logs Log files generated from IPSA_SPSS.exe execution

IPSA_VB_SPSS.log Error messages from program execution.

\SPSS All SPSS related files.

\Macros SPSS macros

!incdef.SPS

!bargrph.SPS bar graph

!bxgrph.SPS boxplot

!spect.SPS	spectrum graph
!xygrph.SPS	xy line graph
\Templates	SPSS chart looks
avail.clo	availabilty graph
bar.clo	bar graph
box.clo	boxplot
exception.clo	exception graph
line.clo	xy line graph
\Working Data Files	SPSS macros use this directory for temporary files.
\Web\HtmlTemplates	HTML fragments for use to dynamically produce HTML
	batch TOC header
todays_urls_head_template.txt	
	batch TOC report title
todays_urls_detail_template.txt	
	batch TOC footer
todays_urls_tail_template.txt	
	batch/adhoc list-of-reports header
detail_urls_head_template.txt	
	batch/adhoc list-of-reports details
detail_urls_detail_template.txt	
detail_urls_tail_template.txt	batch/adhoc list-of-reports footer

<SAWEB>	The report output home directory, as specified in <SARPHME>\InputQueue\IPSA_Reporting_Config.txt (see Configuration).
\Adhoc\<date>_INFO	Adhoc reports issued on the date <date> where <date> is of the form YYYYMMDD. This directory is created by the report generation script.
<adhoc_report_title><date><unique id>.html	the generated adhoc report
\Batch\Daily	Daily batch reports home directory
\<date>_INFO	Generated batch reports. <date> is of the form YYYYMMDD, but is the date prior to the date when the report request was issued. This directory is created by the report generation script.
\Images	Images for the HTML pages
Graph1.gif	list bullet
SA1.gif	Service Assurance logo
<WEB ROOT>	Root directory of the web server where the reports will be housed.
bground.gif	background image for the adhoc web pages
\cgi-bin	Adhoc files location. This directory must be accessible from a web browser via HTTP and have "execute" permission assigned to it.
adhoc.pl	Perl script used to generate ad hoc reports. This file is

accessed via HTTP from a web browser to start the ad hoc report data gathering process.

adhoc.setup.txt

Setup and configuration file for the adhoc.pl script.
Contains report types and descriptions.

Choose the **Events** metric class

Choose **Low Level Daily event exceptions** from the Occurrence and Availability menu

5 Title: <anything or nothing>

Location: Minneapolis (default)

Event: **DNS Service Failed** or **SMTP Service Failed**

XY Line Graph

10 Choose the **Network Element Performance** metric class

Choose the **Detail XY Line Graph** report type

Title: <anything or nothing>

Element(s): **nsmmrt03**

Location: Minneapolis (default)

15 Metric(s): **CPU Busy (%)**

Element Type: Router (default)

Press Submit

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66622F-1/1/1460

IPSA_StartSPSS.cmd

Located anywhere, it is used to start the reporting process (see Configuration).
Instantiates two SPSS processes (spssw.exe, spsswin.exe) and IPSA_SPPS.exe

5

<SABATCH>

Batch queue file location, as specified in the IPSA_StartSPSS.cmd file (see Configuration).

10 IPSA_BatchQueue.txt

Queue file that, if present, is passed to SPSS and contains batch report specifications. This file is deleted after being read by IPSA_SPSS.exe. See Adhoc.doc and graphs.doc for content explanations.

15 <SAADHOC>

Adhoc queue file location, as specified in
<SARPHME>\InputQueue\IPSA_Reporting_Config.txt (see Configuration).

IPSA_AdhocQueue.txt

20 Queue file passed to SPSS that contains ad hoc report parameters. This file is created by the web interface (<WWW ROOT>\cgi-bin\adhoc.pl) and deleted after use by IPSA_SPSS.exe. See Adhoc.doc and graphs.doc for content explanations.

IPSA_AdHocQueue_Working_<instance>.txt

Adhoc working file with a unique IPSA_SPSS.exe instance number, <instance>, that is defined in IPSA_StartSPSS.cmd (see Configuration).

5 IPSA_ProcessTheBatchQueue.txt

The presence of this file notifies IPSA_SPSS.exe to read <SABATCH>\IPSA_BatchQueue.txt and pass it to SPSS. This file is polled for every five seconds.

10 <SARPHME>

The reporting home directory, as specified in the IPSA_StartSPSS.cmd file (see Configuration). All files needed to generate reports are located within this directory.

\InputQueue

15 IPSA_SPSS.exe configuration and control files.

IPSA_Reporting_Config.txt IPSA_SPSS.exe configuration file. Includes database location and login information, output directories and image format specification for the generated graphs (see Configuration).

20 IPSA_ControlQueue.txt

Contains commands to be issued to IPSA_SPSS.exe during execution. Used for terminating the process.

\Logs

Log files generated from IPSA_SPSS.exe execution

IPSA_VB_SPSS.log Error messages from program execution.

5 \SPSS

All SPSS related files.

\Macros SPSS macros

!incdef.SPS

!bargrph.SPS bar graph

10 !bxgrph.SPS boxplot

!spect.SPS spectrum graph

!xygrph.SPS xy line graph

\Templates SPSS chart looks

15 avail.clo availabilty graph

bar.clo bar graph

box.cloboxplot

exception.clo exception graph

line.clo xy line graph

\Working Data Files SPSS macros use this directory for temporary files.

\Web\HtmlTemplates HTML fragments for use to dynamically produce HTML

5 todays_urls_head_template.txt batch TOC header

todays_urls_detail_template.txt batch TOC report title

todays_urls_tail_template.txt batch TOC footer

detail_urls_head_template.txt batch/adhoc list-of-reports header

detail_urls_detail_template.txt batch/adhoc list-of-reports details

10 detail_urls_tail_template.txt batch/adhoc list-of-reports footer

<SAWEB>

The report output home directory, as specified in

<SARPHME>\InputQueue\IPSA_Reporting_Config.txt (see Configuration).

15

\Adhoc\<date>_INFO

Adhoc reports issued on the date <date> where <date> is of the form YYYYMMDD. This directory is created by the report generation script.

20 <adhoc_report_title><date><unique id>.html

the generated adhoc report

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\Batch\Daily Daily batch reports home directory

\<date>_INFO Generated batch reports. <date> is of the form YYYYMMDD, but is the date **prior** to the date when the report request was issued. This directory is
5 created by the report generation script.

\Images Images for the HTML pages

Graph1.gif list bullet

SA1.gif Service Assurance logo

10

<WEB ROOT> Root directory of the web server where the reports will be housed.

bground.gif background image for the adhoc web pages

15 \cgi-bin Adhoc files location. This directory must be accessible from a web browser via HTTP and have “execute” permission assigned to it.

adhoc.pl Perl script used to generate ad hoc reports. This file is accessed via HTTP from a web browser to start the ad hoc report data gathering process.

20

adhoc.setup.txt Setup and configuration file for the adhoc.pl script. Contains report types and descriptions.

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adhoc.pl

- 5 Adhoc.pl is a CGI utility that builds HTML forms used for gathering ad hoc reporting information. This information is stored in a directory relative to \$output_dir_root of the form YYYYMMDD_INFO in a text file defined by \$output_file. This text file is opened, locked, and updated then closed and unlocked. A Visual Basic application feeds this queue file to SPSS to generate a report and
- 10 creates an HTML file with links to the graph(s). The name of this HTML file is determined by appending the unique id generated from &unique_id onto the end of the report title and storing this in the text file. A link to this file is provided at process completion. Adhoc.pl may be written in Perl.
- 15 **The report data that is created from this script includes**
- bar graph
 - trend box plot
 - comparison box plot
 - detail xy line graph
 - 20 • daily event exceptions
 - daily event exceptions (high level)
 - daily event exceptions (low level)

Configuration

\$output_dir_root – absolute path (including trailing slash) to the directory that the script should publish the data in. Note that backslashes (\) must be escaped

(e.g. \$output_dir_root = "c:\\InetPub\\wwwroot\\");).

5

\$output_dir_from_web_root – relative path (including trailing slash) from web root of the directory where the reports will be published. Used for creating the link to the user when report data entry is completed (e.g. \$output_dir_from_web_root = "/Adhoc/");).

10

\$output_file – the name of the file to be generated from the script that contains all of the SPSS report information.

Adding a new report type

- 15 In it's current state the script would require a modification to each of its primary subroutines to accommodate a new graph type. The changes are as follows:

20 The section of the main routine commented as "write data to file" would need to have a new elsif block that validated the form values and called a subroutine to print the values to the text file in a format similar to the others.

Add another elsif block to choose_metric that checks the metric_class form value and calls a subroutine to either generate the appropriate HTML form or display a report type selection page – both of which would need to be written.

Add another elsif block to choose_report that calls the subroutine to create the HTML form.

- 5 Modify print_metric_options if a new metric class is needed.

As noted above, create a subroutine to generate the HTML form to gather report data from the user.

10 **Adhoc**

Lists, enclosed by parentheses and space delimited, are supported for the ELMNM, ELMLOC, and the METRCNM parameters.

Additionally

- 15 Wild cards, the “%” character for matching zero to many characters, and the “_” character for matching a single character, are supported for the ELMNM and the ELMLOC parameters

20

Table 17 - Parameter descriptions

RPTITLE	Any string you want to use to describe your query
ELMNM	Any elmnt_nm column in the network_elmnt_tb table

AVAIL	Logical grouping of related event types; can be set to Network, Service, or Process
EVENT	Any event_string_txt column in the events_fact_tb table
ELMTY	Any elmnt_type_cd column in the network_elmnt_tb table
ELMLOC	Any elmnt_city_nm column in the elmnt_loc_tb table
METRCNM	Any metric_nm column in the perf_metric_tb table
CODE	Identifies a code path through an SPSS macro. Only valid for the !EXCEPT and the !BXGRPH macros. For the !EXCEPT macro, use a value of one (1) for daily event exceptions, a value of two (2) for high level daily event availability, and a value of three (3) for low level daily event availability. For the !BXGRPH macro, use a value of one (1) for daily comparisons, and a value of two (2) for monthly trends
STRTYR	Four digit year spec
STRTMON	Two digit month spec
STRTDAY	Two digit day of the month spec
NUMDAYS	Two digit number used to specify a range of days

EXAMPLE – “adhoc” input queue file

This is a comment; each request begins with a line that contains only the “@{"
5 characters

and each request ends with a line that only contains the “}@” characters

any line beginning with the “#” character is considered a comment line

@{

!BAGRPH RPTITLE = "Daily Availability Bar Chart"

5 ELMNM = (gfmmt03 nsmmrt03 nsmmrt04)

EVENT = "DNS Service Failed" ELMLOC = Minneapolis

STRTYR = 1999 STRTMON = 06 STRTDAY = 30 NUMDAYS = 1

}@

10 @{

!BXGRPH RPTITLE = "CPU Utilization Comparison"

ELMNM = (nsmmrt03 nsmmrt04 nsmmrt05) ELMTY = RT

ELMLOC = Minneapolis METRCNM = CpuBusy_Percent

STRTYR = 1999 STRTMON = 06 STRTDAY = 30 NUMDAYS = 1

15 CODE = 1

}@

@{

20 !BXGRPH RPTITLE = "CPU Utilization Trend"

0947377-2239
66E22F 22F2460

ELMNM = (nsmmrt05) ELMTY = RT

ELMLOC = Minneapolis METRCNM = CpuBusy_Percent

STRTYR = 1999 STRTMON = 06 STRTDAY = 01 NUMDAYS = 30

CODE = 2

5 }@

@{

!EXCEPT RPTITLE = "Daily Event Exception"

ELMNM = (nsmmrt03 nsmmrt04 nsmmrt05)

10 ELMTY = RT ELMLOC = Minneapolis

CODE = 1 STRTYR = 1999 STRTMON = 06 STRTDAY = 30

NUMDAYS = 1

}@

15 @{

!EXCEPT RPTITLE = "Daily Event Availability (High level)"

AVAIL = Service ELMLOC = Minneapolis CODE = 2

STRTYR = 1999 STRTMON = 06 STRTDAY = 30 NUMDAYS = 1

}@

20

@{

09471777-12299
66E22T"ZLTZ460

!EXCEPT RPTITLE = "Daily Event Availability (Low level)"

EVENT = "DNS Service Failed" ELMLOC = Minneapolis CODE = 3

STRTYR = 1999 STRTMON = 06 STRTDAY = 30 NUMDAYS = 1

}@

5

@{

!XYGRPH RPTITLE = "Daily Detail XY-Line"

ELMNM = nsmmrt03 ELMTY = RT ELMLOC = Minneapolis

METRCNM = CpuBusy_Percent STRTYR = 1999 STRTMON = 06

10

STRTDAY = 30 NUMDAYS = 1

}@

ECM Internal Reporting

- 15 This section outlines the procedure for generating internal alarm and poll reports from the Event Correlator and Manager.

Assumptions:

- ECM is installed on NT.
- User has Administrator rights on server in question.
- 20 • Procedure only works on NT versions of ECM

0547177-1229
060222T 22Z 2460

Basic Procedure

- a. Turn on ECM client and log in to ECM server in question.
- b. Once interface is enabled and client has fully logged into server, click on **Aadmin**
5 and then **Report List**.
- c. The *Report List* dialog will open.
- d. Click on *NC Alarm Definition Report* and then click **Run**.
- e. Once the report is generated click the print icon and the report will be generated at your default printer.
- 10 f. Close the *NC Alarm Definition Report*.
- g. Go back to the ECM client and click on the *NC Poll Report*.
- h. Next click **Run**.
- i. Print this report and exit the system.

15 Analysis

This process generates line item reports of the user [re]defined alarms/polls in ECM. These are generated through a proprietary format of Seagate's Crystal Reports, and are possible due to the existence of the database that sits behind the ECM installation on NT systems. These reports can be modified externally through a
20 copy of Crystal Reports, and can be added to through the ECM interface.

IIS (Internet Information Server) 3.0

Start Services

- Open the control panel (Start > Settings > Control Panel)
- Click on Services
- 5 • Select “IIS Admin Service” and “World Wide Web Publishing Services” and click on the start button.

Set Root Directory

- Create a folder to be your home directory. (i.e. We set one up called
10 ‘WWWRoot’ in the path C:\Data\WWWRoot)
- Open Microsoft Management Console (Start > Programs > Windows NT 4.0 Option Pack > Microsoft Internet Information Server > Internet Service Manager)
- Open the Internet Information Server folder and then twmmnt02
- Right click on Default Web Site and drag down to Properties
- 15 • Go into the ‘Home Directory’ tab and change the ‘local path’ to the name of the root directory that you created

Settings

- Open the Microsoft Management Console again
- 20 • Right click on Default Web Site again and under Properties change these settings:

Web Site Tab –

Change IP address to your own (149.122.57.21 – it also happens to be in the drop down box)

5 (C:\data\iislogs)

Change local path if you didn't already when setting up the root directory
(C:\data\wwwroot)

Documents Tab –

15

- ### Home Directory Tab –

20 **FTP Site Tab –**

Check 'enable logging' and under properties change the log file directory (C:\data\iislogs)

Change description name as desired (Service Assurance FTP Site).

- Right click on Administration Web Site and under Properties change these settings:

5 **Web Site Tab –**

Check 'enable logging' and under properties change the log file directory (C:\data\vislogs)

Change description name as desired (Service Assurance Administration Web Site).

- 10 • Add a 'cgi-bin' folder into the directory where your http pages are located

Go into the Microsoft Management Console and right click on the cgi-bin folder and go into Properties.

Under the Directory Tab change the permissions to 'execute'.

15

SPSS Documentation

Set Up Instructions

Installing SPSS 8.0

- 20 • Follow the setup instructions until you can decide what type of installation you would like. Choose **Custom** and select all options.
- When you encounter the Personal Installation choice...choose it.

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- When prompted to install Adobe Acrobat 3.0, choose yes and follow the installation instructions. This will be helpful to view the online tutorials.

Installing ODBC 3.5 SDK

- 5 • Go to the <http://www.microsoft.com/data/odbc/download.htm> website to download the ODBC 3.5 Software Development Kit (SDK) for Intel. Select 'Download the ODBC 3.5 SDK for Intel now' button. Save it to disk when prompted and follow instructions to download the application.
- 10 • Go into Windows NT Explorer to find the file (ODBC35IN.exe) that you just saved to disk. Execute it. After done generating your files, find the setup.exe icon. Click on **setup.exe** to finish the installation process. Choose all options for custom installation choices.

Downloading SPSS 8.0.1 Update Patch

- 15 • Open <http://www.spss.com/tech/patches/SP-061198.htm>.
- Select **801us.exe (US version)**.
- Save it to disk.
- Find the downloaded files on your disk and open **801us.exe**, click **Yes**, and then click on **Upgrade SPSS 8.0**.
- 20 • Follow the upgrade instructions.

Associating a DSN Name With an ODBC Source

- Open the 32-bit ODBC Administrator.

- **Programs > Odbc > 32-bit ODBC Administrator**
- Add a new user data source under the user DSN tab by clicking **Add**.
- Select a driver for which you want to set up a data source for.
- (In this case - Microsoft ODBC for Dbse)
- 5 • Click **Finish**.
- Enter the Data Source Name. (make up any name)
- Enter the Description. (can be same as Data Source Name)
- Enter your User name.
- Enter the Server. (In this case – IPSA01)
- 10 • Once this information is entered you will be brought back to the ODBC Data Source Administrator. Click **OK**.

Adding a Database Alias

- Open the SQL Net Easy Configuration.
- 15 • **Programs > Dbse for Windows NT > SQL Net Easy Configuration**
- Click on **Add Database Alias** and then **OK**.
- Enter the alias name (In this example: IPSA01)
- Choose the network protocol to be used when you connect to a remote database
- (In this case it is TCP/IP) then click **OK**.

- Enter the TCP/IP host name (ucmmdb02) and the database instance of the particular database you want to access. (In this example: IPSA01)
- Confirm that you want to add this Database Alias by Clicking **Yes**.
- This will bring you back to the SQL Net Easy Configuration where you can just click **Cancel** to exit.

Checking The Alias Setup

- Find your dbse home (Dbsnt) and open tnsnames.ora through this path:
C:/Dbsnt/Network/Admin/tnsnames.ora. This is a SQL Net Configuration file which will have the names of the aliases that are set up. The one you just added will most likely be found at the end of the file.

Getting Started in SPSS

15 SPSS Database Capture Wizard

- In SPSS Data Editor go to **Edit > Database Capture > New Query** to open the ODBC Wizard.
- Choose data source to retrieve data and click **Next**.
- Drag table onto right box to see fields and click **Next**. (Can also select a subset of fields here)
- Limit Retrieved Cases page, click **Next**. (Can select a subset of cases based on conditional expressions in this dialog box)

- Define Variables page, click **Next**. (Can specify more user friendly variable names here)
- Results page. Change column names into SPSS Syntax to desired names. The names to use are located by opening SQL+ and on the command line typing the table name. i.e. > **describe spss_test**

Example:

GET CAPTURE ODBC /CONNECT='DSN=MSDN:
dbse;UID=solomoj;PWD=solomoj;SERVER=IPSA01;'/ /SELECT
10 "T0"."MON_DT" AS "MON_DT", "T0"."SYSTEM_NM" AS "SYSTEM_N",
"T0"."FILE_READ_OPS_AMT" AS "FILE_REA",
"T0"."FILE_WRITE_OPS_AMT" AS "FILE_WRI",
"T0"."FILE_CONTROL_OPS_AMT" AS "FILE_CON",
"T0"."FILE_READ_BYTES_AMT" AS "FILE_REA",
15 "T0"."FILE_WRITE_BYTES_AMT" AS "FILE_WRI",
"T0"."FILE_CNTRL_BYTES_AMT" AS "FILE_CNT"

FROM "SPSS_TEST" "T0".

"T0"."FILE_READ_OPS_AMT" AS "FILE_REA" //This displays the long
20 column name and associates it with the short column name (8 chars). There is a
comma and TWO spaces between items in the list. The '0' in "T0" is a zero, not the
letter 'O'.

Click on "**paste it into syntax editor**" button.

25 Save query to file with a .spq extension and click **Finish**.

This should bring you to the syntax editor which should look something like this:

```
GET CAPTURE ODBC /CONNECT='DSN=MSDN:'+
```

```
'dbse;UID=solomoj;PWD=solomoj;SERVER=IPSA01;' /
```

```
5  SELECT  "T0"."MON_DT" AS "MON_DT",  
  
    "T0"."SYSTEM_NM" AS "SYSTEM_N", "T0"."FILE_READ_OPS_AMT"  
  
    AS "FILE_REA", "T0"."FILE_WRITE_OPS_AMT" AS "FILE_WRI",  
  
    "T0"."FILE_CONTROL_OPS_AMT" AS "FILE_CON",  
    "T0"."FILE_READ_BYTES_AMT"  
10 AS "FILE_RBY", "T0"."FILE_WRITE_BYTES_AMT" AS "FILE_WBY",  
  
    "T0"."FILE_CNTRL_BYTES_AMT" AS "FILE_CNT"  
  
    FROM  "SPSS_TEST" "T0".
```

Execute.

```
VARIABLE LABELS MON_DT "MON_DT" SYSTEM_N "SYSTEM_NM"
```

```
15  FILE_WRI "FILE_WRITE_OPS_AMT" FILE_CON  
    "FILE_CONTROL_OPS_AMT"  
  
    FILE_RBY "FILE_READ_BYTES_AMT" FILE_WBY  
    "FILE_WRITE_BYTES_AMT"  
  
    FILE_CNT "FILE_CNTRL_BYTES_AMT".
```

20

(Some syntax tips: In the select area, there is a comma and two spaces separating names. The '0' in "T0" is a zero, not the letter 'O'. In the variable labels, the short

Save the syntax file. It will have a .SPS extension.

- 10

Data Editor:

- 15

20

- Displays tables, statistics and charts
- Opens automatically when you run a command that produces output
- The left pane contains the outline view

- The right pane contains statistical tables, charts and text outputs
 - Click on any item in the outline to go directly to the corresponding table or chart
 - The open book icon indicates the item is currently visible in the right pane.
Double click on the icon to hide the information (closed book icon will appear)
- 5 • Change chart positions by highlighting the information and dragging it to desired location in the outline

Tool Bars:

- Both toolbars on the data editor and viewer window can be used
- 10 • Can be moved by clicking on the tool bar (not on a button) and dragging it

Dialog Boxes:

- Used to display information on variables
 - Click left mouse button on variable name, click right mouse button anywhere on the list and select '**Variable Information**' from the pop-up menu
- 15
- Icons next to variable names describe the variable type: Numeric variables (# icon), Short string variables – 8 characters or less ('A' icon with a (<) less than symbol), and Long string variables – greater than 8 characters ('A' icon with a (>) greater than symbol)

20

Interactive Chart Dialog Boxes:

- Three variable types:

1. Categorical (red, blue, and yellow icon)
2. Scale (yellow ruler icon)
3. Built-in (white ruler with a yellow circle)

5 Entering and Manipulating Data

Numeric:

- Select cell and enter numeric number. It will then appear in the cell editor.
 - Press **Enter** to record the data.
- 10 • If the variable hasn't been named, a unique variable name will be assigned to it.

Non-numeric:

- Define the variable by double-clicking on the variable name or top of the column. This can also be done by selecting **Data > Define Variable** in the menu bar.
- 15
- Click on **Type** in the dialog box.
 - Select the data type, click **Continue**, and then click **OK**.

Defining Data:

- 20 You can define descriptive values for data values to be used in statistical reports and charts, i.e. one can associate the number 1 with 'male' and number 2 with 'female'.

- Open **Data > Define Variable** and click on **Labels** in the dialog box.
- Enter the data value and label.
- Click **Add** and then click **Continue**.

5 **Showing Value Labels:**

- Open **View > Value Labels** or **Ctrl-click** on the cell you want to enter data into.
- Double click on the value you would like to add.
- The value will be entered and the value label displayed in the cell.

10 **Reading ASCII Text Data Files:**

- Open **File > Read Ascii Data > (Fixed Columns or Freefield)**
 1. Fixed format – each variable is in the same column location for each case.
 2. Freefield – only the order of the variables is important.
- Click **Browse** to select data file.

15 • Enter a name for each variable

- Enter a starting and ending column location for the variable. (data values can appear anywhere within the column range)
- If there is more than one line of data for each case, enter the record number for each variable. (this indicates the line number within the case)

20 • Select a data type and click **Add**.

Transforming Data Files:

- Open **Transform > Compute**.
- Enter name of the target variable.
- Enter numeric expression

1. You can paste variables from the source list
 2. Numbers and operators are on the calculator pad
 3. Functions are located in the function list
- The new variable will be added to the end of the data file.

10 Making Reports in SPSS (Charts)

Creating a Chart:

- Select **Graphs > (type of chart)**.
 - Choose an icon for specified type of chart you want and indicate how your data are organized.
- 15
- Click **Define**.
 - Follow the define dialog box and click **OK**.

Editing Charts:

- 20
- Double click on the chart in the viewer. This will display the chart in a chart window.

- You can edit by using the menu, toolbar, or double-clicking on the object you want to edit.

1. In the menu select **Chart > (choose selection)** and edit in the resulting dialog box.
2. Click on chart element, click on a tool in the toolbar, and follow the dialog box that appears.
3. Double click on an object to edit in the chart window and an appropriate dialog box will appear.

10 *Changing scale axis*

- Double click on the axis.
- In the dialog box, change the range or values on the axis accordingly.

Changing color

- 15
 - Click on the chart element you want to change.
 - Click on color tool in the tool bar (crayon shape).
 - Select a color and then click **Apply**.

Swapping chart axes

- 20
 - Click on swap axes tool in the toolbar.

Change chart types

- Open **Gallery > (new chart type)** in the chart editor.
- Select type of chart in the dialog box that appears and click **Replace**.
- Select variables for the new chart and click **OK**.

5

Interactive Graphs:

- In the SPSS data editor open **Graphs > Interactive > (Choose method)** to create a report on the data entered in your table.
- Drag variable names into the axes boxes to compare them and click **OK**.
- 10 • The SPSS Viewer will appear with the graph. Double click on the graph to activate it so you able to interact with the data.
- Several options will appear as buttons around the graph. Activate them by clicking on the appropriate button.
- 15 • Assign graph variables to axes and legends with the first button in the corner depicted with a ruler and other items. This can assign variables to various roles in a chart. Variables can be assigned to axes, color, style, and size. You can also change the graph into a 2D or 3D coordinate system in this window. If a 3D coordinate system is chosen a rotation device window will appear to rotate the graph to show the information better.
- 20 • The next button to the right is a pull down menu that will change the graph type.
- The Display Chart Manager is the next one over. It gives easy access to all of the separate components that make up the chart. You can select the item and then edit, delete, or hide them.

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- There are two buttons that changes the axes orientation to horizontal or vertical.
- On the vertical button row you are able to change colors, lines, and fills, as well as symbol style, symbol size, line style, line weight, and connector style.

5 Making Reports in SPSS (Tables)

- To run a procedure, open from the SPSS data editor **Statistics > (Select a Category) > (Select a Procedure)**. i.e. **Statistics > Summarize > Frequencies**.
- 10
- Select variables from source list and use the arrow button to move variables to target lists. Click **OK**.
 - The results will be displayed in the Viewer.

Pivot Tables:

- 15
- Double click on the table in the viewer.
 - Select pivoting trays from pivot menu. **Pivot > Pivoting Trays**.
 - Click and drag pivot icon from one dimension (column, row, layer) to another to alter table.
 - You can also change order of elements in the table by moving the pivot icons
- 20
- around and swapping them.

Editing Pivot Tables:

Changing font or alignment

- Double click on the table.
- Click on table cell or label to modify.
- Use the formatting toolbar to change desired attributes.

5

Modifying text

- Double click on the text to modify.
- Edit as you would in a text editor or word processing program.
- (if you change numeric values...the row and column totals will not be recalculated)

10

Hiding rows and columns

- Double click on the table.
- **Ctrl-Alt-Click** on the label of the row or column to hide.
- 15 • Click the right mouse button and select **Hide Category** from the pop-up window.
- **View > Show All** will bring the information back into view.

Changing data display formats

- 20 • Select the column by doing a **Ctrl-Alt-Click** on the column label.

- Click the right mouse button on the label of the column and select **Cell Properties** from the pop-up menu.
- Change desired display format. i.e. Change decimals to 0.
- Click **OK**.

5

Customizing tables

- Double click on table.
- Open **Format > TableLooks**.
- Select a table style.
- 10 • To create a new table style, choose a table format close to what you want.
- Click **Edit Look** button.
- Edit the attributes you wish from the dialog box and click **OK**.
- Click **Save As** in TableLooks dialog box to save the new table style.

15 *Pasting results as metafiles*

- Select the item either in the output or contents pane.
- Select **Edit > Copy**.
- In the other application you are pasting into select **Edit > Paste Special**.
- Select **Picture** from the dialog box.

20

Pasting results as unformatted text

- Select the item either in the output or contents pane.
- Select **Edit > Copy**.
- In the other application you are pasting into select **Edit > Paste Special**.
- 5 • Select **Unformatted text** from the dialog box.

Layering a Table:

- To collapse a large 2D table into 3D layers double click on the table.
- Select pivoting trays from pivot menu. **Pivot > Pivoting Trays**.
- 10 • Drag any pivot icon from the row or column tray into the layer tray.
- To view the different layers on the table you can either click the arrows on the layer pivot icon in the pivot tray or select a category from the drop down list on the table.

15 **Export Format and Chart Templates**

- Export format controls the export format for output documents. Charts should be exported in a suitable format for inclusion in HTML documents. (i.e. JPEG)
- Pivot tables can be exported as HTML tables, as tab separated text, or as space separated text.
- 20 • Text output can be exported as preformatted HTML or space separated text.
- Exported chart names are based on the production job filename, a sequential number, and extension of the selected format. (i.e. PROBJOB1.WMF)

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Use **File > Export** to change text and chart export options.

When in the Export Output dialog box, click on the **Options** button. Then in the JPG Output Filter Setup dialog box, click on the **Chart Options** button. Some settings to make that will enhance the HTML output are:

- 5 1. Resloution = 200 X 200 pels/in.
2. Size = 3 X 3 in.
3. Quality = 100%
4. Operation = Progressive
5. Format = ycc color

10

- To change production options from production facility menu select **Edit > Options** after double-clicking on the chart to activate it. Under the **Chart** tab, change the Aspect Ratio to 1.25 and under the **Viewer** tab change the Text Output Font to 12 pt. Other options are also available to change in this dialog

15

box.

Viewer Options:

Viewer output display options affect only new output produced after you change the settings. Output already displayed in the Viewer is not affected by changes in these

20 settings.

Initial Output State controls which items are automatically displayed or hidden each time you run a procedure and how items are initially aligned. You can control the display of the following items: log, warnings, notes, titles, pivot tables, charts, and text output (output not displayed in pivot tables). You can also turn the display of

commands in the log on or off. You can copy command syntax from the log and save it in a syntax file for use with the automated production facility.

To change the viewer output display options:

- 5
 - Open **Edit > Options**.
 - Select the **Viewer** tab.
 - In the initial output state box, make sure that the log, warnings, and notes are all bulleted as 'contents initially hidden'.
 - Click **Apply**.
- 10
 - In the **Draft Viewer** tab of that same dialog box, make sure that in the 'display output items' box, log, commands in log, warnings, and notes are not selected (checked).
 - Click **Apply**.
- 15 All output items are displayed left-aligned in the Viewer. Only the alignment of printed output is affected by the justification settings. Centered and right-aligned items are identified by a small symbol above and to the left of the item.

All of these viewer options that you change will appear in the output window. If you export the object into HTML using the production facility, everything will be
20 displayed regardless of what you changed in the viewer options.

Chart Templates:

You can apply many of the attributes and text elements from one chart to another. This allows you to modify one chart, save that chart, and then use it as a template to create a number of other similar charts. For example, if the old chart is a clustered bar chart with bar colors modified to yellow and green and the new chart is a
5 multiple line chart, the lines will be yellow and green.

To create a new template:

- Create a chart as detailed earlier.
- 10 • Edit the chart to contain the attributes you want to save in a template (text format, color/fills, titles, subtitles, etc.) by selecting it and using the chart window.
- Open **File > Save Chart Template** from the chart window menu. (double-click on the chart to display the chart window)

15 To apply a template to a chart already in a chart window:

- Select the chart by double-clicking on it to bring up the chart window.
- Open **Format > Apply Chart Template**.
- Select a file to use as a template in the dialog box that appears.

20 To apply a template using command syntax:

By applying a chart template using command syntax, you can modify your chart to the set attributes in the template very quickly and easily. This would be helpful when running syntax files to produce customized output in the production facility as well.

- Create or locate a chart template.
- You will need to preserve the default option settings that the template will be modifying. To do so, add the **PRESERVE** command before applying the template.
- 5 • To set the template, use the command **SET CTEMPLATE = "filename"**.
Where file name is the absolute path of the chart template you want to apply.
- Next, add syntax for the chart(s) you wish to include.
- Use the **RESTORE** command to reset the option settings to what was saved when you issued the **PRESERVE** command earlier.

10

Example Syntax Using CTEMPLATE:

GET CAPTURE ODBC

/CONNECT='DSN=MSDN:'+

' dbse;UID=solomoj;PWD=solomoj;SERVER=IPSA01;'

15 /SELECT "T0"."MON_DT" AS "MON_DT",

"T0"."SYSTEM_NM" AS "SYSTEM_N", "T0"."FILE_READ_OPS_AMT"

AS "FILE_REA", "T0"."FILE_WRITE_OPS_AMT" AS "FILE_WRI",

"T0"."FILE_CONTROL_OPS_AMT" AS "FILE_CON",

"T0"."FILE_READ_BYTES_AMT"

20 AS "FILE_RBY", "T0"."FILE_WRITE_BYTES_AMT" AS "FILE_WBY",

"T0"."FILE_CNTRL_BYTES_AMT" AS "FILE_CNT"

FROM "SPSS_TEST" "T0".

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66E22T" 22T2460

Execute.

VARIABLE LABELS MON_DT "MON_DT" SYSTEM_N "SYSTEM_NM"

FILE_WRI "FILE_WRITE_OPS_AMT" FILE_CON
"FILE_CONTROL_OPS_AMT"

5 FILE_RBY "FILE_READ_BYTES_AMT" FILE_WBY
"FILE_WRITE_BYTES_AMT"

FILE_CNT "FILE_CNTRL_BYTES_AMT" .

PRESERVE.

SET CTEMPLATE = "c:\spss\test2.sct".

10 *Sequence Charts .

TSPLIT VARIABLES= file_rby

/ID= mon_dt

/NOLOG

/FORMAT NOFILL NOREFERENCE.

15 **RESTORE.**

Command Syntax

A syntax file is a text file that contains commands. You can just type in the commands, but the software can help you build your syntax file by:

- 20
- Pasting command syntax from dialog boxes
 - Copying syntax from the output log

- Copying syntax from the journal file

Rules When Editing and Writing Command Syntax

5 Keep in mind the following simple rules when editing and writing command syntax:

- Each command must begin on a new line and end with a period (.).
- Most subcommands are separated by slashes (/). The slash before the first subcommand on a command is usually optional.
- Variable names must be spelled out fully.
- 10 • Text included within apostrophes or quotation marks must be contained on a single line.
- Each line of command syntax cannot exceed 80 characters.
- A period (.) must be used to indicate decimals, regardless of your Windows regional settings.
- 15 • Variable names ending in a period can cause errors in commands created by the dialog boxes. You cannot create such variable names in the dialog boxes, and you should generally avoid them.

20 Command syntax is case insensitive, and three-letter abbreviations can be used for many command specifications. You can use as many lines as you want to specify a single command. You can add space or break lines at almost any point where a single blank is allowed, such as around slashes, parentheses, arithmetic operators, or between variable names. For example,

```
FREQUENCIES  
VARIABLES=JOBCAT GENDER  
/PERCENTILES=25 50 75  
/BARCHART.
```

5 and

```
freq var=jobcat gender /percent=25 50 75 /bar.
```

are both acceptable alternatives that generate the same results.

Creating Command Syntax from Dialog Boxes

10

The easiest way to build a command syntax file is to make selections in dialog boxes and paste the syntax for the selections into a syntax window. Then in the syntax window, you can run the pasted syntax, edit it, and save it in a syntax file.

- Open the dialog box and make selections. (i.e. when making a graph or table)
- 15 • Click on the **Paste** button and the command syntax will be pasted directly into the designated syntax window, or if a syntax window isn't open it will create a new syntax window automatically to paste your commands into.

Using Command Syntax from the Output Log

20

You can copy command syntax from the log that appears in the Viewer.

- Open **Edit > Options**.

- Select the **Viewer** tab and select 'Display commands in the log'. (As you run analyses, the commands for your dialog box selections are recorded in the log)
- Open a syntax file by **File > New > Syntax**.
- In the Output Viewer, double-click on the log item to activate it. (If it is hidden, 5 click on the closed book icon of the log to display it)
- Highlight the syntax you want to copy.
- **Edit > Copy**.
- In the syntax window choose **Edit > Paste**.

10 Using Command Syntax from the Journal File

All commands executed during a session are recorded in a journal file named *spss.jnl*. You can edit the journal file and save it as a syntax file that you can use to repeat a previously run analysis, or you can run it in an automated job with the 15 production facility.

- Open **File > Open**.
- Locate the journal file *spss.jnl* (by default located in the *temp* directory)
- Edit the file to remove any error messages or warnings, indicated by the > sign.
- Save the edited journal file using a different filename (with the extension *.sps* for 20 a syntax file).

Defining the Working Data File

The file that appears in the Data Editor window is the working data file. When you open a data file, it becomes the working data file. All analysis is performed on the working data file, and most analytical commands are unavailable unless you have a
5 working data file.

The working data file is the data file you build to use in the current session. You can retrieve an SPSS-format data file using GET, which in effect makes a working copy of the specified file. The working data file is not created until SPSS encounters a command (usually a procedure) that causes it to read the data. At this point, SPSS
10 executes all of the preceding data definition and transformation commands and the command that causes the data to be read. The working data file is then available for further transformations and procedures, and it remains available until replaced by a new working data file or until the end of the session.

Any transformations and statistical analyses you request during a session are
15 performed on the working data file. Transformations performed during a session apply to the working data file only. Changes to the file are lost if the working data file is erased or replaced before you have saved it.

Example syntax defining the working data file:

20 GET CAPTURE ODBC

/CONNECT='DSN=MSDN:'+

'dbse;UID=solomoj;PWD=solomoj;SERVER=IPSA01;'

/SELECT "T0"."MON_DT" AS "MON_DT",

"T0"."SYSTEM_NM" AS "SYSTEM_N", "T0"."FILE_READ_OPS_AMT"

25 AS "FILE_REA", "T0"."FILE_WRITE_OPS_AMT" AS "FILE_WRI",

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"T0"."FILE_CONTROL_OPS_AMT" AS "FILE_CON",
"T0"."FILE_READ_BYTES_AMT"

AS "FILE_RBY", "T0"."FILE_WRITE_BYTES_AMT" AS "FILE_WBY",

"T0"."FILE_CNTRL_BYTES_AMT" AS "FILE_CNT"

5 FROM "SPSS_TEST" "T0".

Execute.

VARIABLE LABELS MON_DT "MON_DT" SYSTEM_N "SYSTEM_NM"

FILE_WRI "FILE_WRITE_OPS_AMT" FILE_CON
"FILE_CONTROL_OPS_AMT"

10 FILE_RBY "FILE_READ_BYTES_AMT" FILE_WBY
"FILE_WRITE_BYTES_AMT"

FILE_CNT "FILE_CNTRL_BYTES_AMT".

FREQUENCIES

VARIABLES=file_wri file_rby file_cnt

15 /ORDER ANALYSIS .

(***This includes the GET CAPTURE command that retrieves data from a database
and converts them to a format that can be used by program procedures. GET
CAPTURE retrieves data and data information and builds a working data file for the
20 current session.)

CONNECT subcommand is required to access any database that has an installed
Microsoft ODBC driver. You cannot specify the connection string directly in the

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syntax window, but you can paste it with the rest of the command from the Results dialog box, which is the last of the series of dialog boxes opened with the Database Capture command from the File menu.

- 5 **SELECT** specifies any SQL select statement accepted by the database you access.

Running Command Syntax

- Highlight the commands you want to run in the syntax window.
- Click the **Run** button (the right-pointing triangle) on the syntax window toolbar.

10 OR

- Open **Run > (select command)**.
 1. **All** – runs all commands in the syntax window
 2. **Selection** – runs the currently selected commands
 3. **Current** – runs the command where the cursor is currently located
 - 15 4. **To End** – runs all commands from the current cursor location to the end of the file

The Scripting Facility

The scripting facility allows you to automate tasks, including:

1. Automatically customize output in the Viewer.
- 20 2. Open and save data files.
3. Display and manipulate dialog boxes.

4. Run data transformations and statistical procedures using command syntax.
5. Export charts as graphic files in a number of formats.

Scripts

5

Many scripts are included with the software. You can use these scripts as they are or you can customize them to your needs. If you want to create your own scripts, you can begin by choosing from a number of starter scripts.

10 To Create a Script:

- Open **New > Script**.
- Select a starter script if you want to begin with one.
- If you do not want to use a starter script, click **Cancel**.

15 To Edit a Script:

- Open **File > Open**.
- Look in j:\myproducts\spss\scripts or j:\myproducts\spss\scripts\starter to find a SPSS Script (*.sbs) to open.
- Modify the script. (The starter scripts are helpful in that they are commented with hints on how to customize the script to your particular needs)
- Save your new script.

To Run a Script:

- To apply a script to a table, click on the table to select it in the Output Viewer window.
- 5
- Open **Utilities > Run Script**.
 - Select the script from the dialog box you wish to execute and click **Run**.

Example Script:

File Name: CleanOutput.sbs

10 **Begin Description**

End Description

SPSS Autoscript file

Do not edit the text between HeaderBegin and HeaderEnd

HeaderBegin

15 HeaderEnd

Use this Starter Script if you want to remove items from the Navigator.

This Starter Script can be modified to delete items based on their type

(e.g., Note, Warning, Log, Chart, Pivot, Table, Text, Title, or All)

This is a modified version of the starter script (*Delete Navigator 'Items.sbs* located in the Starter folder) that will delete the Log, Notes, Text and Warnings from the Navigator for better output when making HTML output items.

5 Option Explicit

Public Const ALL_ITEMS As Integer = 10

Sub Main

10 Declare variables that will be used in this procedure

Dim intTypeToDelete As Integer

Dim intTypeToErase As Integer

Dim intTypeToDiscard As Integer

Dim intTypeToEliminate As Integer

15 Dim strSearchForLabel As String

'****Modification to original starter script****

'because only one item is allowed to be deleted at a time due to only one

'variable declared (intTypeToDelete), by creating separate variable names

20 'for each of the items you wish to delete, you can call the function

'several times With the various variables and delete multiple items within

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'this script.

intTypeToDelete = SPSSLog

intTypeToErase = SPSSNote

5 intTypeToDiscard = SPSSText

intTypeToEliminate = SPSSWarning

Call the DeleteAllByType function for each variable you produced**

Call DeleteAllByType(intTypeToDiscard)

10 Call DeleteAllByType(intTypeToEliminate)

Call DeleteAllByType(intTypeToDelete)

Call DeleteAllByType(intTypeToErase)

End Sub

15

Sub DeleteAllByType(intDeleteType As Integer)

'Purpose: Deletes all items that are a specific type, regardless of whether

'they are selected.

'Assumptions: The items are in the designated output document

20 'Effects: Deletes all the items that are a specific type (e.g., Note, 'Warning)

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'Inputs: The type of item to delete (intDeleteType)

'Return Values: None

'declare SPSS object variables that will be used in this procedure

5 Dim objOutputDoc As ISpssOutputDoc

 Set objOutputDoc = objSpssApp.GetDesignatedOutputDoc

'Clear all selections so that some items aren't inadvertently deleted

 objOutputDoc.ClearSelection

10

'determine what type the parameter refers to

'then select all of the items of that type

 Select Case intDeleteType

 Case SPSSChart

15 objOutputDoc.SelectAllCharts

 Case SPSSLog

 objOutputDoc.SelectAllLogs

 Case SPSSNote

 objOutputDoc.SelectAllNotes

20 Case SPSSPivot

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objOutputDoc.SelectAllTables

Case SPSSText

objOutputDoc.SelectAllText

Case SPSSTitle

5 objOutputDoc.SelectAllTitles

Case SPSSWarning

objOutputDoc.SelectAllWarnings

Case ALL_ITEMS

objOutputDoc.SelectAll

10 End Select

Now only those items that should be deleted are selected, so delete them

objOutputDoc.Remove

15 End Sub

Including Scripts in Syntax Documents:

To have a script run within a syntax document, use the SCRIPT command.

The syntax is: SCRIPT 'filename' [(quoted string)]

The basic specification is keyword SCRIPT with a filename. The filename is required. The optional quoted string, enclosed in parentheses, can be passed to the script.

5 Example Syntax:

GET CAPTURE ODBC

/CONNECT='DSN=MSDN:'+

dbse;UID=solomoj;PWD=solomoj;SERVER=ISPA01;'

/SELECT "T0"."MON_DT" AS "MON_DT",

10 "T0"."SYSTEM_NM" AS "SYSTEM_N", "T0"."FILE_READ_OPS_AMT"

AS "FILE_REA", "T0"."FILE_WRITE_OPS_AMT" AS "FILE_WRI",

"T0"."FILE_CONTROL_OPS_AMT" AS "FILE_CON",

"T0"."FILE_READ_BYTES_AMT"

AS "FILE_RBY", "T0"."FILE_WRITE_BYTES_AMT" AS "FILE_WBY",

15 "T0"."FILE_CNTRL_BYTES_AMT" AS "FILE_CNT"

FROM "SPSS_TEST" "T0".

Execute.

VARIABLE LABELS MON_DT "MON_DT" SYSTEM_N "SYSTEM_NM"

FILE_WRI "FILE_WRITE_OPS_AMT" FILE_CON

20 "FILE_CONTROL_OPS_AMT"

FILE_RBY "FILE_READ_BYTES_AMT" FILE_WBY

"FILE_WRITE_BYTES_AMT"

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FILE_CNT "FILE_CNTRL_BYTES_AMT" .

FREQUENCIES

VARIABLES=file_con file_wby file_wri

/ORDER ANALYSIS .

5 **SCRIPT 'j:\myproducts\spss\scripts\cleanoutput.sbs'.**

(This particular SCRIPT command will call the *cleanoutput.sbs* script which is coded to remove all unwanted items from the exported output. It is put at the end of the code so it can remove the items generated by the output of the FREQUENCIES command.)

Autoscripts

Autoscripts run automatically when triggered by the creation of a specific piece of output by a given procedure. For example, an autoscript can automatically make the 'Totals' row or column in a table into bold typeface and highlighted in the color blue each time a frequency table is created.

Creating Autoscripts:

- You create an autoscript by starting with the output object that you want to serve as the trigger. For example, to create an autoscript that runs whenever a frequency table is produced, create a frequency table in the usual manner.
- Single-click the table in the Output Viewer to select it.

- 5

10

15

The production facility helps run programs in an automated mode. It runs unattended and terminates after executing the last command, so you can run other tasks while it runs. This production mode is useful if you often run the same set of analyses like weekly reports.

20

Running an Automated Production Job

- Create a command syntax file (in the syntax editor) which is a simple text file containing command syntax.
- Select from the Start menu, **Start > Programs > SPSS 8.0 Production Facility**.
- Click **Add** to select the command syntax files you want to include in the production job.
- After you create syntax files and include them in a production job, you can view and edit them from the production facility.
- **Save** the production job and click the **Run** button on the tool bar (button with the right arrow) or select **Run > Production Job** from the menu bar to run the production job. Each production run produces an output file with the same name as the production job and the extension .SPO. i.e. the production job file: PRODJOB.SPP would become PRODJOB.SPO.
- You can then automatically print the results at the end of the production run, specify the location for the production results, export output and charts in external formats, and create user prompts that enable you to use the same syntax files for multiple data files and analyses.

Production Facility syntax files and INCLUDE Files

- 20 For command files run via the Production Facility or the INCLUDE command, the syntax rules are slightly different:
- Each command must begin in the first column of a new line
 - Continuation lines must be indented at least one space
 - The period at the end of the command is optional

If you generate command syntax by pasting dialog box choices into a syntax window, the format of the commands is suitable for any mode of operation.

5 Running Production Jobs From a Command Line

Command line switches enable you to schedule production jobs to run at certain times with scheduling utilities like the one available in Microsoft Plus!. You can run production jobs from a

10 command line with the following switches:

- r Runs the production job. If the production job has any user prompts, you must supply the requested information before the production job will run.
 - s Runs the production job and suppresses any user prompts or alerts. The
- 15 default user prompt values are used automatically.

To get to the command line...just open **Start > Run**. The command line will then appear. Another option is opening up the DOS shell and typing the command in there at the prompt. You should provide the full path for both the production facility

20 (SPSSPROD.EXE) and the production job. Both should be enclosed in quotes with the desired switch last.

```
"c:\program files\spss\spssprod.exe"
```

```
"c:\spss\datajobs\prodjob.spp" -s
```

Changing HTML Output Format

To change what is displayed in the HTML document when it is exported from the automated production facility, several steps need to be followed.

- Write a script that executes the desired commands. Open **J:\myproducts\spss\scripts\starter\delete navigator items.sbs** and modify the script to your desired specifications using the comments in the code to help you.
- This code only allows you to delete one feature at a time (log, notes, charts, pivot, text, title, warnings, or all items). If you want to remove the log AND notes feature you can:
 1. Write two different scripts, each deleting one feature and include them both in the syntax file using two SCRIPT commands.
 2. Modify the one script to accommodate removing both features by redefining one of the variables and calling the remove function twice (this is done so you don't overwrite the first value). In the second function, use the new variable name as the parameter.

i.e.

'define variables as so...

intTypeToDelete = SPSSLog

intTypeToErase = SPSSNote

'then call the functions...

Call DeleteAllByType(intTypeToDelete)

Call DeleteAllByType(intTypeToErase)

- Save the script (or scripts).
- Include the script (or scripts) in the syntax document you want to apply it to by using the **SCRIPT command**. This will automatically run the script that you created when the syntax command is encountered. The effect is the same as opening the script file in the Script Editor and running it from there. The syntax is SCRIPT 'filename'.

i.e. SCRIPT 'j:\myproducts\spss\scripts\deletetest.sbs'.

Make sure that this command is located after all of the commands on the working data file. This will ensure that it will run on all of the output you designated.

- Save the syntax file.
- Open the production facility, add the syntax file you just made, and follow the steps outlined earlier to complete the automated production of your new formatted HTML file.

Other starter scripts are available in the J:\myproducts\spss\scripts\starter directory. Each script supplies code for one or more common procedures and is commented with hints on how to customize the script to your particular needs.

AT Command

The AT command schedules commands and programs to run on a computer at a specified time and date. The Schedule service must be running to use the at command. To check this, go into your **control panel** and open **Services**. Scroll down to find the scheduler to check its status.

The syntax for the AT command is as follows.

at [\computername] [[id] [/delete [/yes]]

at [\computername] time [/interactive] [/every:

5 date[,...] | /next:date[,...]] "command"

Type these command in the command line found by opening **Start > Run**, or enter it at the prompt in the DOS shell.

Parameters

10 **none**

Used without parameters, at lists scheduled commands.

\\computername

15 Specifies a remote computer. If this parameter is omitted, the commands are scheduled on the local computer.

id

Is an identification number assigned to a scheduled command.

20 **/delete**

Cancels a scheduled command. If id is omitted, all the scheduled commands on the computer are canceled.

/yes

- 5 Forces a yes answer to all queries from the system when deleting scheduled events.

time

Specifies the time when command is to run. Time is expressed as hours:minutes in 24-hour notation (00:00 [midnight] through 23:59).

10

/interactive

Allows the job to interact with the desktop of the user who is logged on at the time the job runs.

- 15 **/every:date[,...]**

Runs the command on every specified day(s) of the week or month (for example, every Thursday, or the third day of every month). Specify date as one or more days of the week (M,T,W,Th,F,S,Su) or one or more days of the month (using numbers 1 through 31). Separate multiple date entries with commas. If date is omitted, the

20 current day of the month is assumed.

/next:date[,...]

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66E22T 77777760

5

10

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25

Viewing Scheduled Commands:

The AT command has two displays. When you use at without options, you see a display similar to the following:

STATUS	ID	Day	Time	Command Line
--------	----	-----	------	--------------

5	0	Each F	04:30PM	net send group leads status due
	2	Each M	12:00AM	chkstor > check.fil
	3	Each F	11:59PM	backup2.bat

When you include the identification number for the command, the display provides information for a single entry and is similar to the following:

10	Task ID:	0
	Status:	OK
	Schedule:	Each F
	Time of Day:	04:30PM
	Command:	net send group leads status due

15 After you schedule a command with at (especially a command that has option variables), check that the command is entered properly by typing at without options. If the information in the "Command Line" column isn't correct, delete the command and retype it. If it still isn't correct, retype the command with fewer option variables.

20 **Viewing Results:**

5

Changing System Time:

10

Storing Commands:

15

Connecting to Network Drives:

20

and not

where x : is a connection made by the user.

If you schedule an AT command that uses a drive letter to connect to a shared

15

- at \\marketing

- 20

at \\corp 3

- To schedule a net share command to run on the CORP server at 8:00 A.M., and redirect the listing to the server MAINTENANCE, shared directory REPORTS, and file CORP.TXT, type

5 at \\corp 08:00 "cmd /c net share reports=d:\marketing\reports >>
 \\maintenance\reports\corp.txt"

- To back up the MARKETING server's hard disk to a tape drive at midnight every five days, create a batch program (ARCHIVE.CMD) containing the backup commands. Then schedule the batch program to run by typing

10 at \\marketing 00:00 /every:5,10,15,20,25,30 archive

- To cancel all commands scheduled on the current server, clear the at schedule information by typing

15 at /delete

Running a Production Job Using AT

- Go into **Services** in the **Control Panel** and highlight the scheduler.
- Click on **Stop** button to interrupt the scheduler.
- Now double-click on the scheduler to bring up a dialog box.
- 20 • Make sure in the dialog box that appears, that the "Log on as" is your correct domain and user name (used to log on to NT) and password. (i.e. CSCOE\solomoj and password: xxxxxx)
- Click **OK**.

094747 /ZT/2460
66E22T"

- Now restart the scheduler by clicking on the **Start** button and then **Close** the dialog box.

5 Make a .bat file that contains the command to run the production facility. This command will include the path name to the production facility executable (spssprod.exe) <space> path name to the production job (command.spp) <space> with any switches appended to the end. If any of these commands are located on a different server, use the server and sharename rather than a remote drive letter to specify the path name.

10 **i.e. \\nsmmnt10\solomoj\myproducts\spss\spssprod.exe c:\spss\command.spp**
 –s

- where \\nsmmnt10\solomoj\myproducts\spss\spssprod.exe is the path to the production facility
- c:\spss\command.spp is the path to the production job
- 15 • –s is the switch that runs the production job and suppresses any user prompts or alerts.

To run the AT command from the DOS command shell, at the prompt type your at command calling the .bat file using the specified parameters as noted earlier.

- 20 • at 13:40 “c:\temp\test.bat”

This schedules test.bat to run at 12:40 p.m. on the local computer. To check if it was scheduled correctly, type **at** (with no parameters) to see a listing of all of the commands scheduled to run, or type **at <id>**, where ‘id’ is the identification number given to the scheduled command when you entered it. The latter will just give
25 information on the command specified.

From the menu open **Edit > Add**. In the dialog box that occurs, enter the time, days, and frequency information and type the “c:\temp\test.bat” command into the command line. Click **OK** and it will appear as a scheduled command.

HTML Information

You can automatically add customized HTML code to documents exported in HTML format, including:

- To customize HTML documents, you need to create or modify a text file that contains the custom HTML (htmlfram.txt – located in the directory in which SPSS is installed).

Contents and format of the text file for customized HTML:

The HTML code that you want to add automatically to your HTML documents must be specified in a simple text file that contains six fields, each delimited by two open angle brackets on the preceding line (<<):

5

<<

Text or code that you want to insert at the top of the document before the <HTML> specification (for example, comments that include document type specifications)

<<

10 Text used as the document title (displayed in the title bar)

<<

Meta tags or script code (for example, JavaScript code)

<<

HTML code that modifies the <BODY> tag (for example, code that specifies background color)

15

<<

Text and/or HTML code that is inserted after the exported output (for example, copyright notice)

<<

20 Text and/or HTML code that is inserted before the exported output (for example, company name, logo, etc.)

Sample Text file for Customized HTML:

<<

25 <!DOCTYPE HTML PUBLIC "-//W3C//DTD HTML 3.2//EN">

<<

NVI, Inc.

<<

<<

<<

<<

10 <h3 align=center>Regional Data</h3>

If you change the name or location of HTMLFRAM.TXT, you must modify the system registry to use the file in customized HTML output.

- Spsswin

- In the right pane, double-click the string **HTMLFormatFile**.

- For Value data, enter the full path and name of the text file containing the custom HTML specifications (for example, C:\MYFILES\HTMLSTUF.TXT).

Sample HTML source for customized HTML:

```
5  <!DOCTYPE HTML PUBLIC "-//W3C//DTD HTML 3.2//EN">
    <HTML>
    <HEAD>
    <TITLE>
NVI Sales, Inc.
10  </TITLE>
    <META NAME="keywords" CONTENT="gizmos, gadgets, gimcracks">
    </HEAD>
    <BODY bgcolor="#FFFFFF">
    <h2 align=center>NVI Sales</h2>
15  <h3 align=center>Regional Data</h3>

    [Exported output]

    <H4 align=center>This page made possible by...
20  <br><br>
    <IMG SRC="spss2.gif" align=center></H4>
    </BODY>
    </HTML>
```

25 **Oracle**

Installing Oracle Client 7.3.4

- Follow instructions in the install mode up until the dialog box which asks what you wish to install.
- Choose 'Custom Installation' and in the new dialog box that appears, highlight the following for installation:

- 5 1. **Oracle Call Interface**
2. **SQL+**
3. **Oracle 7 32 bit ODBC Driver**
4. **Oracle 7 Utilities**
5. **Oracle Network Products**
- 10 6. **Oracle Client Configuration Manager**

- Finish up the installation by following the rest of the dialog boxes.

Inserting Data Into a Table

- 15 • To change the date and time configuration for the session:

> alter session set nls_date_format = 'dd_mon_rr hh24:mi:ss';

- > insert into spss_test values ('01-mar-99 00:40:26', 'NSMMNT10', 0.047, 0.173, 59.468, 51.039, 24.7, 0);

20 (The values for the table are put inside parentheses in order of the data found in the table. Date/Time and Character variables are put in single quotes and numeric values can stand alone. Each data entry is separated by a comma)

- > select * from spss_test;

This command will show the table with the values.

- **>commit**

Type this command at the end of the session to commit all of the information that you entered into the table.

5

Table 18

SNMP/PATROL

LER METRICS	DESCRIPTION	PLATFORM	UNITS
busyPer	Provides the percent of CPU usage over the first 5 second period in the scheduler.	Router	percentage
ifInOctets	The total number of octets received on the interface, including framing characters.	Router Interface	octets
ifOutOctets	The total number of octets transmitted out of the interface, including framing characters.	Router Interface	octets
ifSpeed	An estimate of the interface's current bandwidth in bits per second. For interfaces which do not vary in bandwidth or for those where no accurate estimation can be made, this object should contain the nominal bandwidth.	Router Interface	bits per second
CPUCpuUtil	Displays the percentage of CPU utilization.	UNIX	percentage

MEMFreeMem	Displays the number of pages of memory available.	UNIX	pages
NETPacketsIn	Displays the total number of incoming packets within a sample interval.	UNIX	packets
NETPacketsOut	Displays the total number of outgoing packets within a sample interval.	UNIX	packets
DSKPercentBusy	Displays the percentage of time that the device is busy servicing a transfer request.	UNIX	percentage
CPUprcrProcesso rTimePercent	Displays a percentage of the elapsed time that a processor is busy executing a non-idle thread.	NT	percentage
MEMmemAvaila bleBytes	Displays the size of the virtual memory currently on the zeroed, free, and standby memory lists.	NT	megabytes
NETniPcktsPerSe c	Displays the rate that the packets are sent and received on the network.	NT	packets per second
PDpdDiskTimePe rcent	Displays the percentage of elapsed time that the disk spends servicing read or write requests.	NT	percentage

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PROJECT EVENT HANDLING

Creating Event Correlation Alarms With ECM as the Event Correlator and Manager

5

Introduction

- This section is intended as a lessons-learned encapsulation for ECM environment configurations.
- This section is intended as an aid in the creation and modification of event correlation models/alarm definitions in ECM.
- Included in this section are references to event correlation models/alarm definitions and PERL scripts that were devised in the development of this component of the Service Assurance final phase 2 deliverable. These pieces are included in the phase 2 repository for importation into an install of ECM.

15

Declarations

1. Items enclosed in greater/less than symbols (<>) contain installation dependent data.
2. Items enclosed in single quotes are as seen on the screen.

20

Definitions

HPOV – Hewlett Packard's OpenView Network Node Manager

IDE – Interactive Development Environment

OID – Object Identifier

ECM – Event Correlator and Manager

ECM Event Correlation Components

5

The Theory

The various components of ECM allow for the graphical creation of event correlation maps. These maps contain alarms, polls and traps, and inside each given alarm reside various states and transitions.

- 10 To fully comprehend the essence of the correlation utilities contained within ECM would require a primer on state transition diagrams. This falls out of the scope of this document, but a brief overview is in order.

- 15 Each alarm consists of a separate *state transition diagram*. The orderly composition of the states and transitions defines the boundaries of the diagram, the actions on the transitions define the outputs, and the SNMP polls and SNMP trap masks define the inputs. Therefore, the masks and polls bring in data for correlation. The data enters an alarm and through its unique course through the diagram (the unique set of states through which it transitions) a specific result is generated. This result consists of the actions that are generated by the transitions that it passes through.

20

The Components

The theory behind the software generates three distinct functional areas to the diagrams. These functional areas contain a distinct series of components that are the user-modifiable pieces of ECM. These are as follows:

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1. Inputs

- Polls – Are an SNMP request for information from a management station to a managed node.
- Traps – Are SNMP variables that have been “pushed” from managed node to management station.

5

2. Alarms

- States – Are actual, defined points of *being* in a system.
- Transitions – The passages from one state to the next.

3. Results

- Traps – Same as above, but are instead sent out either to another management station or a managed node.
- There are others, but they fall out of the scope of this document.

10

Creating New Polls

15 The New Poll Definition

Begin by running the ECM client and logging in as appropriate to your designed environment. Open the ‘Aadmin’ then the ‘Poll List’ menu options, and the ‘< Server Name >: Poll List’ dialog window is opened. At the bottom of this window is a series of buttons. Look for the button labeled ‘New’ and click on it. A new window will appear with the title reading ‘< Server Name >: Alarm Definition’.

20

This window is tabbed with two panels. The lead panel is the ‘Poll’ with the second tab being the ‘Poll Condition’. On the ‘Poll’ panel resides a number of basic interface components. The simplest of these components are obvious in that they are the name, poll rate, enabled, port and the true/false trigger that is fired when the

- poll is run. The important thing to realize here is that the triggers can either be reused from elsewhere in the program or else created right here by entering an arbitrary, previously unused, new trigger name. As with the name, these items should be part of a larger naming convention in order to simplify their utilization
- 5 (i.e. <project name>_<associated alarm>_<descriptive poll name>).

More complicated are the 'Property' drop-down and the 'Poll Condition' tab. The property string in this case is important as one of the core tenets that drives ECM is that of the property string and it's relation to the observed node.

The property string has to be in the node's property group.

- 10 The different property groups work as containers. In this way, the string that you choose must have a correspondence with the node(s) being observed. (i.e. the string must either be already inside of the given **node's property group**, or else added to the property group at a later time).

- Lastly is the 'Poll Condition' tab. This is straightforward to use, and consists of
- 15 choosing the necessary base object and checking the attributes that you would like to monitor. To do the very minimum, and grab variables for entry into logs, you would simply select the attribute in question and select 'present' (if more select 'AND' then select the next attribute and 'present' and so on).

- NOTE that the base object that you used in your poll needs to be specified in the
- 20 property group that is used by your node(s).

The base object has to be in the property group.

Use this last statement as a guideline for developing your polls. Just put any base object used inside the corresponding property group ANY time you use one in a poll used.

Creating New SNMP Trap Masks

New Trap Mask Definitions

Begin by running the ECM client and logging in as appropriate to your designed
5 environment. Open the 'Aadmin' then the 'Mask List' menu options, and the '<
Server Name >: Mask List' dialog window is opened. At the bottom of this window
is a series of buttons. Look for the button labeled 'New' and click on it. A new
window will appear with the title reading '< Server Name >: Alarm Definition'.

This window is tabbed with two panels. The lead panel is the 'Mask' with the
10 second tab being the 'Trigger Function'. On the 'Mask' panel resides a number of
basic interface components. The simplest of these components is the name of the
mask. These items should be part of a larger naming scheme in order to simplify
their utilization (i.e. <project name>_<associated alarm>_<descriptive poll name>).

In the next field comes the 'Generic' trap ID. This is a value from negative one to
15 positive six and represents a number of standardized responses as well as
industry/vendor specific predefined (SNMP) values. In most modified occasions,
this is going to be six.

Next comes the 'Enterprise' OID field. Each received trap comes with an SNMP
enterprise OID that allows for specificity with regards to the data's base object. This
20 can be found in numerous ways, but one possible way to discover this information is
to enable the 'TrapLogger' alarm and its' associated poll. Once activated, this alarm
will log all incoming traps to a file predefined to be 'alltraps.log'. This can be found
in the /<base directory>/seasoft/userfiles/logs directory, and is in flat text file format
(i.e. *more* or *cat* will work).

25 Once the mask is defined, the next test is to define whether you are interested in a
simple trigger call or a more complex trigger function. While describing the trigger
function falls outside the scope of this paper, one note that can be shared is that the

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PERL functionality in this release is quite limited. The trigger function is focused on little more than extended 'if else' statements that fire triggers.

If the trigger function is not required then the use of a simple trigger allows for the creation of new or reused triggers. As stated earlier, these triggers should be created
5 under a naming scheme.

Creating New Alarm Models

The New Alarm Canvas – Interface Basics

Begin by running the ECM client and logging in as appropriate to your designed
10 environment. Open the 'Admin' then the 'Alarm Definition List' menu options, and the '< Server Name >: Alarm Definition List' dialog window is opened. At the bottom of this window is a series of buttons. Look for the button labeled 'New' and click on it. A new window will appear with the title reading '< Server Name >: Alarm Definition'.

15 The basic layout of this window begins in the upper left corner with a four-button toolbar that allows for the creation of new alarm components. Below this is the alarm canvas that usually opens with a single state listed. This state is labeled 'Ground'.

Beneath the canvas section are three textbox/drop-down listboxes. The textbox
20 labeled 'Name' is nothing more than the name chosen for the new alarm. Select a name that is appropriate for your project. It is extremely easy to get lost in the alarm definitions so it is vehemently suggested to keep everything standardized around a naming convention.

The list-box immediately to the right of 'Name' is that of 'Property'. This is an
25 extremely important piece of the ECM design puzzle. The property group is termed as being a 'container for properties'. While all these kinds of theoretical understandings are important, keep in mind that the individual alarm's property

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needs to appear in the main property group under which your nodes will appear. It is in this manner that the property groups work as “keys” to allow various nodes to adhere to various property groups. The more complex the scheme, the more important this understanding becomes.

5 Our next interface component is that of the ‘Scope’ which appears immediately to the right of the ‘Property’ drop-down box. This has three options labeled ‘Subobject’, ‘Node’, and ‘Enterprise’.

- Subobject applies to an alarm configured to observe multiple instances of a single type of object attached to a single node.

10 • Node applies to an alarm configured to observe various objects attached to an individual node.

- Enterprise applies to instances that come from various nodes.

Essentially this scheme allows for “grading” alarms across the entire installation. Some alarms can be focused on a given router for instance while other alarms may
15 be correlating across multiple nodes.

Below these interface components are various other components, but short of the ‘Save’, ‘Cancel’, and ‘Enabled’ sections, there is nothing of extreme importance.

One final note is that of saving. ANY time a new trigger or a major change is made to the system, save as soon as possible. There are various flaws with the IDE which
20 will appear when you create various new interface pieces (i.e. triggers, state) that don’t appear in the choice boxes for implementation until AFTER a save is made.

Building The Alarm

Utilizing the toolbar, building basic new alarms is quite simple, but make sure that
25 the totality of the design is understood before undertaking more extreme alarms. In

any case, in this creation phase there are a number of important points to take into account.

Begin with state creation. In the eventuality that ECM is being interfaced with HPOV, the state creation itself becomes important. The color chosen for the state in question is what will appear on the IPMap in HPOV. This again falls into the realm of "prior proper planning" to make the best utilization of the available colors. HPOV has five available, and ECM has many, many more. These must be distilled properly for the interaction to occur.

Transition definition is just as important from a planning perspective. Once the transition trigger has been selected, the actions attached to it should be chosen carefully. Please remember to save frequently (especially if you notice a missing trigger in the drop down list after you just created it).

An important note is that the given transition must be pending for the trigger to be generated. This is what ECM refers to as 'Smart Polling'. This design says that the individual poll or trap mask will **NOT** occur unless the trigger that they generate is waiting on a transition in an active alarm in the product. The polls do not occur unless they could possibly generate a transition. Therefore, even if all the polls were enabled in the product. If they did not have an attached transition waiting to occur, then they would wait idly until a transition necessitated their action.

The actions associated with any given transition fall into two categories. That of PERL subroutines, and then everything else. It is conceivable that whole transitions could consist of vast PERL subroutines that would generate all the triggers and maintain all the correlation required by the product/installation. PERL subroutines will be considered in a separate section of this document, but their existence as a transition action is of extreme importance in defining your state transition diagrams.

If the use of PERL on the transition seems overkill for your needs then the remaining actions fall into internal and external categories:

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- Internal – Action Router, Alarm Counter, Clear Trigger, Delete Node, Fire Trigger, Set Attribute and Notes
- External – Beep, Command, EventLog, Inform, Inform OpC, Log to File, Log To dB, Microsoft Mail, Paging, Send Trap, SNMP Set and SMTP Mail

- 5 These actions should be examined thoroughly in defining what requirements are set for your alarm in particular.

The Patroller To Event Correlator and Manager Interface – SNMP Method

An Introduction

- 10 For application and server monitoring, the patroller is used. This creates a monitoring layer that resides on individual systems in the form of agents. These agents poll for user-defined information on pre-selected intervals and typically push this data up to a central point of control. From this point of control the data can be collated and correlated to provide historical data on the performance of the systems
- 15 in the environment.

Another possibility with the system is that SNMP *traps* can be generated. These traps are typically generated in dealing with thresholds that have been defined for their agents, and upon their generation ECM can receive and handle these much like any other trap that would come in.

20

The Specifics

- Remember that ECM has mask definitions. These mask definitions allow "screens" to be established that observe incoming SNMP *trap* information and "screen" out the information that you require. Remember also that there were three **important**
- 25 sections to the mask. There was the generic and specific trap ID's as well as the

enterprise OID. It is through these specifics that the mask definition is set-up to watch for data that it needs.

Using the generic trap number of '6' and the enterprise OID of 1.3.6.1.4.1.1031, the traps can be received and handled through mask definitions. The actual configuration of the traps to be sent is outside the scope of this document, but the specific trap ID is set during the PATROLLER configuration and implementation. For instance, in the case of the development environment, ID's 41 and 42 were used. Once a mask is configured for these parameters, the traps can be received into ECM for correlation.

- As in most cases, it is probably a good idea to enable the 'AllTraps' alarm to receive the traps and write them to log. With the 'log to file' action enabled in the alarm, you can set the action to verbose and actually see the incoming traps as they are written. From these logs you can derive the necessary modifications to the masks that you wish to produce.

The PATROLLER Trap – Handling The Trap

Once the actual trap has been received into the system, the parsing of the trap has to be done through PERL in most cases. There are other means to deal with traps, but PERL is native to ECM. This led to its' use in the phase two development of this product for the Service Assurance project.

ECM has reserved a number of "special" variables. These variables allow for interaction with the incoming trap, and provide a means by which to parse the trap without having to resort to all manner of regular expressions or some other form of tawdry pattern matching. Of particular interest is the "Vb" series of variables.

- Utilizing these "Vb" variables, you can get at the variable bindings that are appended to the end of the SNMP trap that was transmitted via PATROLLER. As this is where the actual data resides, these variables make extraction and correlation

a breeze. PATROLLER builds its' variable bindings in a single dimension, and to retrieve this data requires nothing more than extraction of the 'VbValue(0)' variable. This comes in as straight text in the form of
"Application:Instance:Parameter:State:Value".

- 5 Parsing requires the 'split' function from the PERL libraries, and with some simple logic and variable creation, the values can be parsed quite easily. There are some problems with ECM at this point though. Refer to the section on PERL that is contained in this document for more information.

- 10 With the trap parsed in this manner, the values can be easily correlated. This step can be imbedded in a PERL function and reused across multiple alarms with relative ease. One precaution that must be stated again is that of naming conventions. This point can not be belabored enough. Make sure that you put the PERL that is created into some kind of standardized format both by name **and** by the comments placed within.

15

PERL – Notes And Addenda

The Pluses

- 20 The installation of PERL that comes with ECM has much to offer to the correlation model designer. The flexibility is just about boundless, and with the addition of external PERL modules, the boundaries that do exist are spread even further away.

- 25 The PERL variables allow for deep SNMP trap broadcasts. Through the variable bindings that are attached, the extraction of this data is basic. The included functions allow for interaction **both** with the ECM structure (the FireTrigger and AssignPropertyGroup functions) as well as system level or beyond (limitless PERL modules). In our case we even went to the extreme of handling SNMP outbound traps through a PERL 'system' call to an external trap generation utility.

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The Minuses

PERL is gawky and complex to the uninitiated, and the code can be somewhat complex to operate. ECM also has a buggy interpreter that causes some concern with regards to larger scale subroutines. This buggy-ness results in open statements
5 that only work in particular ways (i.e. `open(LOG,"filename.log");`).

In addition to the above weaknesses, the lack of a sensible IDE makes for some extremely time consuming code development. Liberal use of 'echo' statements is just about the only way to achieve a solution for broken code.

Also, there is the extreme problem of testability as noted above. Either through the
10 lack of a debugger or the lack of a test environment, this weakness creates some time consuming development of code. Do **not** attempt to get around this by writing code out of the IDE either. Regular PERL code doesn't work most of the time in ECM. The differences are subtle, but as you experience larger and larger code, the differences become more problematic.

15

The Reality

Coding can be difficult anywhere. The flexibility that PERL offers within the product seems to outweigh this though. The predefined transition functions will only get you so far. PERL has to pick up the pace and carry models beyond this.
20 One may build in some basic functionality, but without the impetus of an API into the toolset, adaptation of these functions is limited. Some of the more basic features are extremely useful (i.e. FireTrigger and AlarmCounter), but more advanced functionality screams PERL.

25 Some Notes

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There is no native way to get timing. The way that was utilized in phase two design was with the 'localtime' PERL function.

Traps and masks have somewhat different formats (i.e. not trap parameters)

5 Keep in mind that the namespace/environment variables are normally **global** for the **entire** ECM environment. To this end, **any** alarm out there can access variables from **any** other alarm that is in existence with the installation. Liberal use of the 'my' scope limiter will allow for some separation of the variables in theory, but this theory has **not** been put to the test. The best idea is to logically separate variables through some sort of *naming convention*. This kind of organization is difficult but
10 recommended.

Loading the Archived Models

The models and associated materials created in the phase 1 and 2 development environments were subsequently exported to two files currently located in the
15 \\nsmmnt10\IPSA\Product Development\Phase 2 Deliverables\Event Handling System directory. These files are SA_Phase2_Models.mod and .txt. Below are listings of their contents and instructions on the importation process.

To import the files into your ECM installation.

- 20
- Gain filesystem access to the above listed files.
 - Open an ECM client and log in to the system that will serve as your host ECM server.
 - Go to Server on the menu bar.
 - Click on Import.

- Enter the full path and name of the .mod file.
- The files will be imported and established within ECM.

A listing of the imported files and attachments (this was derived from the
5 SA_Phase2_Models.txt file).

exportAlarmMap contains 10 items:

alarm SA_ifEntry_Logger is Off

alarm SA_allTraps_Logger is On

alarm SnmpStatus is On

10 alarm IcmpStatus is On

alarm SA_NodeMonitor is On

alarm SA_lsystem_Logger is On

alarm SA_ifEntry_C2Rate_Util is On

alarm SA_CorrEnt_Final is On

15 alarm SA_CorrEnt_BusyPerMon is On

alarm Test is Off

exportPropertyMap contains 23 items:

property udpEntry

property udp

20 property tcpConnEntry

property tcp

property snmp

property ipRouteEntry

property ipNetToMediaEntry

5 property ipAddrEntry

property egp

property atEntry

property nl-ping

property system

10 property NO_PROP

property ip

property interfaces

property icmp

property egpNeighEntry

15 property ifEntry

property SA_Router_Collection_Property

property lsystem

property SA_Normal_Snmp

property SA_CheckLater

20 property icmpStatus

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exportPropGroupMap contains 35 items:

5 group Icmp

group CHIPCOM-MIB

group SYNOPTICS-ATM-ETHERCELL-MIB

5 group UNGERMAN-BASS-MIB

group SYNOPTICS-LINKSWITCH-MIB

group SYNOPTICS-5000-MIB

group SYNOPTICS-3000-MIB

group RETIX-MIB

10 group ODS-MIB

group FIBERMUX-MIB

group COMPAQ-MIB

group ATT-SMARTHUB-MIB

group Mib-II-router-sub1

15 group Mib-II-sub1

group Mib-II

group HP-UNIX-MIB

group CISCO-ROUTER-11.x-MIB

group CISCO-ROUTER-10.x-MIB

20 group RFC1289-phivMIB

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group Router

group CISCO-ROUTER-9.x-MIB

group XYPLEX-MIB

group WELLFLEET-SERIES7-MIB

5 group WELLFLEET-MIB

group SUN-MIB

group NAT-MIB

group EPIX-MIB

group DEC-ELAN-MIB

10 group 3COM-ECSV2-MIB

group NETLABS-PING-MIB

group RFC1213-MIB2-MIB

group SA_Router_Collection_Group

group lesgroup

15 group SA_Normal_Snmp

group SA_CheckLater

exportOIDToGroupMap contains 0 items:

exportPollMap contains 6 items:

poll SA_ifEntry is On

20 poll SA_NodeMonitor_freeMem is On

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poll SA_NodeMonitor_busyPer is On

poll SA_lsystem is On

poll SA_CorrEnt_busyPer is On

poll Test is Off

5 exportMaskMap contains 2 items:

mask PatrolTrapsClear is On

mask PatrolTrapsAlarm is Off

exportTriggerMap contains 34 items:

trigger NO_TRIGGER

10 trigger coldStart

trigger warmStart

trigger allTraps

trigger PatrolNamedFailure

trigger PatrolNamedRecovery

15 trigger SA_CorrEnt_Recover

trigger SA_CorrEnt_PATROLLERRecover

trigger nodeUp

trigger nodeUpFast

trigger agentUpFast

20 trigger agentUp

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trigger SA_ifEntry

trigger SS_ICMP_Failed

trigger SA_CorrEnt_busyPer_Alarm

trigger SA_CorrEnt_busyPer_Recovery

5

trigger SA_lsystem

trigger SA_NodeMonitor_busyPer_Recovery

trigger SA_NodeMonitor_freeMem_Recovery

trigger SA_NodeMonitor_busyPer_Alarm

trigger SA_NodeMonitor_freeMem_Alarm

10

trigger Test

trigger IS_ICMPFailed

trigger TestA

trigger SA_CorrEnt_XOver

trigger SA_CorrEnt_AlarmFail

15

trigger SA_NodeMonitor_NotifyHPOV

trigger SA_NodeMonitor_BuildTrap

trigger NODE_UNREACHABLE

trigger ICMP_TIMEOUT

trigger NET_UNREACHABLE

20

trigger PORT_UNREACHABLE

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trigger SNMP_TIMEOUT

trigger RESPONSE

exportSeverityMap contains 5 items:

severity Normal

5 severity Critical

severity Major

severity Minor

severity Warning

exportRuleMap contains 0 items:

10 exportOpMaskMap contains 0 items:

exportPerlSubMap contains 16 items:

perl subroutine Test

perl subroutine SA_Parameters_TestScript

perl subroutine SA_NodeMonitor_RecoverHPOV

15 perl subroutine SA_NodeMonitor_NotifyHPOV

perl subroutine SA_NodeMonitor_BuildTrap

perl subroutine SA_ifEntry_C2Rate_Util

perl subroutine SA_CorrEnt_VerifyNode

perl subroutine SA_CorrEnt_RecoverHPOV

20 perl subroutine SA_CorrEnt_PATROLLERID

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perl subroutine SA_CorrEnt_NotifyHPOV

perl subroutine SA_allTraps_EventCodeScreen

perl subroutine PatrolTrapsAlarm

perl subroutine SA_SnmpStatus_RecoverHPOV

5 perl subroutine SA_SnmpStatus_NotifyHPOV

perl subroutine SA_IcmpStatus_RecoverHPOV

perl subroutine SA_IcmpStatus_NotifyHPOV

10 The above contains all of the actual modifications that were made to ECM during phase 2 and 1 of the IPSA development effort. In addition to these files are any tangential pieces that were "touched" by the modified ECM components (models, polls, et.al.).

External Applications

15 Trapgen

This is an executable that allows for manual trap generation through either the command line or PERL. The set-up used in phase 2 consists of the following:

```
trapgen nsmmws16 1.3.6.1.4.1.78 nsmmws17 6 3 105 1.3.6.1.4.1.78.0.3  
octetstringascii 'string'
```

20 Of particular interest is the 'octetstringascii' option. This allows strings to be collated and passed through the utility. Note that this was being passed across to an HPOV receiver that had to be configured separately.

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Establishing this requires some HPOV experience and falls out of the scope of this document, but one heads up would be that you need to go inside the 'Event Configuration Utility' inside HPOV. Once inside, either build a new enterprise instance or adapt an old one. After picking the enterprise though, you need to create
5 an event instance that fits the event id that you choose in your trapgen command line (i.e. the 1.3.6.1.4.1.78.0.3 part of the example above).

Once finished, you should be able to pass events via traps from ECM to HPOV. To observe these events, open the 'Events Browser', and they should be listed in date received order.

10

MIB Browser

A component of the HPOV toolset is the SNMP MIB browser. This is a useful tool for exploring the base objects that we have access to through ECM. Gain access to this tool from the HPOV manager, and you can use it to explore remote MIB's, and
15 dig through the available MIB's for objects of interest.

Remember that the compiled MIB's that are engaged with ECM limit the number of base objects to the ones specified in the ASN.1 file that was specified at compile time. These optional MIB's extend and expand the capabilities of the polls and alarms, thus allowing for more specificity in SNMP data collections.

20

Table 19 - Identify and Describe Requirement

Description: Provide information regarding the requirements, plan and implementation of Incident Reporting on the SA project
Scenarios:
Type:

Business Process Flow:
Overall Rating:
Business Need Desc:
Affected Parties: Service Assurance Team
Project Sponsor: Network Line of Business
Existing/New:
External Dependencies:
Method for Verification:
In Scope: Service Assurance Internal Initiative (Phase 2)

Complete after Requirements are Scheduled into a Release:

Table 20

Increment:
App/Subsyst Desc/Flow:

- 5 Table 21 - Required if Modifications are made after the Requirement has been signed-off

Change Request:

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Event Handling Requirements

Figure 31 illustrates an embodiment of the present invention which maps events on a network with service assurance capabilities. In operation 3100, a network is monitored for the occurrence of availability events, threshold events, and trap events. At least one occurred event is correlated to at least one other occurred event in operation 3102 to generate at least one correlating event. In operation 3104, the occurred events and correlating events are mapped on at least one network map. The network map is subsequently displayed in operation 3106.

In one embodiment of the present invention, the step of monitoring the network further comprises: tracking the availability of individual components of network for events, tracking the availability of individual services of the network for events, tracking the availability of individual processes of an operating system of the network for events, tracking the status of agent processes on individual components of the network for events, monitoring the operating system and application performance of network for threshold events, and monitoring traps of the network for events.

In yet another embodiment, the network map is a node level map and/or an event level map. The node level map displays node responding events, agent not responding events, and/or node down events. The step of mapping the occurred events and correlating events when the network map comprises the event level map further comprise of additional steps. In particular, the occurred events and correlating events may be filtered based upon predetermined criteria. The filtered events may also be mapped on the event level map. In still yet another embodiment, at least one notification action is generated based upon the occurred events and/or correlating events.

Availability Events

This section details the three availability events monitored.

Node Up and Node Down / Interface Up and Interface Down – Tracking an individual network component (such as a router, server, workstation, etc.). This will be tracked using Network Node Manager and ECM. We will track all nodes which we monitor on the test network, including the following: nsmmws16,

- 5 nsmmws09, twmmnt02, twmmdb02, nsmmrt03, nsmmrt04. When a Node or Interface fails to respond to a ping, a Node or Interface Down event for the specific node will be generated. When a Node or Interface responds to a ping after immediately after failing to respond to a ping, a Node or Interface Up for the specific node event will be generated.

- 10 Service Up and Service Down – Tracking an individual network service (FTP, NNTP, POP3, SMTP, DNS, HTTP, and RADIUS (RADIUS is tracked as a probe only)). This will be tracked using the Collector Internet Service Monitor. When a service fails to respond to the ISM a Service Down event for the specific service will be generated. When a Service responds to the ISM immediately after failing to
15 respond to the ISM, a Service Up event for the specific service will be generated.

- Process Up and Process Down - Tracking an individual process on a Windows NT or UNIX system. This will be tracked using Patroller Process KMs. When the number of specific processes with a specific name running under a specific owner changes from a preset number, a Process Down event will be generated for the
20 specific process, owner, and number of processes. When the number of specific processes with a specific name running under a specific owner changes back to the preset number from another number, a Process Up event event will be generated for the specific process, owner, and number of processes.

- SNMP Agent Up and SMMP Agent Down – Tracking the status of the SNMP agent
25 process on individual nodes. This will be tracked using ECM. When an SNMP agent fails to respond to a ECM SNMP status query, an SNMP Agent Down event will generated for the specific node. When an SNMP agent responds to a ECM SNMP status query after immediately after failing to respond to a SNMP status query, an SMP Agent Up event will be generated for the specific node.

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Operating System and Application Performance Threshold Events

This section details the operating system threshold events and the application performance threshold events monitored. Patroller will be used to monitor processes and send a Parameter High event for a specific parameter and node when a parameter crosses a threshold by increasing its value and send a Parameter Low event for a specific parameter and node when a parameter crosses a threshold by decreasing its value.

The following parameters should be monitored for Phase 2. Note that these parameters are for proof of concept only, as parameters can be added or removed very easily.

Table 22

Patroller Metrics (Generic Name)		UNIX Server Parameter

CPU Utilization increased to $\geq n\%$	CPUprrcrProcessorTimePercent	CPUCpuUtil
CPU Utilization decreased to $< n\%$	CPUprrcrProcessorTimePercent	CPUCpuUtil
Memory Utilization increased to $\geq n\%$	MEMmemAvailableBytes	MEMFreeMem
Memory Utilization decreased to $< n\%$	MEMmemAvailableBytes	MEMFreeMem

SNMP Performance Threshold Events

This section details the operating system threshold events and the application performance threshold events monitored. ECM will be used to monitor SNMP variables and send a Parameter High event for a specific parameter and node when a parameter crosses a threshold by increasing its value and send a Parameter Low event for a specific parameter and node when a parameter crosses a threshold by decreasing its value.

- 10 The following parameters should be monitored and possibly more:

Table 23

Patroller Metrics (Generic Name)	SNMP Object Name
Interface Util increased to $\geq 20\%$?

Interface Util decreased to < 20%	?
-----------------------------------	---

Generic SNMP Traps Events

- 5 This section details the requirements for monitoring any MIB defined trap from any monitored SNMP device. The SNMP trap will be generated asynchronously by any monitored node and will be translated into an event.

Table 24

Event Name	SNMP Object Name
Standard: Cold Start	?
Enterprise Specific: Cisco 7500: ?	?
Generic Trap sent by NNM's sendmsg	?

10 **Event Correlation**

- This section details the requirements for Event Correlation. The architecture will allow arbitrary correlation of any of the above events with regard to each other and time. This means that we will be able to generate new, correlated events based upon any combination of the above events. We will use ECM for all correlation in Phase
- 15 2; we may also use Collector for limited non SNMP-correlation in later phases. For Phase 2, we will perform the following correlations:

Downstream Suppression – We will suppress node down events from nodes behind
5 a certain network elements (for Phase 2, routers) when the network element is down.

Event Notification

- Configuring what actions should be taken as a result of what events will be configurable with pattern matching of Element Names, Event Codes, and schedule (including day and time).
- 20 Additionally, actions will not be taken when an Element is listed in Scheduled Downtime table with the same Event Code and occurs within the Downtime window.

Network Maps

This section details the requirements for the network maps. There will be two
5 network maps:

- Node Level Map – a node level map may be provided by HP OpenView
Network Node Manager. It will display each managed in one of three different
colors, corresponding to Node Responding, SNMP Agent Not Responding, and
Node Down.
- 10 • Event Level Map – an event level map can be provided by Collector Objective
View. It will display entities driven by arbitrary event filters, but will not
contain a node level view. These entities will be set to monitor specific groups
of events and will change color to match the most critical severity of any event
in the event filter.

15

Event Correlator and Manager (ECM) Model PERL Scripts

This section outlines the PERL scripts utilized in the alarm models from the Event
Correlator and Manager for phase 2.

20

Description

The below listed scripts delineate the entirety of the final development effort of the
IPSA phase 2 ECM installation. These scripts have been culled together and
separated at the version header contained at their beginning. The individual headers

begin with # Subroutine: and continue with a number of # separated comments describing the following script. Look for each of these as separators for the individual scripts.

5 #

 # Subroutine: SA_allTraps_EventCodeScreen

 # Overview: Simple screening mechanism for associating

 # event codes with incoming trapped events.

 # Once the incoming event is matched with a

10 # corresponding event code, the event is

 # wrapped into an SNMP trap variable binding

 # and fired into HP OpenView.

 #

 # Fires 'Alarm' trap into HP OpenView for

15 # processing using the PERL 'system'

 # function and the 'trapgen' command.

 #

 # _____

 #

20 # Configuration Of Variables

 #

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```
# Instantiate Local Variables

# - Make the variables ("my") local to keep them out of

# the global name space. Single step alarm, so no

# need for global variables.

5      #

      my @VbVals,$EventCode,$AlarmCode,$SnmpTrapVbValue;

      my $EpochTime=0;

      my @DaysPerMonth = (31, 28, 31, 30, 31, 30, 31, 31, 30, 31, 30, 31);

      my $year,$mon,$day,$hour,$min,$sec;

10     my $TZAdjust,$DSTAdjust;

      my $ShowDebug,$NumLeapYears,$SecondsThisInterval;

      my $SnmpTrapTimestamp,$SnmpTrapNode;

      # Initialize Date/Time Variables

      # - 2d line turns year into 4-digit year (i.e. 19xx)

15     # as localtime function returns year-1900. Timestamp

      # design is standard for phase 2 development.

      #

      $year = ((localtime())[5]);

      $mon = ((localtime())[4]);

20     $day = ((localtime())[3]);
```

65E22T" / 27460

```
$hour = ((localtime())[2]);
```

```
$min = ((localtime())[1]);
```

```
$sec = ((localtime())[0]);
```

Determine number of seconds for years

```
5      if ($year < 200) {
```

year is in two digit form

```
if ($year < 90) {
```

year is presumably in the 21st century

```
$year += 2000;
```

```
10      } else {
```

year is presumably in the 20th century

```
$year += 1900;
```

}

}

15

```
# Do not return negatives - and if 2100 or later, add to leap year calcs
```

```
if ($year < 1970 || $year >= 2100) {
```

```
return 0;
```

}

123456789101112131415161718192021222324252627282930313233343536373839404142434445464748495051525354555657585960616263646566676869707172737475767778798081828384858687888990919293949596979899100

```
$year -= 1970;
```

```
$SecondsThisInterval = $year * 365 * 24 * 60 * 60;
```

```
# leap year calculations
```

```
5    $NumLeapYears = int(($year+2) / 4);
```

```
    if (($year+2) % 4 == 0) {
```

```
        # this is a leap year (assuming 1970 - 2099)
```

```
        # check if we have hit feb 29 yet:
```

```
        # recall, mon is 0-based
```

```
10    if ($mon <= 1) {
```

```
        # we need to subtract a year, as this is jan
```

```
        $NumLeapYears--;
```

```
    }
```

```
}
```

```
15
```

```
$SecondsThisInterval += $NumLeapYears * 24 * 60 * 60;
```

```
$EpochTime += $SecondsThisInterval;
```

```
20
```

```
# Determine number of seconds for months so far
```

66E22T" 22T2460


```
while($mon--) {  
    $EpochTime += $DaysPerMonth[$mon] * 24 * 60 * 60;  
}
```

5 # Determine number of seconds for days so far

```
$EpochTime += ($day - 1) * 24 * 60 * 60;
```

 # Determine number of seconds for hours so far

```
$EpochTime += $hour * 60 * 60;
```

10

 # Determine number of seconds for minutes so far

```
$EpochTime += $min * 60;
```

 # Determine number of seconds for seconds so far

15 \$EpochTime += \$sec;

 # Adjust for time zone (which should be of the form -12:00 .. 12:00)

20 # THIS IS CURRENTLY IMPLEMENETED POORLY, IT SHOULD USE
 ACTUAL TIME ZONE CODES

0947177-12399
06022T-121460

```
$TZAdjust = "5:00";

if ($TZAdjust =~ /^(?)(\d):(\d*)$/) {

    # Time Zone adjustment needs to subtract

5    if ($1) {

        $EpochTime -= ($2 * 60 * 60) + ($3 * 60);

    } else {

        $EpochTime += ($2 * 60 * 60) + ($3 * 60);

    }

10 }

# Adjust for day light savings time

$SnmptTrapNode = $A;

$SnmptTrapVbValue = VbValue(0);

@VbVals = split /:/, $SnmptTrapVbValue;

15 # Main Event Code Correlation Loop

#

# Event Codes Are Standardized For Phase 2 Development

# In Local Document In Repository.

#
```

0947177-12299
66622T 22T2460

```
if ((ContainsString($VbVals[2] , "root@named")) &&  
(ContainsString($VbVals[3] , "ALARM"))) {
```

```
    $EventCode = "1002000";
```

```
    $AlarmCode = "Alarm";
```

```
5      } elseif ((ContainsString($VbVals[2] , "root@named")) &&  
(ContainsString($VbVals[3] , "OK"))) {
```

```
    $EventCode = "1002001";
```

```
    $AlarmCode = "Recover";
```

```
10      } elseif ((ContainsString($VbVals[2] , "CPUCpuUtil")) &&  
(ContainsString($VbVals[3] , "ALARM"))) {
```

```
    $EventCode = "1003000";
```

```
    $AlarmCode = "Alarm";
```

```
      } elseif ((ContainsString($VbVals[2] , "CPUCpuUtil")) &&  
(ContainsString($VbVals[3] , "OK"))) {
```

```
15      $EventCode = "1003001";
```

```
    $AlarmCode = "Recover";
```

```
      } elseif ((ContainsString($VbVals[2] , "CPUprcrProcessorTimePercent")) &&  
(ContainsString($VbVals[3] , "ALARM"))) {
```

```
    $EventCode = "1003000";
```

```
20      $AlarmCode = "Alarm";
```

```
      } elseif ((ContainsString($VbVals[2] , "CPUprcrProcessorTimePercent")) &&  
(ContainsString($VbVals[3] , "OK"))) {
```

09471777-122399
65E22F-227460

```
$EventCode = "1003001";
```

```
$AlarmCode = "Recover";
```

```
} elseif ((ContainsString($VbVals[2] , "MEMmemAvailableBytes")) &&  
(ContainsString($VbVals[3] , "ALARM"))) {
```

```
5      $EventCode = "1003002";
```

```
$AlarmCode = "Alarm";
```

```
} elseif ((ContainsString($VbVals[2] , "MEMmemAvailableBytes")) &&  
(ContainsString($VbVals[3] , "OK"))) {
```

```
$EventCode = "1003003";
```

```
10      $AlarmCode = "Recover";
```

```
}
```

```
# Fire The Trap Into HP OpenView
```

```
#
```

```
# The 6th value on the trapgen string (right next to
```

```
15 # the generic trap number (6)) is the specific trap
```

```
# number. This has to be associated with some event
```

```
# configured in HP OpenView. (i.e. 6 3 105 - The '3'
```

```
# is the specific trap ID)
```

```
#
```

```
20 if(ContainsString($AlarmCode, "Alarm")) {
```

0947177-122359
666227-227460

5

10

#

15

NMS that the enterprise alarm is

#

20

```
# function and the 'trapgen' command.
```

#

```
# _____  
  
#  
  
# Configuration Of Variables  
  
#  
  
5      # Instantiate Local Variables  
  
# - Make the variables ("my") local to keep them out of  
  
# the global name space. Single step alarm, so no  
  
# need for global variables.  
  
#  
  
10     my $EpochTime=0;  
  
my @DaysPerMonth = (31, 28, 31, 30, 31, 30, 31, 31, 30, 31, 30, 31);  
  
my $year,$mon,$day,$hour,$min,$sec;  
  
my $TZAdjust,$DSTAdjust;  
  
my $ShowDebug,$NumLeapYears,$SecondsThisInterval;  
  
15     my  
$SnmptTrapTimestamp,$SnmptTrapNode,$SA_CorrEnt_NotifyOvTrapString  
;  
  
# Initialize Date/Time Variables  
  
# - 2d line turns year into 4-digit year (i.e. 19xx)  
  
20     # as localtime function returns year-1900. Timestamp  
  
# design is standard for phase 2 development.
```

#

\$year = ((localtime())[5]);

\$mon = ((localtime())[4]);

\$day = ((localtime())[3]);

5 \$hour = ((localtime())[2]);

\$min = ((localtime())[1]);

\$sec = ((localtime())[0]);

Determine number of seconds for years

if (\$year < 200) {

10 # year is in two digit form

if (\$year < 90) {

year is presumably in the 21st century

\$year += 2000;

} else {

15 # year is presumably in the 20th century

\$year += 1900;

}

}

20 # Do not return negatives - and if 2100 or later, add to leap year calcs

0947177-12399
666227-227460

```
if ($year < 1970 || $year >= 2100) {  
    return 0;  
}
```

```
5    $year -= 1970;
```

```
$SecondsThisInterval = $year * 365 * 24 * 60 * 60;
```

```
# leap year calculations
```

```
$NumLeapYears = int(($year+2) / 4);
```

```
10    if (($year+2) % 4 == 0) {
```

```
        # this is a leap year (assuming 1970 - 2099)
```

```
        # check if we have hit feb 29 yet:
```

```
        # recall, mon is 0-based
```

```
        if ($mon <= 1) {
```

```
15            # we need to subtract a year, as this is jan
```

```
            $NumLeapYears--;
```

```
        }
```

```
    }
```

```
20    $SecondsThisInterval += $NumLeapYears * 24 * 60 * 60;
```

094777-2272160
656227-2272160


```
$EpochTime += $SecondsThisInterval;
```

```
# Determine number of seconds for months so far
```

```
5 while($mon--) {
```

```
    $EpochTime += $DaysPerMonth[$mon] * 24 * 60 * 60;
```

```
}
```

```
# Determine number of seconds for days so far
```

```
10 $EpochTime += ($day - 1) * 24 * 60 * 60;
```

```
# Determine number of seconds for hours so far
```

```
$EpochTime += $hour * 60 * 60;
```

```
15 # Determine number of seconds for minutes so far
```

```
$EpochTime += $min * 60;
```

```
# Determine number of seconds for seconds so far
```

```
$EpochTime += $sec;
```

```
20
```

09471777 122399
668227 227460

Adjust for time zone (which should be of the form -12:00 .. 12:00)

\$TZAdjust = "5:00";

if (\$TZAdjust =~ /^(-?)(\d):(\d*)\$/) {

5 # Time Zone adjustment needs to subtract

if (\$1) {

 \$EpochTime -= (\$2 * 60 * 60) + (\$3 * 60);

 } else {

 \$EpochTime += (\$2 * 60 * 60) + (\$3 * 60);

10 }

 }

Adjust for day light savings time

Fire The Trap Into HP OpenView

#

15 # The 6th value on the trapgen string (right next to

 # the generic trap number (6)) is the specific trap

 # number. This has to be associated with some event

 # configured in HP OpenView. (i.e. 6 3 105 - The '3'

 # is the specific trap ID)

20 #

094717.12399
668227.447460

```
system("/opt/bin/trapgen nsmmws16 1.3.6.1.4.1.78 nsmmws09 6 3 105
1.3.6.1.4.1.78.0.3 octetstringascii 5000000 1.3.6.1.4.1.78.0.3 octetstringascii
$EpochTime 1.3.6.1.4.1.78.0.3 octetstringascii 5");
```

5

```
#
```

```
# Subroutine: SA_CorrEnt_PATROLLERID
```

```
# Overview:  Stores Environment Variable For Further
```

```
#          Usage In System Code
```

10

```
#
```

```
#
```

```
$SA_CorrEnt_PATROLLERID = $A;
```

15

```
#
```

```
# Subroutine: SA_CorrEnt_RecoverHPOV
```

```
# Overview:  Fires recovery trap notifying the
```

```
#          NMS that the enterprise alarm is
```

20

```
#          recovered.
```

```
#
```

09417-1239
SECRET//AT&O

```
#      Fires 'Alarm' trap into HP OpenView for
#
#      processing using the PERL 'system'
#
#      function and the 'trapgen'
#
#      command.
5      #
#
#
#
# Configuration Of Variables
#
10      # Instantiate Local Variables
# - Make the variables ("my") local to keep them out of
# the global name space. Single step alarm, so no
# need for global variables.
#
15      my $EpochTime=0;
      my @DaysPerMonth = (31, 28, 31, 30, 31, 30, 31, 31, 30, 31, 30, 31);
      my $year,$mon,$day,$hour,$min,$sec;
      my $TZAdjust,$DSTAdjust;
      my $ShowDebug,$NumLeapYears,$SecondsThisInterval;
```

0947177-122399
66622T 1111460

```
my
$SnmptTrapTimestamp,$SnmptTrapNode,$SA_CorrEnt_NotifyOvTrapString
;

# Initialize Date/Time Variables

5  # - 2d line turns year into 4-digit year (i.e. 19xx)

# as localtime function returns year-1900. Timestamp

# design is standard for phase 2 development.

#

$year = ((localtime())[5]);

10 $mon = ((localtime())[4]);

$day = ((localtime())[3]);

$hour = ((localtime())[2]);

$min = ((localtime())[1]);

$sec = ((localtime())[0]);

15 # Determine number of seconds for years

if ($year < 200) {

    # year is in two digit form

    if ($year < 90) {

        # year is presumably in the 21st century

20     $year += 2000;

    } else {
```

0947177-12299
66E22T 1127460

year is presumably in the 20th century

\$year += 1900;

}

}

5

Do not return negatives - and if 2100 or later, add to leap year calcs

if (\$year < 1970 || \$year >= 2100) {

return 0;

}

10

\$year -= 1970;

\$SecondsThisInterval = \$year * 365 * 24 * 60 * 60;

leap year calculations

15

\$NumLeapYears = int((\$year+2) / 4);

if ((\$year+2) % 4 == 0) {

this is a leap year (assuming 1970 - 2099)

check if we have hit feb 29 yet:

recall, mon is 0-based

20

if (\$mon <= 1) {

0947177 123399
SECRET 447460

we need to subtract a year, as this is jan

\$NumLeapYears--;

}

}

5

\$SecondsThisInterval += \$NumLeapYears * 24 * 60 * 60;

\$EpochTime += \$SecondsThisInterval;

10

Determine number of seconds for months so far

while(\$mon--) {

\$EpochTime += \$DaysPerMonth[\$mon] * 24 * 60 * 60;

}

15

Determine number of seconds for days so far

\$EpochTime += (\$day - 1) * 24 * 60 * 60;

Determine number of seconds for hours so far

\$EpochTime += \$hour * 60 * 60;

20

0947177 122399
66222 2272460

Determine number of seconds for minutes so far

\$EpochTime += \$min * 60;

Determine number of seconds for seconds so far

5 \$EpochTime += \$sec;

Adjust for time zone (which should be of the form -12:00 .. 12:00)

\$TZAdjust = "5:00";

10 if (\$TZAdjust =~ /^(?)(\d):(\d*)\$/) {

Time Zone adjustment needs to subtract

if (\$1) {

\$EpochTime -= (\$2 * 60 * 60) + (\$3 * 60);

} else {

15 \$EpochTime += (\$2 * 60 * 60) + (\$3 * 60);

}

}

Adjust for day light savings time

Fire The Trap Into HP OpenView

20 #

09477 22T 2460

The 6th value on the trapgen string (right next to
the generic trap number (6)) is the specific trap
number. This has to be associated with some event
configured in HP OpenView. (i.e. 6 3 105 - The '3'
5 # is the specific trap ID)

system("/opt/bin/trapgen nsmmws16 1.3.6.1.4.1.78 nsmmws09 6 4 105
1.3.6.1.4.1.78.0.4 octetstringascii 5000001 1.3.6.1.4.1.78.0.4 octetstringascii
\$EpochTime 1.3.6.1.4.1.78.0.4 octetstringascii 1");
10

Subroutine: SA_CorrEnt_VerifyNode
Overview: Node verification subroutine to keep
15 # alarm restrained to single node instances.

if(ContainsString(\$SA_CorrEnt_BusyPerID,\$A)) {
20 FireTrigger("SA_CorrEnt_Recover");

}elseif(ContainsString(\$SA_CorrEnt_PATROLLERID,\$A)) {

0047177 122999
66222T 222460

```
FireTrigger("SA_CorrEnt_PATROLLERRecover");
```

```
}
```

```
#
```

5

```
#
```

```
# Subroutine: SA_IcmpStatus_NotifyHPOV
```

```
# Overview: Fires alarm trap notifying the
```

```
# NMS that the enterprise alarm is
```

10

```
# instantiated.
```

```
#
```

```
# Fires 'Alarm' trap into HP OpenView for
```

```
# processing using the PERL 'system'
```

```
# function and the 'trapgen' command.
```

15

```
#
```

```
#
```

```
#
```

```
# Configuration Of Variables
```

```
#
```

20

```
# Instantiate Local Variables
```

0047177-12299
SECRET 447460

```
# - Make the variables ("my") local to keep them out of
# the global name space. Single step alarm, so no
# need for global variables.
#
5 my $EpochTime=0;

my @DaysPerMonth = (31, 28, 31, 30, 31, 30, 31, 31, 30, 31, 30, 31);

my $year,$mon,$day,$hour,$min,$sec;

my $TZAdjust,$DSTAdjust;

my $ShowDebug,$NumLeapYears,$SecondsThisInterval;

10 my
   $SnmptTrapTimestamp,$SnmptTrapNode,$SA_CorrEnt_NotifyOvTrapString
   ;

# Initialize Date/Time Variables

# - 2d line turns year into 4-digit year (i.e. 19xx)

15 # as localtime function returns year-1900. Timestamp

# design is standard for phase 2 development.

#

$year = ((localtime())[5]);

$mon = ((localtime())[4]);

20 $day = ((localtime())[3]);

$hour = ((localtime())[2]);
```

094777" 122399
66E22T" 222T460

```
$min = ((localtime())[1]);
```

```
$sec = ((localtime())[0]);
```

```
# Determine number of seconds for years
```

```
if ($year < 200) {
```

```
5      # year is in two digit form
```

```
      if ($year < 90) {
```

```
          # year is presumably in the 21st century
```

```
          $year += 2000;
```

```
      } else {
```

```
10      # year is presumably in the 20th century
```

```
          $year += 1900;
```

```
      }
```

```
}
```

```
15      # Do not return negatives - and if 2100 or later, add to leap year calcs
```

```
      if ($year < 1970 || $year >= 2100) {
```

```
          return 0;
```

```
      }
```

```
20      $year -= 1970;
```

0947177 122299
66822T 2272460

```

# leap year calculations

$NumLeapYears = int(($year+2) / 4);

5   if (($year+2) % 4 == 0) {

        # this is a leap year (assuming 1970 - 2099)

        # check if we have hit feb 29 yet:

        # recall, mon is 0-based

        if ($mon <= 1) {

10          # we need to subtract a year, as this is jan

          $NumLeapYears--;

        }

    }

    }

15   $SecondsThisInterval += $NumLeapYears * 24 * 60 * 60;

    $EpochTime += $SecondsThisInterval;


    # Determine number of seconds for months so far

20   while($mon-->0) {

```

```
$EpochTime += $DaysPerMonth[$mon] * 24 * 60 * 60;  
}
```

```
# Determine number of seconds for days so far
```

```
5 $EpochTime += ($day - 1) * 24 * 60 * 60;
```

```
# Determine number of seconds for hours so far
```

```
$EpochTime += $hour * 60 * 60;
```

```
10 # Determine number of seconds for minutes so far
```

```
$EpochTime += $min * 60;
```

```
# Determine number of seconds for seconds so far
```

```
$EpochTime += $sec;
```

```
15
```

```
# Adjust for time zone (which should be of the form -12:00 .. 12:00)
```

```
# THIS SHOULD USE ACTUAL TIME ZONE CODES
```

```
$TZAdjust = "5:00";
```

```
20 if ($TZAdjust =~ /^(-?)(\d):(\d*)$/ ) {
```

094717.12399
66E2T.22T2460

Time Zone adjustment needs to subtract

if (\$1) {

\$EpochTime -= (\$2 * 60 * 60) + (\$3 * 60);

} else {

5 \$EpochTime += (\$2 * 60 * 60) + (\$3 * 60);

}

}

Adjust for day light savings time

Fire The Trap Into HP OpenView

10 #

The 6th value on the trapgen string (right next to

the generic trap number (6)) is the specific trap

number. This has to be associated with some event

configured in HP OpenView. (i.e. 6 3 105 - The '3'

15 # is the specific trap ID)

#

system("/opt/bin/trapgen.nsmmws16 1.3.6.1.4.1.78 \$A 6 3 105

1.3.6.1.4.1.78.0.3 octetstringascii 1000002 1.3.6.1.4.1.78.0.3 octetstringascii

\$EpochTime 1.3.6.1.4.1.78.0.3 octetstringascii 5");

20

09471777-122399
665227-447460

```
#

# Subroutine: SA_IcmpStatus_RecoverHPOV

# Overview:  Fires recovery trap notifying the

5      #      NMS that the enterprise alarm is

      #      recovered.

      #

      #      Fires 'Alarm' trap into HP OpenView for

      #      processing using the PERL 'system'

10     #      function and the ECM 'trapgen'

      #      command.

      #

      # _____

      #

15     # Configuration Of Variables

      #

      # Instantiate Local Variables

      # - Make the variables ("my") local to keep them out of

      # the global name space.  Single step alarm, so no

20     # need for global variables.
```

054727-1269
66E2E7-222450


```
#

my $EpochTime=0;

my @DaysPerMonth = (31, 28, 31, 30, 31, 30, 31, 31, 30, 31, 30, 31);

my $year,$mon,$day,$hour,$min,$sec;

5   my $TZAdjust,$DSTAdjust;

    my $ShowDebug,$NumLeapYears,$SecondsThisInterval;

    my
    $Snmptimestamp,$Snmptimestamp,$SA_CorrEnt_NotifyOvTrapString
    ;

10   # Initialize Date/Time Variables

    # - 2d line turns year into 4-digit year (i.e. 19xx)

    # as localtime function returns year-1900. Timestamp

    # design is standard for phase 2 development.

    #

15   $year = ((localtime())[5]);

    $mon = ((localtime())[4]);

    $day = ((localtime())[3]);

    $hour = ((localtime())[2]);

    $min = ((localtime())[1]);

20   $sec = ((localtime())[0]);

    # Determine number of seconds for years
```

0947177-12399
666221-222460

```
if ($year < 200) {

    # year is in two digit form

    if ($year < 90) {

        # year is presumably in the 21st century

5       $year += 2000;

    } else {

        # year is presumably in the 20th century

        $year += 1900;

    }

10    }

    # Do not return negatives - and if 2100 or later, add to leap year calcs

    if ($year < 1970 || $year >= 2100) {

        return 0;

15    }

    $year -= 1970;

    $SecondsThisInterval = $year * 365 * 24 * 60 * 60;

20    # leap year calculations
```

0947477-122399

```
$NumLeapYears = int(($year+2) / 4);

if (($year+2) % 4 == 0) {

    # this is a leap year (assuming 1970 - 2099)

    # check if we have hit feb 29 yet:

5    # recall, mon is 0-based

    if ($mon <= 1) {

        # we need to subtract a year, as this is jan

        $NumLeapYears--;

    }

10 }

$SecondsThisInterval += $NumLeapYears * 24 * 60 * 60;

$EpochTime += $SecondsThisInterval;

15

# Determine number of seconds for months so far

while($mon--) {

    $EpochTime += $DaysPerMonth[$mon] * 24 * 60 * 60;

}
```

Determine number of seconds for days so far

\$EpochTime += (\$day - 1) * 24 * 60 * 60;

Determine number of seconds for hours so far

5 \$EpochTime += \$hour * 60 * 60;

Determine number of seconds for minutes so far

\$EpochTime += \$min * 60;

10 # Determine number of seconds for seconds so far

\$EpochTime += \$sec;

Adjust for time zone (which should be of the form -12:00 .. 12:00)

15 # THIS SHOULD USE ACTUAL TIME ZONE CODES

\$TZAdjust = "5:00";

if (\$TZAdjust =~ /^(-?)(\d):(\d*)\$/) {

 # Time Zone adjustment needs to subtract

 if (\$1) {

20 \$EpochTime -= (\$2 * 60 * 60) + (\$3 * 60);

0947377-227450

```
    } else {  
  
        $EpochTime += ($2 * 60 * 60) + ($3 * 60);  
  
    }  
  
}  
  
5    # Adjust for day light savings time  
  
    # Fire The Trap Into HP OpenView  
  
    #  
  
    # The 6th value on the trapgen string (right next to  
  
    # the generic trap number (6)) is the specific trap  
  
10    # number. This has to be associated with some event  
  
    # configured in HP OpenView. (i.e. 6 3 105 - The '3'  
  
    # is the specific trap ID)  
  
    #  
  
    system("/opt/seasoft/bin/trapgen nsmmws16 1.3.6.1.4.1.78 $A 6 4 105  
15    1.3.6.1.4.1.78.0.4 octetstringascii 1000003 1.3.6.1.4.1.78.0.4 octetstringascii  
    $EpochTime 1.3.6.1.4.1.78.0.4 octetstringascii 1");  
  
  
    #  
  
20    # Subroutine: SA_ifEntry_C2Rate_Util  
  
    # Overview: Counter To Rate Conversion Script
```

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```

# Builds Utilization Percentage And
# Rate For Interface Instances.
#
#
5 #
# Configuration
#
# Declare Local Variables.
#
10 my $IfSpeed,$Old_ifInOctets,$Old_ifOutOctets;
my $New_ifInOctets,$New_ifOutOctets;
my $Old_ifInOctets,$Old_ifOutOctets;
my $Delta_ifInOctets,$Delta_ifOutOctets;
my $Summed_Octets,$Converted_To_Bits;
15 my $New_Timestamp,$Old_Timestamp,$Delta_Time;
my $BPS,$Utilization_Percentage;
my $sec,$min,$hour,$mday,$mon,$year,$yday,$isdst;
my $Maximum_Counter_Size = 4294967295;
# Construct Initial Time Variables
20 ($sec,$min,$hour,$mday,$mon,$year,$yday,$isdst) = localtime(time);

```

Fix 19xx Year

\$year = 1900 + \$year;

\$New_Timestamp = "\$year-\$mon-\$mday \$hour:\$min:\$sec";

\$Old_Timestamp = \$Saved_Timestamp{\$pollkey};

5 # Get New Interface Values

\$ifSpeed = ifEntry.ifSpeed;

\$New_ifInOctets = ifEntry.ifInOctets;

\$New_ifOutOctets = ifEntry.ifOutOctets;

Retrieve Old Interface Values

10 \$Old_ifInOctets = \$Saved_ifInOctets{\$pollkey};

\$Old_ifOutOctets = \$Saved_ifOutOctets{\$pollkey};

#

Data Manipulation

15 #

Gather And Build ifInOctets Delta

#

\$Delta_ifInOctets = \$New_ifInOctets - \$Old_ifInOctets;

if(\$Delta_ifInOctets < 0){

094717712299

56E2237 22F2450

```
$Delta_ifInOctets = ($Maximum_Counter_Size - $Old_ifInOctets) +
$New_ifInOctets;

}

# Gather And Build ifOutOctets Delta

5      #

$Delta_ifOutOctets = $New_ifOutOctets - $Old_ifOutOctets;

if($Delta_ifOutOctets < 0) {

    $Delta_ifOutOctets = ($Maximum_Counter_Size - $Old_ifOutOctets) +
    $New_ifOutOctets;

10      }

# Gather And Build Timestamp Delta

# - Octets Are Made Of 8 Bits - i.e. The Conversion

#

$Summed_Octets = $Delta_ifInOctets + $Delta_ifOutOctets;

15      $Converted_To_Bits = $Summed_Octets * 8;

$Delta_Time = SubtractTime($Old_Timestamp,$New_Timestamp);

# Do The Math - Create Deliverable Values (Rate And Counter)

#

$BPS = $Converted_To_Bits / $Delta_Time;

20      $Utilization_Percentage = ($BPS / $ifSpeed) * 100;

#_____
```



```
#

# Report Data Findings To Log File

#

# Open The Log File And Write The Data To Disk

5      #

      open(LOG,">>/opt/seasoft/userfiles/logs/SA_ifEntry_C2Rate_Util.dat");

      if(LOG) {

          printf LOG
          "%s,InterfaceUtilization_Percent,%s,%s,%.3f\n",$N,$OI,$New_Timestamp,
10      $Utilization_Percentage;

          printf LOG
          "%s,InterfaceUtilization_BPS,%s,%s,%.3f\n",$N,$OI,$New_Timestamp,$B
          PS;

          close LOG;

15      } else {

          #

          # If An Error On Opening The Log File Then Open Error File

          # And Write To Disk

          #

20      open(LOG,">>/usr/seasoft/userfiles/logs/SA_ifEntry_C2Rate_Util.err");

      print LOG "$New_Timestamp:Unable To Write To Log
      SA_ifEntry_C2Rate_Util.log";
```

66E22T" 22T2459

```
close LOG;

}

#_____

#

5  # Update The Global Data Hashes

#

$Saved_ifInOctets{$pollkey} = $New_ifInOctets;

$Saved_ifOutOctets{$pollkey} = $New_ifOutOctets;

$Saved_Timestamp{$pollkey} = $New_Timestamp;

10 #

#_____

#_____

#

# Subroutine - Builds Deltas For Use By Main Handler

15 #      Script. Inputs timestamps in form of

#      'YYYY-MM-DD hh:mm:ss' and returns

#      delta in form of seconds elapsed.

#

sub SubtractTime {

20      # Declare Local Variables
```

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060227" 2227460

my \$date1,\$date2,\$year1,\$year2,\$months1;

my \$months2;

my \$days1,\$days2,\$time1,\$time2,\$hours1;

my \$hours2,\$mins1,\$mins2,\$secs1,\$secs2;

5 my \$result1,\$result2,\$DifferenceInSeconds;

assuming YYYY-MM-DD hh:mm:ss format

(24hr representation)

Convert Date/Time To Seconds

10 (\$date1,\$time1) = split(" ",\$_[0]);

(\$year1,\$months1,\$days1) = split("-", \$date1);

(\$hours1,\$mins1,\$secs1) = split(":", \$time1);

\$result1 =

15 \$year1*31104000+\$months1*2592000+\$days1*86400+\$hours1*3600+\$mins1*60+\$secs1;

assuming YYYY-MM-DD hh:mm:ss format

(24hr representation)

Convert Date/Time To Seconds

20 (\$date2,\$time2) = split(" ",\$_[1]);

(\$year2,\$months2,\$days2) = split("-", \$date2);

66E22F" 222F2460

```
($hours2,$mins2,$secs2) = split(":",$time2);
```

```
$result2 =
```

```
$year2*31104000+$months2*2592000+$days2*86400+$hours2*3600+$mi  
ns2*60+$secs2;
```

```
# Get Delta
```

```
$DifferenceInSeconds = $result2 - $result1;
```

```
return($DifferenceInSeconds);
```

```
}
```

```
#
```

```
# Subroutine: SA_NodeMonitor_BuildTrap
```

```
# Overview: Trap VarBind Builder
```

```
#
```

```
#
```

```
#
```

```
# Configuration
```

```
#
```

```
# Declare Local Variables
```

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my \$sec,\$min,\$hour,\$mday,\$mon,\$year,\$wday,\$yday,\$isdst;

```
my $Timestamp,$Node;
```

#

```
$year = 1900 + $year;
```

```
10      # Build The Trap VarBind
```

#

15

#

```
# Subroutine: SA_NodeMonitor_NotifyHPOV
```

Overview: Fires 'Alarm' trap into HP OpenView for

```
20      # processing using the PERL 'system'
```

```
#      function and the ECM 'trapgen'

#      command.

#

# _____

5  #

# Configuration Of Variables

#

# Instantiate Local Variables

# - Make the variables ("my") local to keep them out of

10 # the global name space. Single step alarm, so no

# need for global variables.

#

my $EpochTime=0;

my @DaysPerMonth = (31, 28, 31, 30, 31, 30, 31, 31, 30, 31, 30, 31);

15 my $year,$mon,$day,$hour,$min,$sec;

my $TZAdjust,$DSTAdjust;

my $ShowDebug,$NumLeapYears,$SecondsThisInterval;

my

$SnmptTrapTimestamp,$SnmptTrapNode,$SA_CorrEnt_NotifyOvTrapString

20 ;

# Initialize Date/Time Variables
```

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SECRET

```
# - 2d line turns year into 4-digit year (i.e. 19xx)

# as localtime function returns year-1900. Timestamp

# design is standard for phase 2 development.

#

5   $year = ((localtime())[5]);

    $mon = ((localtime())[4]);

    $day = ((localtime())[3]);

    $hour = ((localtime())[2]);

    $min = ((localtime())[1]);

10  $sec = ((localtime())[0]);

    # Determine number of seconds for years

    if ($year < 200) {

        # year is in two digit form

        if ($year < 90) {

15      # year is presumably in the 21st century

        $year += 2000;

        } else {

            # year is presumably in the 20th century

            $year += 1900;

20  }

}
```

}

Do not return negatives - and if 2100 or later, add to leap year calcs

if (\$year < 1970 || \$year >= 2100) {

5 return 0;

}

\$year -= 1970;

\$SecondsThisInterval = \$year * 365 * 24 * 60 * 60;

10

leap year calculations

\$NumLeapYears = int((\$year+2) / 4);

if ((\$year+2) % 4 == 0) {

 # this is a leap year (assuming 1970 - 2099)

15 # check if we have hit feb 29 yet:

 # recall, mon is 0-based

 if (\$mon <= 1) {

 # we need to subtract a year, as this is jan

 \$NumLeapYears--;

20

 }

666227 2272450

}

\$SecondsThisInterval += \$NumLeapYears * 24 * 60 * 60;

\$EpochTime += \$SecondsThisInterval;

5

Determine number of seconds for months so far

while(\$mon--) {

\$EpochTime += \$DaysPerMonth[\$mon] * 24 * 60 * 60;

10

}

Determine number of seconds for days so far

\$EpochTime += (\$day - 1) * 24 * 60 * 60;

15

Determine number of seconds for hours so far

\$EpochTime += \$hour * 60 * 60;

Determine number of seconds for minutes so far

\$EpochTime += \$min * 60;

20

66E22F" 2272450

Determine number of seconds for seconds so far

\$EpochTime += \$sec;

5 # Adjust for time zone (which should be of the form -12:00 .. 12:00)

THIS SHOULD USE ACTUAL TIME ZONE CODES

\$TZAdjust = "5:00";

if (\$TZAdjust =~ /^(-?)(\d):(\d*)\$/) {

 # Time Zone adjustment needs to subtract

10 if (\$1) {

 \$EpochTime -= (\$2 * 60 * 60) + (\$3 * 60);

 } else {

 \$EpochTime += (\$2 * 60 * 60) + (\$3 * 60);

 }

15 }

Adjust for day light savings time

Fire The Trap Into HP OpenView

#

The 6th value on the trapgen string (right next to

20 # the generic trap number (6)) is the specific trap

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number. This has to be associated with some event

configured in HP OpenView. (i.e. 6 3 105 - The '3'

is the specific trap ID)

#

5 system("/opt/seasoft/bin/trapgen nsmmws16 1.3.6.1.4.1.78 \$A 6 3 105
1.3.6.1.4.1.78.0.3 octetstringascii 3000000 1.3.6.1.4.1.78.0.3 octetstringascii
\$EpochTime 1.3.6.1.4.1.78.0.3 octetstringascii 5");

10

#

Subroutine: SA_NodeMonitor_RecoverHPOV

Overview: Fires 'Recover' trap into HP OpenView for

processing using the PERL 'system'

15 # function and the ECM 'trapgen'

command.

#

Variable Declarations

20

#

#

0947177-22399

```
# Configuration Of Variables

#

# Instantiate Local Variables

# - Make the variables ("my") local to keep them out of
5 # the global name space. Single step alarm, so no
# need for global variables.

#

my $EpochTime=0;

my @DaysPerMonth = (31, 28, 31, 30, 31, 30, 31, 31, 30, 31, 30, 31);
10 my $year,$mon,$day,$hour,$min,$sec;

my $TZAdjust,$DSTAdjust;

my $ShowDebug,$NumLeapYears,$SecondsThisInterval;

my
$SnmptTrapTimestamp,$SnmptTrapNode,$SA_CorrEnt_NotifyOvTrapString
15 ;

# Initialize Date/Time Variables

# - 2d line turns year into 4-digit year (i.e. 19xx)

# as localtime function returns year-1900. Timestamp

# design is standard for phase 2 development.

20 #

$year = ((localtime())[5]);
```

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```
$mon = ((localtime())[4]);
```

```
$day = ((localtime())[3]);
```

```
$hour = ((localtime())[2]);
```

```
$min = ((localtime())[1]);
```

```
5 $sec = ((localtime())[0]);
```

```
# Determine number of seconds for years
```

```
if ($year < 200) {
```

```
    # year is in two digit form
```

```
    if ($year < 90) {
```

```
10        # year is presumably in the 21st century
```

```
        $year += 2000;
```

```
    } else {
```

```
        # year is presumably in the 20th century
```

```
        $year += 1900;
```

```
15    }
```

```
}
```

```
# Do not return negatives - and if 2100 or later, add to leap year calcs
```

```
if ($year < 1970 || $year >= 2100) {
```

```
20    return 0;
```

004477.1239
66822T" / 22460

```
}
```

```
$year -= 1970;
```

```
$SecondsThisInterval = $year * 365 * 24 * 60 * 60;
```

5

```
# leap year calculations
```

```
$NumLeapYears = int(($year+2) / 4);
```

```
if (($year+2) % 4 == 0) {
```

```
    # this is a leap year (assuming 1970 - 2099)
```

10

```
    # check if we have hit feb 29 yet:
```

```
    # recall, mon is 0-based
```

```
    if ($mon <= 1) {
```

```
        # we need to subtract a year, as this is jan
```

```
        $NumLeapYears--;
```

15

```
    }
```

```
}
```

```
$SecondsThisInterval += $NumLeapYears * 24 * 60 * 60;
```

```
$EpochTime += $SecondsThisInterval;
```

20

```
# Determine number of seconds for months so far
```

```
while($mon--) {
```

```
    $EpochTime += $DaysPerMonth[$mon] * 24 * 60 * 60;
```

```
5      }
```

```
# Determine number of seconds for days so far
```

```
$EpochTime += ($day - 1) * 24 * 60 * 60;
```

```
10    # Determine number of seconds for hours so far
```

```
$EpochTime += $hour * 60 * 60;
```

```
# Determine number of seconds for minutes so far
```

```
$EpochTime += $min * 60;
```

```
15
```

```
# Determine number of seconds for seconds so far
```

```
$EpochTime += $sec;
```

```
20    # Adjust for time zone (which should be of the form -12:00 .. 12:00)
```

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66E22T 7777460

THIS SHOULD USE ACTUAL TIME ZONE CODES

\$TZAdjust = "5:00";

if (\$TZAdjust =~ /^(-?)(\d):(\d*)\$/) {

Time Zone adjustment needs to subtract

5 if (\$1) {

\$EpochTime -= (\$2 * 60 * 60) + (\$3 * 60);

} else {

\$EpochTime += (\$2 * 60 * 60) + (\$3 * 60);

}

10 }

Adjust for day light savings time

Fire The Trap Into HP OpenView

#

The 6th value on the trapgen string (right next to

15 # the generic trap number (6)) is the specific trap

number. This has to be associated with some event

configured in HP OpenView. (i.e. 6 3 105 - The '3'

is the specific trap ID)

#

09471777-122399


```
system("/opt/bin/trapgen nsmmws16 1.3.6.1.4.1.78 nsmmws09 6 4 105  
1.3.6.1.4.1.78.0.4 octetstringascii 3000001 1.3.6.1.4.1.78.0.4 octetstringascii  
$EpochTime 1.3.6.1.4.1.78.0.4 octetstringascii 1");
```

```
# _____
```

5

```
#
```

```
# Subroutine: SA_Parameters_TestScript
```

```
# Overview: Simple test script to show variable
```

10

```
# values in an ECM environment
```

```
#
```

```
# _____
```

```
open (LOG1,">/opt/userfiles/logs/testTmp");
```

```
print LOG1 "nodeName = $nodeName\n";
```

15

```
print LOG1 "community string of the transition = $COM\n";
```

```
print LOG1 "property group of the transition's node = $NPG\n";
```

```
print LOG1 "address of the transition's node = $trapPduAgentAddress\n";
```

```
print LOG1 "NAL = $NAL\n";
```

20

```
print LOG1 "property listed in the alarm definition's property field =  
$APG\n";
```

```
print LOG1 "Alarm definition name = $ADN\n";
```

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SECRET

print LOG1 "State name where transition begins - the origination state =
\$OSN\n";

print LOG1 "NC severity of the origination state = \$OSS\n";

print LOG1 "State name where transition ends - the dest state = \$DSN\n";

5 print LOG1 "NC severity of the dest state = \$DSS\n";

print LOG1 "Platform sev name for the orig. state = \$POSS\n";

print LOG1 "Platform sev name for the dest. state = \$PDSS\n";

print LOG1 "Max NC sev of the orig. state = \$MOSS\n";

print LOG1 "Max NC sev of the dest. state = \$MDSS\n";

10 print LOG1 "Max platform sev name for the orig. state = \$MPOSS\n";

print LOG1 "Max platform sev name for the dest. state = \$MPDSS\n";

print LOG1 "Trigger name = \$TRN\n";

print LOG1 "The trigger's base obj. = \$ON\n";

print LOG1 "Instance info of the base obj = \$OI\n";

15 print LOG1 "pollkey = \$pollkey\n";

print LOG1 "Trap generic = \$TGN\n";

print LOG1 "Trap specific = \$TSN\n";

print LOG1 "Trap enterprise = \$TEN\n";

print LOG1 "Trap timestamp = \$TTN\n";

20 print LOG1 "Trap community = \$TCS\n";

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66327-772460

```
print LOG1 "VbObject = $VbObject(0)\n";  
print LOG1 "VbAttrib = $VbAttribute(0)\n";  
print LOG1 "VbValue = $VbValue(0)\n";  
close LOG1;
```

5

```
#  
# Subroutine: SA_SnmpStatus_NotifyHPOV  
# Overview: Fires alarm trap notifying the  
#           NMS that the enterprise alarm is  
#           instantiated.  
#  
# Fires 'Alarm' trap into HP OpenView for  
# processing using the PERL 'system'  
# function and the ECM 'trapgen'  
# command.
```

10

15

```
#
```

```
#
```

20

```
# Configuration Of Variables
```

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666222T-222460

```
#

# Instantiate Local Variables

# - Make the variables ("my") local to keep them out of

# the global name space. Single step alarm, so no

5 # need for global variables.

#

my $EpochTime=0;

my @DaysPerMonth = (31, 28, 31, 30, 31, 30, 31, 31, 30, 31, 30, 31);

my $year,$mon,$day,$hour,$min,$sec;

10 my $TZAdjust,$DSTAdjust;

my $ShowDebug,$NumLeapYears,$SecondsThisInterval;

my
$SnmptTrapTimestamp,$SnmptTrapNode,$SA_CorrEnt_NotifyOvTrapString
;

15 # Initialize Date/Time Variables

# - 2d line turns year into 4-digit year (i.e. 19xx)

# as localtime function returns year-1900. Timestamp

# design is standard for phase 2 development.

#

20 $year = ((localtime())[5]);

$mon = ((localtime())[4]);
```

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```
$day = ((localtime())[3]);
```

```
$hour = ((localtime())[2]);
```

```
$min = ((localtime())[1]);
```

```
$sec = ((localtime())[0]);
```

```
5      # Determine number of seconds for years
```

```
      if ($year < 200) {
```

```
          # year is in two digit form
```

```
          if ($year < 90) {
```

```
              # year is presumably in the 21st century
```

```
10         $year += 2000;
```

```
          } else {
```

```
              # year is presumably in the 20th century
```

```
              $year += 1900;
```

```
          }
```

```
15     }
```

```
      # Do not return negatives - and if 2100 or later, add to leap year calcs
```

```
      if ($year < 1970 || $year >= 2100) {
```

```
          return 0;
```

```
20     }
```

66E22T" / 4T460

```
$year -= 1970;
```

```
$SecondsThisInterval = $year * 365 * 24 * 60 * 60;
```

```
5      # leap year calculations
```

```
$NumLeapYears = int(($year+2) / 4);
```

```
if (($year+2) % 4 == 0) {
```

```
    # this is a leap year (assuming 1970 - 2099)
```

```
    # check if we have hit feb 29 yet:
```

```
10     # recall, mon is 0-based
```

```
    if ($mon <= 1) {
```

```
        # we need to subtract a year, as this is jan
```

```
        $NumLeapYears--;
```

```
    }
```

```
15 }
```

```
$SecondsThisInterval += $NumLeapYears * 24 * 60 * 60;
```

```
$EpochTime += $SecondsThisInterval;
```

5

10

15

20

THIS SHOULD USE ACTUAL TIME ZONE CODES

```
$TZAdjust = "5:00";

if ($TZAdjust =~ /^(-?)(\d):(\d*)$/ ) {

    # Time Zone adjustment needs to subtract

    if ($1) {

5        $EpochTime -= ($2 * 60 * 60) + ($3 * 60);

    } else {

        $EpochTime += ($2 * 60 * 60) + ($3 * 60);

    }

}

10 # Adjust for day light savings time

# Fire The Trap Into HP OpenView

#

# The 6th value on the trapgen string (right next to

# the generic trap number (6)) is the specific trap

15 # number. This has to be associated with some event

# configured in HP OpenView. (i.e. 6 3 105 - The '3'

# is the specific trap ID)

#

20 system("/opt/seasoft/bin/trapgen nsmmwsl6 1.3.6.1.4.1.78 $A 6 3 105
1.3.6.1.4.1.78.0.3 octetstringascii 1001014 1.3.6.1.4.1.78.0.3 octetstringascii
$EpochTime 1.3.6.1.4.1.78.0.3 octetstringascii 5");
```

091417-1239
SECRET


```
#  
  
# Subroutine: SA_SnmpStatus_RecoverHPOV  
5 # Overview: Fires recovery trap notifying the  
  
# NMS that the enterprise alarm is  
  
# recovered.  
  
#  
  
# Fires 'Alarm' trap into HP OpenView for  
10 # processing using the PERL 'system'  
  
# function and the ECM 'trapgen'  
  
# command.  
  
#  
  
# _____  
  
15 #  
  
# Configuration Of Variables  
  
#  
  
# Instantiate Local Variables  
  
# - Make the variables ("my") local to keep them out of  
20 # the global name space. Single step alarm, so no
```

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66227-22460

```
# need for global variables.

#

my $EpochTime=0;

my @DaysPerMonth = (31, 28, 31, 30, 31, 30, 31, 31, 30, 31, 30, 31);

5   my $year,$mon,$day,$hour,$min,$sec;

    my $TZAdjust,$DSTAdjust;

    my $ShowDebug,$NumLeapYears,$SecondsThisInterval;

    my
    $SnmptTrapTimestamp,$SnmptTrapNode,$SA_CorrEnt_NotifyOvTrapString
10   ;

    # Initialize Date/Time Variables

    # - 2d line turns year into 4-digit year (i.e. 19xx)

    # as localtime function returns year-1900. Timestamp

    # design is standard for phase 2 development.

15   #

    $year = ((localtime())[5]);

    $mon = ((localtime())[4]);

    $day = ((localtime())[3]);

    $hour = ((localtime())[2]);

20   $min = ((localtime())[1]);

    $sec = ((localtime())[0]);
```

09417-1239
SECRET

Determine number of seconds for years

if (\$year < 200) {

year is in two digit form

if (\$year < 90) {

5 # year is presumably in the 21st century

\$year += 2000;

} else {

year is presumably in the 20th century

\$year += 1900;

10 }

}

Do not return negatives - and if 2100 or later, add to leap year calcs

if (\$year < 1970 || \$year >= 2100) {

15 return 0;

}

\$year -= 1970;

\$SecondsThisInterval = \$year * 365 * 24 * 60 * 60;

leap year calculations

\$NumLeapYears = int((\$year+2) / 4);

if ((\$year+2) % 4 == 0) {

this is a leap year (assuming 1970 - 2099)

5 # check if we have hit feb 29 yet:

recall, mon is 0-based

if (\$mon <= 1) {

we need to subtract a year, as this is jan

\$NumLeapYears--;

10 }

}

\$SecondsThisInterval += \$NumLeapYears * 24 * 60 * 60;

\$EpochTime += \$SecondsThisInterval;

15

Determine number of seconds for months so far

while(\$mon--) {

\$EpochTime += \$DaysPerMonth[\$mon] * 24 * 60 * 60;

20 }

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66E22F" / 27.2460

Determine number of seconds for days so far

\$EpochTime += (\$day - 1) * 24 * 60 * 60;

5 # Determine number of seconds for hours so far

\$EpochTime += \$hour * 60 * 60;

Determine number of seconds for minutes so far

\$EpochTime += \$min * 60;

10

Determine number of seconds for seconds so far

\$EpochTime += \$sec;

15 # Adjust for time zone (which should be of the form -12:00 .. 12:00)

THIS SHOULD USE ACTUAL TIME ZONE CODES

\$TZAdjust = "5:00";

if (\$TZAdjust =~ /^(-?)(\d):(\d*)\$/) {

Time Zone adjustment needs to subtract

20 if (\$1) {

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```
$EpochTime -= ($2 * 60 * 60) + ($3 * 60);

} else {

    $EpochTime += ($2 * 60 * 60) + ($3 * 60);

}

5      }

    # Adjust for day light savings time

    # Fire The Trap Into HP OpenView

    #

    # The 6th value on the trapgen string (right next to

10     # the generic trap number (6)) is the specific trap

    # number. This has to be associated with some event.

    # configured in HP OpenView. (i.e. 6 3 105 - The '3'

    # is the specific trap ID)

    #

15     system("/opt/bin/trapgen nsmmws16 1.3.6.1.4.1.78 $A 6 4 105
        1.3.6.1.4.1.78.0.4 octetstringascii 1001015 1.3.6.1.4.1.78.0.4 octetstringascii
        $EpochTime 1.3.6.1.4.1.78.0.4 octetstringascii 1");
```

20 **Collector Process Control**

This section provides information regarding Collector Process Control.

Description

Collector Process Control is used to start and stop Collector components and monitor their execution. It allows for a single point of control for the components of the system. The process control system contains the following elements:

- Process Control Agents, which are programs installed on each host with the responsibility of managing processes.
- A set of command line utilities to provide an interface to process management

The process control agents cooperate automatically and have memory for their configuration.

Configuration

All configuration for Collector Process Control is done in NCO_PA.conf. This file is referenced at start-up time to establish configuration information. The file is made up of a number of records each of which contain various attributes and associated values. One should edit this file directly to add any new processes or modify configuration information. There are three sections to the NCO_PA.conf file:

Processes :

- Processes are programs that are executed by a process control agent.
- Processes can be configured to be dependent on each other.
- Process definitions take on the following format:

```
nco_process 'MasterObjectServer'
```

```
{
```

```
    Command '/opt/ECM/bin/nco_objserv -name NCOMS -pa  
    NCO_PA' run as 0
```

```
5      Host      =    'twmmdb02'
```

```
      Managed   =    True
```

```
      RestartMsg =    'Master Object Server running as ${EUID}  
      has been restored on
```

```
                ${HOST}.'
```

```
10     AlertMsg  =    'Master Object Server running as ${EUID} has  
      died on ${HOST}.'
```

```
                RetryCount = 0 ProcessType =  
                PaPA_AWARE
```

```
    }
```

```
15
```

Services:

- A service is made up of several processes, which are executed by process control agents.
- A service may be configured to start up automatically when the process control agent
20 starts or to wait until the service is started manually.
- There are two grades of service: master and non-master. These grades are used when services are automatically started.

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555227 2272460

- A master service will have its processes started before a non-master service.
- Service definitions have the following format:

```
nco_service 'Core'
```

```
{
```

```
    ServiceType  =    Master
```

```
    ServiceStart =    Auto
```

```
    process 'MasterObjectServer' NONE
```

```
}
```

Agent Hosts:

- Used to specify the available hosts to contact
- Host definitions have the following format:

```
nco_routing
```

```
{
```

```
    host 'twmmdb02' 'NCO_PA'
```

```
}
```

Commands

NCO_PAD

- 5 The NCO_PAD command is used to begin COLlector Process Control. In order to start process control the following command should be entered :

```
Nco_pad -name NCO_PA -debug 1
```

- 10 This command begins the process agent named NCO_PA with a debug option of 1. Error and debug information is listed in the nco_pa.log file located in the /opt/Collector/log directory.

15 Collector Gateway

Description of Use

The Collector Gateway is used to integrate the Collector system with databases. The gateway is made up of three items: readers, writers and routes. The readers extract alerts from the object server, while the writer is used to forward the alerts to the

- 20 database. Routes create the link between the readers and writers.

Configuration

The configuration information for the Collector Gateway is stored in the COLLECTOR_GATE.conf file in the /opt/Collector/etc directory. In this file, mappings are created. The mappings tell the gateway which fields from the Object Server table should be placed in the database and with what name. It is important to make sure that the number of items detailed in the mapping configuration equals the number and name of fields setup in the database. This file also contains configuration information for the readers, writers and routes. Below is an example Gateway configuration file:

10

```
CREATE MAPPING COLLECTOR_MAP
(
    'ELEMNT_KEY_CD' = '@DatabaseElementKey',
    'EVENT_KEY_CD' = '@EventCode',
    'PERF_TIME_KEY_CD' = '@LastOccurrence',
    'EVENT_DURATION_VALUE' = '@Duration',
    'EVENT_STRING_TXT' = '@Summary',
    'EVENT_SVRTY_CD' = '@OriginalSeverity'
```

15

20

```
);
```

```
# Start up the reader - connect to the Object Server NCOMS
```

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662227-227460

CREATE FILTER LOG AS 'LoggedToDatabase = 0';

START READER COLLECTOR_READ CONNECT TO NCOMS USING
FILTER LOG

5 ORDER BY 'Serial asc' AFTER IDUC DO 'update alerts.status set
LoggedToDatabase=1';

Start up the writer

#

10 START WRITER COLLECTOR_WRITE

(

TYPE = COLLECTOR,

REVISION = 1,

MAP = COLLECTOR_MAP,

15 USERNAME = ' Collector ',

PASSWORD = ' Collector ',

STATUS_TABLE = 'EVENTS_FACT_TB',

FORWARD_INSERTS = TRUE,

FORWARD_UPDATES = TRUE,

20 FORWARD_DELETES = TRUE

);

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66E227-2272460

Add a route from the reader to the writer so the info gets passed

ADD ROUTE FROM COLLECTOR_READ TO COLLECTOR_WRITE;

5 Commands

NCO_GATE

The NCO_GATE command is used to startup the Collector gateway. The syntax of the command is as follows:

```
opt/Collector/bin/Nco_gate -name COLLECTOR_GATE -debug 1;
```

- 10 The debug option sends debug and error information to the file opt/ECM/log/ COLLECTOR_GATE.log.

NCO_XIGEN

- 15 The NCO_XIGEN command is used to access the Servers Editor window to edit port and name configuration of the Collector Gateway. The command syntax is as follows:

```
opt/Collector/bin/nco_xigen
```

- 20 You will need to add the Collector Gateway to the Servers Editor window with its own port number in order for the gateway to communicate with the Object Server as well as the Databases.

Environment Variables

In order for the gateway to open and communicate with databases, environment variables must be set before starting the gateway. In order to do this, a wrapper script was created around the nco_gate command in order to set the environment variables as well as start the gateway. The wrapper script is located in the directory /opt/Collector/bin. When run from the command line the script sets the needed variables and starts the Collector gateway. The environment variables set within the script are as follows:

```
10      COLLECTOR_SID=IPSA01

      COLLECTOR_HOME=/files0/ipsa/vendor/Collector/product/7.3.4

      COLLECTOR_BASE=/files0/ipsa/vendor/Collector

      LD_LIBRARY_PATH=/files0/ipsa/vendor/Collector/product/7.3.4/lib

15      TNS_ADMIN=/files0/ipsa/vendor/Collector/product/7.3.4/network/admin
```

Collector Internet Service Monitoring (ISM)

This section will discuss the Service Assurance test environment specific details of the Collector Internet Service Monitors (ISM's) and requirements for a remote installation.

Internet Service Monitors Remote Installation

Location of the Collector ISM's

The remote installation of the ISM's is on nsmmws09. The ISM's are installed in
5 the /opt/Collector directory.

Prerequisites for a remote installation of the ISM's

In order to create all of the required directories and shared libraries for the ISM's an
installation of Collector must proceed the ISM's installation on the same remote
10 host.

Probes and Process Control should be the only two components selected for the
Collector installation on the remote host.

Even if the use of Probes is not required on the remote host, selecting Probes will
create the directories required by components that allow the ISM's to run properly
15 (Collector/etc and Collector/var directories and required API libraries).

The Collector/etc directory is where the interfaces file (discussed below) will be
placed. The Collector/var directory is where all event data is kept while any
Monitors are in Store and Forward mode (when the ObjectServer is off-line).

Process Control will insure that the Monitors are restarted in the event they
20 unexpectedly go down.

Remote Communication Between the ISM's and Collector (Objectserver)

The Collector installation comes with a file (/opt/Collector/etc) that generates interfaces.*platform* files, where *platform* is the OS of the machine remote Collector components (software) will be installed on. The interfaces.*platform* files contain information on where to look for the license server as well as how and were to
5 communicate with the Collector ObjectServer.

The interfaces.*platform* file should be placed in the ECM/etc directory of the remote installation for use by remote components.

Internet Service Monitoring Configuration

10 Extended Use of the On-Line Configuration Tool

The on-line configuration tool, if run from a web server, requires the start_java.sh script (/opt/Collector/monitors/config) to be running. This script has a timeout line, which by default is set to ten minutes (in seconds). If the on-line configuration tool is idle for more than ten minutes, a server error will be generated the next time the
15 tool is requested. Running the script from the command line is required before using the tool again. For a work around, the timeout value has been set to 3600 seconds (one hour) to increase the time the configuration tool can be accessed.

Store and Forward Function

20 Store and forward is a function that allows monitors and probes to record all event messages to an *ObjectServer.store* file while the Objectserver is down. This file will be located in the Collector/var directory.

The store and forward function is set to 0 (off) by default with the ISM's. The store and forward function has been turned on for the ISM's installed on nsmmws09 by
25 using VI to set store and forward to 1 (on). This is done in the *monitor.props* files (/opt/Collector/monitors/solaris2).

HTTP Service

The Web Servers on twmmnt02 (Microsoft IIS) and nsmmws09 (Netscape Fast-Track Server) are being monitored. The polling interval is set at fifteen minutes.

- 5 A method of sending intentional "Server down" events to Collector is to stop the Fast-Track Server on nsmmws09. This can be done by running the start-admin script in the /opt/netscape/suitespot directory. Then open a Netscape browser and enter `http://nsmmws09:26339` (username: webadmin password: same as noc) which opens the on-line, Fast-Track Server administration page. This page contains
- 10 an on/off switch for the server.

FTP Service (file transfer protocol)

- FTP service will be tested on nsmmws16. The service is downloading a 3mb file from nsmmws16 (/sa/dev/dat/tmp/ism_test_data) to nsmmws09
- 15 (/opt/Collector/ftp_test_file)

NNTP Service (network news transport protocol)

Comp.protocols.snmp has been used as the news group to test. The polling interval is set at fifteen minutes.

20

SMTP Service (simple mail transport protocol)

The polling interval is set at fifteen minutes.

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POP-3 Service (post office protocol)

The polling interval is set at fifteen minutes.

5 Collector Configuration

Object Server Customizations

The customizations to the object server include alterations to the alerts.status tables, custom triggers, and custom automations.

10 **Table Customizations: alerts.status table**

The structure of all the object server tables is defined in the file *NCOMS.sql*. The following table lists all the additional fields added to the object server table alerts.status:

Table 25

Field Name	Data Type	Description
EventCode	int	Unique event code
Duration	int	
CheckedNotify	int	
SustainedAlert	int	0 = No regeneration needed 1 = This event has been regenerated 2 = This event needs to be regenerated

RegenMidnightTime	Int	
RegenIdentifier	char(255)	
TempInt	Int	
TempChar	char(64)	
DebugFieldInt	Int	
DebugFieldChar	char(64)	

Custom Triggers and Automations

Event Expiration

Trigger: DeleteClears

5 delete from alerts.status where Severity = 0 and StateChange < (getdate - 129600);

Action: None

Match alert stops

Trigger: FindAlertStops

10 select * from alerts.status where AlertType = 2;

Action: RemoveAlertStops

update alerts.status set Severity = 0, AlertStopTime = @FirstOccurrence,
DebugFieldChar = '\RemoveAlertStops ran' where Severity > 0 and AlertType = 1
and Node = '@Node' and EventCode = @EventCode - 1 and LastOccurrence <=

15 @LastOccurrence; delete from alerts.status where Serial = @Serial;

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Duration Calculation

Trigger: CalculateDuration

```
select * from alerts.status where AlertType = 1 and Severity = 0 and Duration = -1;
```

5 Action: SetDuration

```
update alerts.status set LoggedToDatabase = 0,DebugFieldChar = \'SetDuration just
ran\',Identifier = \'@Node.@EventCode.@FirstOccurrence\',Duration =
(@AlertStopTime - @FirstOccurrence) whereSerial = @Serial;
```

10 Regenerate Events

Trigger1: FindEventsToRegen1

```
select * from alerts.status where AlertType = 1 and Duration = 0 and FirstOccurrence
> getdate - (hourofday*60*60) - (minuteofhour*60) - 86400 - 60
and FirstOccurrence < getdate - (hourofday*60*60) - (minuteofhour*60) - 60;
```

```
15      Action1: ModifyAlertToRegen
```

```
update alerts.statussetDuration = 86400 - (@FirstOccurrence - @MidnightTime),
AlertStopTime = @MidnightTime + 86400, RegenIdentifier = '\@Node
@EventCode @MidnightTime\' , RegenMidnightTime = @MidnightTime + 86400,
SustainedAlert = 2,,' DebugFieldChar = \'ModifyAlertToRegen\' whereSerial =
@Serial;
```

Trigger2: FindEventsToRegen2

```
select * from alerts.status where SustainedAlert = 2;
```

Action2: InsertRegenAlert

update alerts.statussetSustainedAlert = 1, Severity = 0whereSerial = @Serial;insert
into alerts.status values(\ '@RegenIdentifier'\ ,0 ,\ '@Node'\ ,\ '@NodeAlias'\
,\ '@Manager'\ ,\ '@Agent'\ ,\ '@AlertGroup'\ ,'\ '@AlertKey'\ ,@Severity
,\ '@Summary'\ ,0 ,@RegenMidnightTime ,@RegenMidnightTime ,0 ,@Poll
5 ,@Type ,0 ,@Class ,@Grade ,\ '@Location'\ ,@OwnerUID ,@OwnerGID
,@Acknowledged ,@Flash ,\ '@S','erverName'\ ,@ServerSerial ,@EventCode ,0
,@CheckedNotify ,1 , \ '@NotifyAction'\ ,@NotifyPending ,0
,@DatabaseElementKey ,0 ,@OpenTicket ,\ '@TicketNumber'\ ,@OriginalSeverity
,@AlertType ,0 ,'\ '@OriginalOccurrence ,@TimeKeyLogged
10 ,@RegenMidnightTime ,0 ,\ '\ ,0 ,\ '\ ,0 ,\ 'InsertRegenAlert'\);

Logging Time Key

Trigger: TimeKeyLogged

select * from alerts.status where TimeKeyLogged=0;

15 Action: GenerateTimeKey

update alerts.status setTimeKeyLogged=1 whereSerial=@Serial;

Notifications

Trigger: AgetEventsToNotify

20 select * from alerts.status where NotifyPending = 1;

Action: TakeNotifyAction

update alerts.statussetNotifyPending = 0whereSerial = @Serial;

AND

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Database logging

5 Trigger: SelectLoggedToDbse

```
select * from alerts.status where LoggedToDatabase=0andDatabaseElementKey<>0;
```

Action: ChangeLoggedToDbse

```
update alerts.status set LoggedToDatabase=1 where Serial=@Serial;
```

10 **NCOMS.sql**

```
-- Collector definition file
```

--

15

```
create database master;
```

```
use database master;
```

create table names

20 (

```

        Name          char(64),

        UID           int,

        GID           int,

        Passwd        char(64),

5      Type           int,

        unique ( Name ),

        permanent

    );

```

```
10 create table profiles
```

```

(
    UID          int,
    HasRestrictionint,
    Restrict1    char(255),
15  Restrict2    char(255),
    Restrict3    char(255),
    Restrict4    char(255),
    AllowISQL    int,
    unique ( UID ),
20  permanent

```


);

create table groups

(

5 Name char(64),

 GID int,

 unique (Name),

 permanent

);

10

create table members

(

 KeyField char(64),

 UID int,

15 GID int,

 unique(KeyField),

 permanent

);

20 --

09477-129-22222222

```
-- The following table controls the statistics option

-- Remove the comments to enable statistics gathering. Currently, this data
-- is gathered but not used.

--

5  -- You can comment out individual fields to stop that statistic being recorded
-- but the StatTime must be present for the statistics gather to work

--

-- create table stats

-- (

10      -- StatTime      time,

      -- NumClients      int,

      -- NumRealtime      int,

      -- NumProbes        int,

      -- NumGateways      int,

15      -- NumMonitors     int,

      -- NumProxys         int,

      -- EventCount        int,

      -- JournalCount       int,

      -- DetailCount        int,

20      -- unique( StatTime ),
```

-- permanent

--);

create database auto;

5 use database auto;

create table triggers

(

Name char(64),

10 Cond1 char(255),

Cond2 char(255),

Cond3 char(255),

Cond4 char(255),

AutoExec char(64),

15 DecExec char(64),

OwnerUID int,

Active int,

Level int,

EvalPeriod int,

20 Threshold int,

66E32F" 222T 2160

```
Hits          int,

ProcessAllHits    int,

Comment1    char(255),

Comment2    char(255),

5    Comment3    char(255),

    Comment4    char(255),

    unique ( Name ),

    permanent

);

10

create table actions

(

    Name          char(64),

    HasData        int,

15    Data1        char(255),

    Data2        char(255),

    Data3        char(255),

    Data4        char(255),

    HasExec        int,

20    ExecPath    char(255),
```

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566227-227460

ExecArgs char(255),
ExecHost char(64),
EUID int,
HasPhone int,
5 PhoneArgs1 char(255),
PhoneArgs2 char(255),
PhoneArgs3 char(255),
PhoneArgs4 char(255),
Comment1 char(255),
10 Comment2 char(255),
Comment3 char(255),
Comment4 char(255),
unique (Name),
permanent
15);

create database alerts;

use database alerts;

create table status

(

Identifier char(255),

Serial incr,

5 Node char(64),

NodeAlias char(64),

Manager char(64),

Agent char(64),

AlertGroup char(64),

10 AlertKey char(64),

Severity int,

Summary char(255),

StateChange optime,

FirstOccurrence time,

15 LastOccurrence ltime,

InternalLast timestamp,

Poll int,

Type int,

Tally opcount,

20 Class int,

0047177-12299
66E22T-222T2460

Grade int,

Location char(64),

OwnerUID int,

OwnerGID int, -- Groups Option

5 Acknowledged int,

Flash int, -- Flash Option

ServerName char(64),

ServerSerial int,

EventCode int,

10 Duration int,

CheckedNotify int,

SustainedAlert int,

NotifyAction char(255),

NotifyPending int,

15 LoggedToDatabase int,

DatabaseElementKey int,

DatabaseTimeKey int,

OpenTicket int,

TicketNumber char(64),

20 OriginalSeverity int,

004717-12299
SECRET

```
AlertType    int,

AlertStopTimeint,

OriginalOccurrence int,

TimeKeyLogged    int,

5    MidnightTime int,

RegenMidnightTime int,

RegenIdentifier char(255),

TempInt        int,

TempChar    char(64),

10    DebugFieldInt int,

DebugFieldChar    char(64),

unique ( Identifier ),

notify on ( change ),

iduc on ( Serial ),

15    permanent

);

create table objclass

(

20    Tag    int,
```

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658227-2272460


```
Name char(64),  
Icon char(255),  
Menu char(64),  
unique ( Tag ),  
5 permanent  
);
```

```
create table objmenus  
(  
10 Menu char(64),  
Columns int,  
unique ( Menu ),  
permanent  
);
```

15

```
create table objmenuitems  
(  
KeyField char(255),  
Menu char(64),  
20 Sequence int,
```

66E22T" 22T2460

```
Title      char(64),

Command1    char(255),

Command2    char(255),

Command3    char(255),

5  Command4    char(255),

RedirectStdin int,

RedirectStdout int,

RedirectStderr int,

unique ( KeyField ),

10 permanent

);

create table resolutions

(

15  KeyField    char(255),

    Tag        int,

    Sequence    int,

    Title      char(64),

    Resolution1 char(255),

20  Resolution2 char(255),
```

65E22F" 22F2450

Resolution3 char(255),

Resolution4 char(255),

unique (KeyField),

permanent

5);

create table journal

(

KeyField char(255),

10 Serial int,

UID int,

Chrono time,

Text1 char(255),

Text2 char(255),

15 Text3 char(255),

Text4 char(255),

Text5 char(255),

Text6 char(255),

Text7 char(255),

20 Text8 char(255),

094727-12299
66E22F"ZZZ460

```

        Text9          char(255),

        Text10         char(255),

        Text11         char(255),

        Text12         char(255),

5       Text13         char(255),

        Text14         char(255),

        Text15         char(255),

        Text16         char(255),

        unique ( KeyField ),

10      permanent

    );

create table conversions

(

15      KeyField      char(255),

        Colname       char(255),

        Value         int,

        Conversion    char(255),

        unique ( KeyField ),

20      permanent

```

[illegible]

);

create table col_visuals

(

5 Colname char(255),

 Title char(255),

 DefWidth int,

 MaxWidth int,

 TitleJustify int,

10 DataJustify int,

 unique (Colname),

 permanent

);

15

create table details

(

 KeyField char(255),

 Identifier char(255),

20 AttrVal int,

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6062221-227460

```
Sequence int,  
Name char(255),  
Detail char(255),  
unique ( KeyField ),  
5 permanent  
);
```

-- This table is required for the NT desktop

create table colors

```
10 (  
Severity int,  
AckedRed int,  
AckedGreen int,  
AckedBlue int,  
15 UnackedRed int,  
UnackedGreen int,  
UnackedBlue int,  
unique( Severity ),  
permanent  
20 );
```

09471777-122999
6652221-2272460

-- The following database and table is required for the additional features
-- to support the Internet Service Monitors

5 create database service;

use database service;

create table status

(

10 Name char(255), -- Service Name
 CurrentState int, -- Good, Marginal, Bad, Unknown
 StateChange time, -- Time of last service state change
 LastGoodAt time, -- Time service was last good
 LastBadAt time, -- Time service was last bad
15 LastMarginalAt time, -- Time service was last marginal
 LastReportAt time, -- Time of last service status report
 unique (Name),
 permanent

);

-- This database and table support the Java Event List and the Java JELD.

-- It is maintained via the JACE configuration tool.

create database jel;

use database jel;

5

create table jel_props

(

KeyField incr,

ConfigName char(127),

10 PropSet char(127),

PropName char(127),

PropVal char(255),

unique (KeyField),

permanent

15);

-- This database and tables are required for the NT desktop

20 create database tools;

0947177 12229
66222F 2272460

use database tools;

create table actions

(

5 ActionID int,

 Name char(64),

 Owner int,

 Enabled int,

 Description1 char(255),
10 Description2 char(255),

 Description3 char(255),

 Description4 char(255),

 HasInternal int,

 InternalComp1 char(255),
15 InternalComp2 char(255),

 InternalComp3 char(255),

 InternalComp4 char(255),

 HasExternal int,

 ExternalComp1 char(255),
20 ExternalComp2 char(255),

0947177-12299

ExternalComp3 char(255),

ExternalComp4 char(255),

ForEachSelected int,

HasRemote int,

5 RemoteComp1 char(255),

RemoteComp2 char(255),

RemoteComp3 char(255),

RemoteComp4 char(255),

HasURL int,

10 URLComp1 char(255),

URLComp2 char(255),

URLComp3 char(255),

URLComp4 char(255),

Platform int,

15 JournalText1 char(255),

JournalText2 char(255),

JournalText3 char(255),

JournalText4 char(255),

HasForcedJournal int,

20 unique (ActionID),

094777 122595
566227 2227460

permanent

);

create table action_access

5 (

ActionID int,

GID int,

ClassID int,

ActionAccessID int,

10 unique (ActionAccessID),

permanent

);

create table menus

15 (

MenuID int,

Name char(64),

Owner int,

Enabled int,

20 unique (MenuID),

091717 2227460

permanent

);

5 create table menu_items

(

KeyField char(32), -- menu_id:menu_item_id

MenuID int,

MenuItemID int,

10 Title char(64),

Description char(255),

Enabled int,

InvokeType int, -- What type of invocation: Action/Submenu

InvokeID int,

15 Position int,

Accelerator int,

unique (KeyField),

permanent

);

NCOMS.auto.triggers.dat

(Blank lines added to enhance readability.)

5

use database auto;

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094777 12399
insert into triggers values ('FindSpecifcSerial','select * from alerts.status
whereSerial >= 4691 andSerial <=
10 4693;',' ','DebugChangeField',' ',10431,0,1,60,0,0,1,' ',' ');

insert into triggers values ('DetectUnknownServices','select * from service.status
where LastReportAt < getdate -
3600;',' ','SetServiceUnknown',' ',0,0,0,600,0,0,1,'This trigger detects services
15 which have not been reported forthe given period. The associated action sets these
services intothe unknown state.It is only needed with the Internet Service
Monitors.',' ',' ');

insert into triggers values ('CleanDetailsTable','delete from alerts.details where
20 Identifier not in ((select Identifier from alerts.status));',' ',' ',' ',0,1,1,601,0,0,0,'This
is a standard automation for clearing old entries from the details table.',' ',' ');

insert into triggers values ('DeleteAllEvents','delete from alerts.status where Serial <
4700;',' ',' ',' ',10431,0,1,60,0,0,1,' ',' ');

insert into triggers values ('AGetEventsToNotify','select * from alerts.status where NotifyPending = 1;','','','TakeNotifyAction','10431,1,1,1,0,0,1','','','');

- 5 insert into triggers values ('SelectLoggedToDbse','select * from alerts.status where LoggedToDatabase=0andDatabaseElementKey<0;','','','ChangeLoggedToDbse','0,0,1,5,0,0,1','','','');

- 10 insert into triggers values ('EscalateOff','update alerts.status set Flash = 0, Grade = 0 where ((Flash = 1 or Grade > 0) and Acknowledged = 1) or (Severity = 0);','','','0,0,1,6,0,0,0','Will set Flash field to 0 (not flashing) and Grade to 0 (not escalated in this example) when an event that has previously had the Flash field set to 1 or greater is either Acknowledged or Cleared (Severity = 0). ','','','');

- 15 insert into triggers values ('TimeKeyLogged','select * from alerts.status where TimeKeyLogged=0;','','','GenerateTimeKey','0,1,1,5,0,0,1','99Overview: This trigger selects all records from the alert_status table who have a TimeKeyLogged value equal to zero. It then takes these records and runs the script TimeKeyGen','erator for each row.','','');

20

insert into triggers values ('FindAlertStops','select * from alerts.status where AlertType = 2;','','','RemoveAlertStops','0,1,1,1,0,0,1','Overview: ','','','');

- 25 insert into triggers values ('FlashNotAck','update alerts.status set Flash = 1, Grade = 1 where Flash = 0 and Acknowledged = 0 and Severity = 5 and FirstOccurrence <=

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(getdate - 600);','','','',0,0,1,31,0,0,0,'Will set Flashing on (Flash=1) for events that are Critical (Severity=5)and are 10 minutes old but haven't been acknowledged by a user yet(Acknowledge = 0). It sets Grade to 1 as a further indication of the events escalation status.','','');

5

insert into triggers values ('GenericClear','select * from alerts.status where Type = 2 and Severity > 0;','','','', 'GenericClear','10431,0,1,5,0,0,1,'This is a standard Automation for correlating two problem/resolution events, ie correlating a Device Up event with a Device Down event.This is done by checking the contents of the following fields;Type 1=Problem event, 2=Resolution eventLastO', 'ccurrence ensure resolution is more recent then problemAlertGroup same Type of event, ie Device Up/DownManager same source, same Probe reported both eventsNode same device reported both eventsAlertKey same sub-device (link, disk pa', 'rtition etc) reported both events','');

15

insert into triggers values ('MailOnCritical','select * from alerts.status where Severity = 5 and Grade < 2 and Acknowledged = 0 and LastOccurrence <= (getdate - (60 * 30));','','','', 'MailOnCritical','0,0,1,33,0,0,1,'Finds all events which are Critical (Severity=5) that are 30 minutes oldbut still haven't been Acknowledged (or escalated to level 2, Grade=2).The \via \@Identifier\' command is used The Action sets Grade = 2 to show the new escalation status','. The \via \@Identifier\' command is used for improved lookup performance.The Action then activates the external script \$OMNIHOME/utills/nco_mail and passes data from the event to that script. The script is a simple script which will insert t', 'he events data into a mail message and mail to a user (in this example \'root\' user on the local machine). NOTE: This tool is UNIX specific unless an equivalent NT mailer is available.','');

25

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insert into triggers values ('FindEventsToRegen1','select * from alerts.status
whereAlertType = 1 andDuration = 0 andFirstOccurrence > getdate -
(hourofday*60*60) - (minuteofhour*60) - 86400 - 60 andFirstOccurrence < getdate
- (hourofday*60*60) - (minuteofhour*60) -

5 60;',' ',' ','ModifyAlertToRegen',' ',10431,1,1,1,0,0,1,' ',' ');

insert into triggers values ('FindEventsToRegen2','select * from alerts.status
whereSustainedAlert = 2;',' ',' ','InsertRegenAlert',' ',0,1,1,1,0,0,1,' ',' ');

10 insert into triggers values ('CalculateDuration','select * from alerts.status where
AlertType = 1 andSeverity = 0 andDuration = -
1;',' ',' ','SetDuration',' ',0,1,1,1,0,0,1,'Overview:',' ',' ');

15 insert into triggers values ('Expire','select * from alerts.status where Type > 10 and
Severity > 0;',' ',' ','Expire',' ',10431,0,1,65,0,0,1,'This is a standard Automation for
finding events that have passed their\Expire\ time (stored in the Type field). The
Action sets the events toClear (Severity 0).',' ',' ');

20 insert into triggers values ('CleanJournalTable','delete from alerts.journal where
Serial not in ((select Serial from alerts.status));',' ',' ',' ','0,1,1,602,0,0,0,'This is a
standard automation for clearing old entries from the journal table.',' ',' ');

25 insert into triggers values ('DeleteClears','delete from alerts.status where Severity =
0 and StateChange < (getdate - 129600);',' ',' ',' ','10431,1,1,67,0,0,0,'This is a
standard Automation for deleting Cleared events from the ObjectServer. When using
in conjunction with Internet ServiceMonitors (ISMs) amend the where statement as
follows;...where Severity = 0 and StateChange < (getdate - 120) and Manage','rnot

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```

set recovery_sequence for triggers to 0; -- DO NOT CHANGE OR REMOVE THIS
5  LINE !

```

(Blank lines added to enhance readability.)

```

insert into actions values ( 'InsertRegenAlert',1,'update alerts.status: SustainedAlert
= 1, Severity = 0 where Serial = @Serial; insert into alerts.status
15 values(\ '@RegenIdentifier'\ ,0 ,'\ '@Node'\ ,'\ '@NodeAlias'\ ,'\ '@Manager'\ ,'\ '@Agent'\
, '\ '@AlertGroup'\ ,'\ '@AlertKey'\ ,@Severity ,'\ '@Summary'\ ,0
, @RegenMidnightTime ,@RegenMidnightTime ,0 ,@Poll ,@Type ,0 ,@Class
, @Grade ,'\ '@Location'\ ,@OwnerUID ,@OwnerGID ,@Acknowledged ,@Flash
, '\ '@S'\ , 'erverName'\ ,@ServerSerial ,@EventCode ,0 ,@CheckedNotify ,1 ,
20 '\ '@NotifyAction'\ ,@NotifyPending ,0 ,@DatabaseElementKey ,0 ,@OpenTicket
, '\ '@TicketNumber'\ ,@OriginalSeverity ,@AlertType ,0 ,'\ '@OriginalOccurrence
, @TimeKeyLogged ,@RegenMidnightTime ,0 ,'\ ' ,0 ,'\ ' ,0 ,'\ 'InsertRegenAlert'
);',0,"",0,0,"","","","");

```

```
insert into actions values ( 'GenerateTimeKey',1,'update alerts.status
setTimeKeyLogged=1whereSerial=@Serial;
','','',1,'/opt/ECM/bin/bloomje/GenerateTimeKey.pl','@LastOccurrence','twmmdb02'
,0,0,"","","",
```

- 5 This script takes the field LastOccurrence and translates it into day, month, year, hour, min, seconds.','","));

```
insert into actions values ( 'DebugChangeField',0,'update alerts.statussetSummary =
\Blooms @Summary\whereSerial = @Serial;','',0,"","",0,0,"","","","");
```

10

```
insert into actions values ( 'ModifyAlertToRegen',1,'update alerts.statussetDuration
= 86400 - (@FirstOccurrence - @MidnightTime), AlertStopTime =
@MidnightTime + 86400, RegenIdentifier = \@Node @EventCode
@MidnightTime\, RegenMidnightTime = @MidnightTime + 86400, SustainedAlert
15 = 2,' DebugFieldChar = \ModifyAlertToRegen\ whereSerial =
@Serial;','',0,"","",0,0,"","","","");
```

```
insert into actions values ( 'SetDuration',1,'update alerts.status set
LoggedToDatabase = 0,DebugFieldChar = \SetDuration just ran\,Identifier =
20 \@Node.@EventCode.@FirstOccurrence\,Duration = (@AlertStopTime -
@FirstOccurrence) whereSerial = @Serial;','',0,"","",0,0,"","","","");
```

```
insert into actions values ( 'GenericClear',0,'update alerts.status set Severity = 0
where Severity > 0 and Type = 1 and LastOccurrence < @LastOccurrence and
25 AlertGroup = \@AlertGroup\ and Manager = \@Manager\ and Node = \@Node\
and AlertKey = \@AlertKey\;update alerts.status set Severity = ',0 where Serial =
```

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5

10

15

20

25

insert into actions values ('ChangeLoggedToDbse',0,'update alerts.status set
LoggedToDatabase=1 where Serial=@Serial;',' ',' ','0',' ',' ','0,0',' ',' ',' ',' ',' ');

- 5 insert into actions values ('Expire',1,'update alerts.status set Severity = 0 where
Serial = @Serial and LastOccurrence < (getdate -
@Type);',' ',' ','0',' ',' ','0,0',' ',' ',' ',' ',' ','This is a standard Automation for finding events that
have passed their\Expire\' time (stored in the Type field). The Action sets the events
toClear (Severity 0).',' ',' ');

10

insert into actions values ('RemoveAlertStops',1,'update alerts.status set Severity =
0; AlertStopTime = @FirstOccurrence, DebugFieldChar = \'RemoveAlertStops ran\'
where Severity <> 0 and AlertType = 1 and Node = \'@Node\' and EventCode =
@EventCode - 1 ','and LastOccurrence <= @LastOccurrence; delete from
15 alerts.status where Serial = @Serial;',' ',' ','0',' ',' ','0,0',' ',' ',' ',' ',' ');

set recovery_sequence for actions to 0; -- DO NOT CHANGE OR REMOVE THIS
LINE !

20

Sample Event Codes

General Information

This section is defined to allow for a single point of definition for event codes within
the Service Assurance project.

These codes are defined for event handling through the Collector network management portion of the toolset.

Table 26 - Table of Events

	AlertPairs	Asynch
Uncorr	1,000,000	2,000,000
	1,999,999	2,999,999
Node-Corr	3,000,000	4,000,000
	3,999,999	4,999,999
Enter-Corr	5,000,000	6,000,000
	5,999,999	6,999,999

5

Uncorrelated Paired Events

These consist of < *Event Code* >|< *Event* >|< *Severity* >.

Table 27

Network

Availability

Events

1000000	Test Alert Start	1
1000001	Test Alert Stop	5
1000002	Node Down	5

1000003	Node Up	1
1000004	Interface Down	5
1000005	Interface Up	1

Service

Availability

Events

1001000	DNS Service Failed	5
1001001	DNS Service Succeeded	1
1001002	POP3 Service Failed	5
1001003	POP3 Service Succeeded	1
1001004	FTP Service Failed	5
1001005	FTP Service Succeeded	1
1001006	SMTP Service Failed	5
1001007	SMTP Service Succeeded	1
1001008	NNTP Service Failed	5
1001009	NNTP Service Succeeded	1
1001010	HTTP Service Failed	5
1001011	HTTP Service Succeeded	1
1001012	RADIUS Service Failed	5
1001013	RADIUS Service Succeeded	1

652227 22272150

1001014	SNMP Service Failed	5
1001015	SNMP Service Succeeded	1

Process

Availability

Events

1002000	DNS Process Failed	5
1002001	DNS Process Succeeded	1
1002002	POP3 Process Failed	5
1002003	POP3 Process Succeeded	1
1002004	FTP Process Failed	5
1002005	FTP Process Succeeded	1
1002006	SMTP Process Failed	5
1002007	SMTP Process Succeeded	1
1002008	NNTP Process Failed	5
1002009	NNTP Process Succeeded	1
1002010	HTTP Process Failed	5
1002011	HTTP Process Succeeded	1
1002012	RADIUS Process Failed	5
1002013	RADIUS Process Succeeded	1

Threshold

666222 2222450

1003000	CPU Util Threshold Warn	5
1003001	CPU Util Threshold Normal	1
1003002	Mem Util Threshold Warn	3
1003003	Mem Util Threshold Normal	1
1003004	Interface Util Threshold Warn	3
1003005	Interface Util Threshold Normal	1

30000000	SA_NodeMonitor (Mem/Cpu-State Failure)	5
30000001	SA_NodeMonitor (Mem/Cpu-State Recovery)	1

50000000	SA_CorrEnt (PATROLLER/busyPer-State Failure)	5
50000001	SA_CorrEnt (PATROLLER/busyPer-State Recovery)	1

Table 30 - Uncorrelated Asynchronous Events

2000000	ColdStart	3
2000001	WarmStart	3
2000002	LinkDown	5

2000003	LinkUp	1
2000004	AuthFail	5
2000005	EgpNeighLoss	5

Table 31 - Correlated Asynchronous Events

4000000	Test Alert Start	5
4000001	Test Alert Stop	1

Table 32 - Enterprise Asynchronous Events

6000000	Test Alert Start	5
6000001	Test Alert Stop	1

5

exportAlarmMap contains 10 items:

alarm SA_ifEntry_Logger is Off

alarm SA_allTraps_Logger is On

alarm SnmpStatus is On

alarm IcmpStatus is On

alarm SA_NodeMonitor is On

10

alarm SA_lsystem_Logger is On

alarm SA_ifEntry_C2Rate_Util is On

alarm SA_CorrEnt_Final is On

alarm SA_CorrEnt_BusyPerMon is On

5

alarm Test is Off

exportPropertyMap contains 23 items:

property udpEntry

property udp

property tcpConnEntry

10

property tcp

property snmp

property ipRouteEntry

property ipNetToMediaEntry

property ipAddrEntry

15

property egp

property atEntry

property nl-ping

property system

property NO_PROP

20

property ip

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property interfaces

property icmp

property egpNeighEntry

property ifEntry

5 property SA_Router_Collection_Property

property lsystem

property SA_Normal_Snmp

property SA_CheckLater

property icmpStatus

10 exportPropGroupMap contains 35 items:

group Icmp

group CHIPCOM-MIB

group SYNOPTICS-ATM-ETHERCELL-MIB

group UNGERMAN-BASS-MIB

15 group SYNOPTICS-LINKSWITCH-MIB

group SYNOPTICS-5000-MIB

group SYNOPTICS-3000-MIB

group RETIX-MIB

group ODS-MIB

20 group FIBERMUX-MIB

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group COMPAQ-MIB

group ATT-SMARTHUB-MIB

group Mib-II-router-sub1

group Mib-II-sub1

5

group Mib-II

group HP-UNIX-MIB

group CISCO-ROUTER-11.x-MIB

group CISCO-ROUTER-10.x-MIB

group RFC1289-phivMIB

10

group Router

group CISCO-ROUTER-9.x-MIB

group XYPLEX-MIB

group WELLFLEET-SERIES7-MIB

group WELLFLEET-MIB

15

group SUN-MIB

group NAT-MIB

group EPIX-MIB

group DEC-ELAN-MIB

group 3COM-ECSV2-MIB

20

group NETLABS-PING-MIB

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group RFC1213-MIB2-MIB

group SA_Router_Collection_Group

group lesgroup

group SA_Normal_Snmp

5

group SA_CheckLater

exportOIDToGroupMap contains 0 items:

exportPollMap contains 6 items:

poll SA_ifEntry is On

poll SA_NodeMonitor_freeMem is On

10

poll SA_NodeMonitor_busyPer is On

poll SA_lsystem is On

poll SA_CorrEnt_busyPer is On

poll Test is Off

exportMaskMap contains 2 items:

15

mask PatrolTrapsClear is On

mask PatrolTrapsAlarm is Off

exportTriggerMap contains 34 items:

trigger NO_TRIGGER

trigger coldStart

20

trigger warmStart

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trigger allTraps

trigger PatrolNamedFailure

trigger PatrolNamedRecovery

trigger SA_CorrEnt_Recover

5 trigger SA_CorrEnt_PATROLLERRecover

trigger nodeUp

trigger nodeUpFast

trigger agentUpFast

trigger agentUp

10 trigger SA_ifEntry

trigger SS_ICMP_Failed

trigger SA_CorrEnt_busyPer_Alarm

trigger SA_CorrEnt_busyPer_Recovery

trigger SA_lsystem

15 trigger SA_NodeMonitor_busyPer_Recovery

trigger SA_NodeMonitor_freeMem_Recovery

trigger SA_NodeMonitor_busyPer_Alarm

trigger SA_NodeMonitor_freeMem_Alarm

trigger Test

20 trigger IS_ICMPFailed

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trigger TestA

trigger SA_CorrEnt_XOver

trigger SA_CorrEnt_AlarmFail

trigger SA_NodeMonitor_NotifyHPOV

5 trigger SA_NodeMonitor_BuildTrap

trigger NODE_UNREACHABLE

trigger ICMP_TIMEOUT

trigger NET_UNREACHABLE

trigger PORT_UNREACHABLE

10 trigger SNMP_TIMEOUT

trigger RESPONSE

exportSeverityMap contains 5 items:

severity Normal

severity Critical

15 severity Major

severity Minor

severity Warning

exportRuleMap contains 0 items:

exportOpcMaskMap contains 0 items:

20 exportPerlSubMap contains 16 items:

66522722450

perl subroutine Test

perl subroutine SA_Parameters_TestScript

perl subroutine SA_NodeMonitor_RecoverHPOV

perl subroutine SA_NodeMonitor_NotifyHPOV

5

perl subroutine SA_NodeMonitor_BuildTrap

perl subroutine SA_ifEntry_C2Rate_Util

perl subroutine SA_CorrEnt_VerifyNode

perl subroutine SA_CorrEnt_RecoverHPOV

perl subroutine SA_CorrEnt_PATROLLERID

10

perl subroutine SA_CorrEnt_NotifyHPOV

perl subroutine SA_allTraps_EventCodeScreen

perl subroutine PatrolTrapsAlarm

perl subroutine SA_SnmpStatus_RecoverHPOV

perl subroutine SA_SnmpStatus_NotifyHPOV

15

perl subroutine SA_IcmpStatus_RecoverHPOV

perl subroutine SA_IcmpStatus_NotifyHPOV

DEVELOPMENT ENVIRONMENT

Configuration Strategy Proposal and Prioritization

Some of the problems with Phase 1 are:

- 5 a) Multiple configuration files
- b) Multiple variables for the same path/file
- c) Utilization of different script languages

10 This section addresses proposed solutions for the development of a better configuration facility. These include the utilization of Perl as the scripting language, exclusively. It also includes the use of one runtime configuration file, and common methods across platforms.

Body Section

15 One Configuration File

Maintainability

Having one file will create an environment that is more easily maintained because all the information will be in one file. There will be no confusion where things reside and where they need to be changed, if necessary.

20

Configurability

5

Again, with one file and a common file structure, the system is more easily portable.

10

LOG=

$$\mathbf{I} \mathbf{N} =$$

OUT=

15 TEMP=

EXEC=

SYS=

20 LOG=LG (may add 0-9, A-Z, or both)

$$\mathbb{N}=\mathbb{N}$$

OUT=OT

TEMP=TP

[Runtime Extension Sequence]

5 SEQ=0-Z...(0-9) or (A-Z) or (0-Z) to be determined

[Application Paths]

APPS=SPSS, HPOVNNM, PATROLLER, ECM, COLLECTOR, WEB...

10 [SPSS]

.

.

.

[HPOVNNM]

15 .

.

.

[PATROLLER]

.

20 .

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Consistency

- ## 10 Maintainability

Portability

- Note:**

20 1) All scripts are written in Perl

- If so, we can set definitions with an environment variable.

Common Code/Methods

Use common cross-platform items (e.g. environment variables, command line arguments, files, DOS, Unix, NT, and 95 ANSI C compliant).

- 5 Through the use of an `initiate_setup` script we could pull in the configuration file.

Common Scripting Language – Perl

- 10 The use of a common scripting language will help contribute to a common prep command if needed. Additionally, the barriers associated with `awk`, `sed`, and `c-shell` scripts will be avoided, providing improved maintainability. Any scripting changes that might be required cross-platform will be minimized. The knowledge needed to work with the scripts is minimized as well as the time associated with ramp-up, configuration, troubleshooting and testing.

- 15 File type/configuration file type/file location

This would help with platform independence. The configuration file would reside in a database, like Oracle, as a table. It would then be pulled into a file format. The format would depend on the platform.

- 20 **Priority**

The following priority list is generated by what value each item will bring to the project.

- 1) 1 common file

- 2) Pull in the configuration file (file name independence, directory independence)
- 3) Common Code/Methods
- 4) Separate variables (remove multiples)
- 5) All common script languages
- 5 6) File type/configuration file type/file location

The IPSA project will gain the greatest value by implementing procedures and changes that make significant improvement over the current situation without jeopardizing deliverable deadlines.

10

Determination

One configuration file. SACCommon.pm located in the /sa/usr/mod directory with a symbolic link from /usr/local/lib/perl5/site_perl on twmmdb02, nsmmws09 and nsmmws16. Variables are defined as shown in Table KK. With the use of this
15 module, each script that references it must also contain a "use" command and each variable must be referenced appropriately. See Table LL.

Table KK – SACCommon variable definition example

#These variable is used with the data retrieval script

20

\$NodeList="/sa/dev/glueware/bin/node_list.def";

\$DestDir="/sa/dat";

\$DestHost="twmmdb02";

```
$CopyCommand="rcp -p";
```

Table LL (the bold commands are examples used with SACommon.pm)

```
use SACommon;
```

5

```
open (NODE_F, $SACommon::NodeList) || die "error could not open  
$SACommon::NodeList";
```

Table 33 - Identify and Describe Requirement

Description:Development Environment Requirements
Scenarios:
Type:
Business Process Flow:
Overall Rating:
Business Need Desc:
Affected Parties:
Project Sponsor:
Existing/New:
External Dependencies:
Method for Verification:

In Scope:

Complete after Requirements are Scheduled into a Release

Table 34

Increment:

App/Subsyst Desc/Flow:

- 5 Required if Modifications are made after the Requirement has been signed-off

Table 35

Change Request:

General Information

- 10 The SA internal Initiative is using a homegrown version control system that is based on sccs. It is anticipated that future releases will use cccHarvest for both version controlling and configuration management.

Requirements for the Development Environment

- *The ability to check in and check out files so that only one person is editing a file at a time*
- 5 • *The following will be tracked on each file that is being version controlled: date of creation, date of last modification, version, and change history (annotated with date, rev, user, and comments).*
- *The ability to associate revision numbers with development environment (the environment can be set to 'dev', 'tst', or 'prd'). This make is it make it possible*
10 *to develop multiple releases at one time.*
- *The ability to retrieve previous versions of a component or sub-component for either edit or review.*
- *Support for multiple development languages.*
- *Ability for users to operate in a separate environment. This includes operations*
15 *on the users 'own' test data and executables.*
- *Backup and recovery of source code, documentation, test data, etc...*
- *Tools to aid in the debugging of components and sub-components. This would include generation of test data and unit test conditions.*
- *Documentation for users on how to use the tools under different conditions and*
20 *situations.*
- *Ability to tie SIR or defect number to all components and sub-components that are.*
- *Ability to migrate components and sub-components between environments.*

- *Documented coding standards for each type of development language used).*
- *Provide shells as a starting point for each coding language used.*
- *Strategy for software distribution.*

5 Determine Release Strategy Requirements

From the /sa directory we will extract the version of each file that has been tagged as production.

Determine Installation Strategy Requirements

- 10 Installation of an exemplary Service Assurance Toolkit can be broken down into two parts.

1. Installation and configuration of software.

- Network Node Manager
- Event Correlator and Manager
- 15 • Collector
- Patroller
- Database Software
- Telalert
- Server Software
- 20 • SPSS
- Internet Information Server

5

- This includes variables that are local to each script as well as global variables from the SACCommon.pm Perl Module. Also, care should be taken regarding hard coded environment specific information in each script.

10

15

20

tst

This is the primary testing environment. All code once successfully component tested will be migrated to the /tst directory for assembly level testing. Successful assembly testing will result in the promotion of the code to the /prd directory. Unsuccessful testing of the code will result in the demotion of the code to /dev for bug fixes.

prd

This is the primary production directory. All code that has passed both component and assembly testing will be promoted to the /prd directory. If a bug is found in the production environment the code will be demoted to either /tst or /dev for bug fixing.

Determine Implementation Language

Perl is recommended as the implementation language for phase 2. Perl is recommended for the following reasons:

- Excellent support of text processing
- Widely used
- Extremely flexible
- Efficient executables
- Free
- Many support libraries exist
- Maintainable
- ECM already uses perl

Determine Change Control Requirements

Change Control requirements include:

- 5
 - Outline the proper communication channels to ensure prompt and efficient change implementation
 - Ability to view:
 - All tasks
 - 10
 - Downtime tasks only
 - Tasks by assigned to
 - Tasks by affected groups
 - Tasks by current status
- 15
 - Identify the appropriate information required for a change to be handled effectively
 - Assigned to
 - Task description
 - 20
 - Priority (1, 2, 3)
 - Start Date

- Complete Date
- Operations Group or Area creating the request (Sales & Marketing, Product Development..)
- Status of request (new, on hold, scheduled, work done, completed)
- 5 • Effects (downtime, limited use, no effects on use)
- Time Range
- Affected Components and Groups
- Type (Removal, upgrade, new install, configuration change)
- Additional Information (contacts, requirements, implementation....)
- 10 • Creating Author
- Creation Date
- Last Revised By
- Date Last Revised
- 15 • Allow change in the development environment while maintaining system stability
- Schedule downtime to minimize service outage
- 20 Determine Incident Reporting Requirements

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Incident Management needs to:

- facilitate the review, approval or rejection, and prioritization of changes
- facilitate communication between development cells to ensure that changes are implemented correctly and migrated to the proper environment in a timely manner.
- Allow updates/fixes in the development environment while maintaining system stability
- Schedule downtime to minimize service outage
- sort tickets by:
 1. Current Status
 2. Person assigned the task
 3. Incident ticket number
- identify the appropriate information required for a incident to be handled effectively
 1. Incident Number
 2. Current Status (open, new, approved, disapproved)
 3. Priority (low, medium, high, emergency)
 4. People/Groups affected
 5. Target Completion Date
 6. Comments

- Include sections for Origination (initial information when ticket is logged), Analysis (information obtained after reviewing the incident ticket), and Implementation (information gathered during implementation)

Determine Backup/Recovery Requirements

15

The following directory structure will be used to store code and configurations on the unix servers. See also Figure 32, which illustrates an exemplary data structure

20

/dev

5

10

```
/usr
```

/mod

/vcs

15

/bin

```
/source
```

The Directories /dev /prd and /tst correspond to the build and test environments discussed earlier. Below each environment directory there will be a data directory called /dat. /dat will contain the following directories:

/archive—Directory to store any files that are compressed and no longer essential to development.

/log—Log files that are created during the processing cycle.

/process—Files that are currently in the processing cycle.

5 /tmp—Temporary location to store files that have finished being processed.

Beneath /glueware there will be /bin and /cfg. /bin stores the binary 'glueware' files. Glueware is the term for custom scripts to tie together the packaged applications.

/cfg holds any application configuration files. The /usr directory holds user command as well as the /mod directory for perl modules. /vcs holds the /bin,

10 executables for the version control system, and the /source directory for storing the version controlled files.

Table 36 - Identify and Describe Requirement

Description: Hardware inventory, Software install locations, and memory/disk-space reqs
Scenarios:
Type:
Business Process Flow:
Overall Rating:
Business Need Desc:
Affected Parties: Service Assurance Team
Project Sponsor: Network Line of Business
Existing/New:

External Dependencies:
Method for Verification:
In Scope:

Complete after Requirements are Scheduled into a Release

Table 37

Increment:
App/Subsyst Desc/Flow:

- 5 Table 38 - Required if Modifications are made after the Requirement has been signed-off

Change Request:

Scope

- 10 This section is intended to list the hardware inventory, software instalation locations, and software requirements of the Service Assurance development test network. This section will summarize the detailed findings of an Excel workbook that is accessible in:

Functional Repository→Capability Analysis→Hardware/Software Expense

Table 39

Service Assurance Application Memory and System Requirements		
Program	Suggested Memory Requirements	Suggested System Requirements
Collector	<p>RAM:</p> <ul style="list-style-type: none"> Object Server: 50 Mbytes per server (dependant upon number of events in the Object server) Desktops: 10Mbytes per desktop (standard user), 15 Mbytes per desktop (standard user plus objective view), 20 Mbytes per desktop (above plus administrator tools) Probes: 5 Mbytes per probe 	<p>Hardware Requirements:</p> <ul style="list-style-type: none"> SUN Workstations: will run all components of Collector. SPARC 20 or better, Ultra 1 or better, with appropriate RAM and disk. (recommended system for desktop only: SPARC 5 or better. HP Workstations: will run all components of Collector. C110 workstation or better, D230, K210, T520 servers or better, with appropriate RAM and disk. AIX Workstations: AIX v3.2 will run Generic, NetView and Syslog probes, with appropriate RAM and disk. AIX 4.1.2 and above will run all 3.2.1 components. NT Workstations: NT probes and EventList at present, with appropriate RAM and disk. <p>Disk Space:</p> <p>The following table lists the amount of disk storage required to store components of the Collector system. In some cases, further economies may be possible, i.e., by discarding unused probes.</p>

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Service Assurance Application Memory and System Requirements

Program	Suggested Memory Requirements	Suggested System Requirements							
	<ul style="list-style-type: none">Gateways: 15 Mbytes per gatewayJava Event List: 5 Mbytes per daemonWeb server: 2.5 Mbytes per user (typical web server, will vary)	Platform/OS	Common Files	Desktop	Object Server	Process Control	Gate way	Probes (all)	JEL daem on
		SunOS 4.1.x	0.7 M	30 M	1.8 M	1 M	1.5 M	19 M	7 M
		Sun Solaris 2.x	0.9 M	12 M	2.5 M	1.4 M	2.0 M	23 M	7 M
		HP-UX 9.07	0.8 M	11 M	1.9 M	1 M	1.6 M	18 M	7 M
		HP-UX 10.10 and 10.20	0.8 M	11 M	2.0 M	1 M	1.6 M	16 M	7 M
		AIX	0.8 M	-	-	1.5 M	-	4 M	-
		Windows NT	-	-	-	-	-	6 M	-
		In addition 10 – 20 Mb should be allowed for logging space on systems running Gateways, Object Servers, Probes or Process Control.							
Reporter	It is recommended that you run the Collector server with a minimum of 128 MB and a maximum of 256 MB of memory. These values recommendations but								

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Service Assurance Application Memory and System Requirements

Program	Suggested Memory Requirements	Suggested System Requirements
	<p>if you have the potential for large amounts of data to report on, this is going to be vital to the efficiency of the application. This section describes what action to take on the server if you do not have these values set and you are getting memory errors while running Collector /Reporter. See page 32 of the Admin/user guide of Reporter for making adjustments to memory for UNIX.</p>	

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Service Assurance Application Memory and System Requirements		
Program	Suggested Memory Requirements	Suggested System Requirements
PATROLLERLER	The PATROLLERLER Console should be run on a machine with at least 64 MB of memory.	<p>CPU and Operating System Requirements:</p> <p>Sun SPARC; Solaris2; Min version 2.4; Solaris2-sun4</p> <p>Sun SPARC; Solaris2; Min version 2.5; Solaris25-sun4</p> <p>nls is a system prerequisite for Sun O/S 4.xx installations</p> <p>Disk Space:</p> <ul style="list-style-type: none"> Each PATROLLERLER Console requires about 20 MB of disk space. The Console also requires an additional 31 MB of disk space for the supporting files such as icon images and online help files. You will need an additional 27 MB of disk space if you choose to install the optional background images for European country maps. Each PATROLLERLER Agent requires about 10 MB of disk space. Each PATROLLERLER Event Manager (PEM) Console requires about 5 MB of disk space. If the PEM Console is installed independently of the PATROLLERLER Console, then an additional 24 MB of disk space is required for the supporting files such as icon images and online help files. PATROLLERLER Module space requirements vary. The installation script furnishes an estimate of each module's requirements:

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Service Assurance Application Memory and System Requirements		
Program	Suggested Memory Requirements	Suggested System Requirements
Event Correlator and Manager	<p>ii.</p> <ul style="list-style-type: none"> 48 MB for the server 32 MB for the client <p><u>NT</u></p> <ul style="list-style-type: none"> 32 MB RAM 	<p>Hardware Configuration: (minimum UNIX)</p> <ul style="list-style-type: none"> 200 MB disc space color monitor 1024 x 768 Solaris 2.5.1 or HP/UX 10.20 <p>Hardware Configuration: (minimum NT)</p> <ul style="list-style-type: none"> P5-166 Intel Processor, 40 MB disc space, color monitor 1024 x 768 Microsoft Windows NT 4.0 <p>Note: Supports the following:</p> <ul style="list-style-type: none"> OpenView Network Node Manager – versions 4.11 and 5.01 OpenView IT/Operations – version 4.0 for HP-UX
HP OpenView Unix	iii.	<p>Unix</p> <p>Computer:</p>

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Service Assurance Application Memory and System Requirements		
Program	Suggested Memory Requirements	Suggested System Requirements
Version 5.01 NT Version 5.02	<ul style="list-style-type: none"> • 64 Mbytes recommended minimum • 32 Mbytes minimum for NNM 250 <p>Note: The amount of RAM in your NNM management station should be based on the number of nodes which you wish to manage. Additional RAM may also be required to run third-party OpenView applications on top of NNM. See the Network Node Manager Performance and Configuration Guide for assistance in calculating for the optimum amount of</p>	<ul style="list-style-type: none"> • Use one of the following computers as the NNM Management Station. • HP 9000 Series 700 • HP 9000 Series 800, J and K models • Sun SPARCstation 5,10,20,2000 • Sun SPARCclassics • Sun Servers <p>Graphics Display</p> <ul style="list-style-type: none"> • X Terminal or Workstation graphics display with <ul style="list-style-type: none"> • 1280 x 1024 resolution, 8 color planes (recommended) • 1024 x 768 resolution, 6 color planes (minimum) • 20" display <p>Installation Device</p> <ul style="list-style-type: none"> • CD-ROM drive

Service Assurance Application Memory and System Requirements		
Program	Suggested Memory Requirements	Suggested System Requirements
	<p>RAM.</p> <ul style="list-style-type: none"> 32 Mbytes of RAM to manage 250 nodes and, 48 Mbytes of RAM to manage up to 2500 nodes. <p>Note: You will need to have a minimum amount of paging file size (available virtual memory) configured. If NNM is being installed as a remote console, Paging Files is checked to be at least 50 Mbytes. If this is not a remote console installation, 60 Mbytes will be the minimum.</p>	<p>Disk Space</p> <ul style="list-style-type: none"> The minimal disk space for NNM installation is shown below <ul style="list-style-type: none"> HP-UX 9.x – 85 Mbytes HP-UX 10.x – 85 Mbytes Solaris 2.x – 130 Mbytes <p>Operating System</p> <ul style="list-style-type: none"> One of the operating systems listed below must be running on the NNM mgmt system. <ul style="list-style-type: none"> HP-UX 9.0-9.07 (9.x) HP-UX 10.01, 10.10, and 10.20 (10.x) Solaris 2.4 and 2.5.x <p>Networking Subsystem</p> <ul style="list-style-type: none"> The appropriate TCP/IP networking subsystems (e.g. LAN Link, ARPA Services) found within the operating system must be installed and configured to yield TCP/IP network connectivity

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Service Assurance Application Memory and System Requirements		
Program	Suggested Memory Requirements	Suggested System Requirements
		<p>connectivity.</p> <p>Windowing Subsystem</p> <ul style="list-style-type: none">• HP-UX: X Windows/Motif• Solaris: OpenWindows <p>SNMP Agent</p> <p>The NNM management station must be running an SNMP agent. An SNMP agent is shipped with NNM for HP-UX 9.x and Solaris systems, and is automatically installed when installing NNM. HP-UX 10.x systems use the SNMP agent shipped with the operating system.</p> <p>NT</p> <p>Operating System</p> <ul style="list-style-type: none">• You should be running Windows NT 3.51 or Windows NT 4.0 for NNM or higher to run successfully

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Service Assurance Application Memory and System Requirements		
Program	Suggested Memory Requirements	Suggested System Requirements
		<p>Graphics Display</p> <ul style="list-style-type: none"> Your screen resolution must be at least 600 x 800 to support NNM display objects. <p>Networking Subsystem</p> <ul style="list-style-type: none"> You should have TCP/IP services installed.
Platinum Technology CCC/Harvest	Server <ul style="list-style-type: none"> 16 Mb main memory It is recommended that 2 Mb of virtual memory is allocated for each 	v. VCI (important component of CCC/Harvest) <ul style="list-style-type: none"> Microsoft Windows 95 or Windows NT Tool that supports Microsoft's Common Source Code Control (SCC) Interface. Following is a partial list of SCC-compliant tools: <ul style="list-style-type: none"> Visual C++ 4.2 and 5.0 Visual Basic 4.0 and 5.0 Visual J++ 1.1 Paradigm Plus 3.5.1

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Service Assurance Application Memory and System Requirements		
Program	Suggested Memory Requirements	Suggested System Requirements
	<p>user</p> <p>Client (Solaris)</p> <ul style="list-style-type: none"> SPARCstation or SPARCserver running Solaris 2.5 (SunOS 5.5) or with X-Windows System Version 11R5. <p>Approximately 50 MB of disk space is required for the installation process of the CCC/Harvest product files.</p> <p>vi</p>	<ul style="list-style-type: none"> PowerBuilder 5.0.03 <p>Unix</p> <ul style="list-style-type: none"> CD-ROM drive, 8 mm tape drive, 4 mm DDS cartridge, or ¼-inch cartridge tape drive Oracle RDBMS version 7.3 or beyond, including the following options: <ul style="list-style-type: none"> SQL*Plus, PL/SQL, SQL*Loader, Pro-C, SQL*Net <p>Note: HP-UX 10 requires Oracle 7.3.4 or beyond.</p> <p>NT</p> <ul style="list-style-type: none"> IBM-compatible computer with a 486, or Pentium processor Network connection to a Unix or Windows NT-based server using the TCP/IP protocol
	Server	

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Service Assurance Application Memory and System Requirements		
Program	Suggested Memory Requirements	Suggested System Requirements
	<ul style="list-style-type: none">• At least 12 Mb of free hard drive space• A minimum of 32 Mb main memory. The Oracle database and CC/Harvest Broker together require about 14 Mb, with an additional 3.5 Mb for each server process. <p>Client</p> <ul style="list-style-type: none">• At least 8 Mb of RAM, 16 recommended.• Win95 or WinNT – minimum of 14 Mb of hard disk space	

Service Assurance Application Memory and System Requirements			
Program	Suggested Memory Requirements	Suggested System Requirements	
TelAlert		<p>SERVERS:</p> <p>AIX (IBM RS/6000)</p> <p>AT&T GIS Unix SVR4 MP-RAS (AT&T/NCR System 3000)</p> <p>Digital Unix (Alpha)</p> <p>DYNIX/ptx (Sequent)</p> <p>HP-UX (9.x /10.x Series 300,400,700 and 800)</p> <p>Linux</p> <p>MIPS ABI</p> <p>SCO Unix</p> <p>SGI Irix</p> <p>Solaris (Sun Sparc)</p> <p>SunOS (Sun Sparc)</p> <p>Windows NT</p> <p>CLIENTS:</p> <p>All of the above, as well as:</p> <p>MPE/V (HP 3000)</p>	

Service Assurance Application Memory and System Requirements		
Program	Suggested Memory Requirements	Suggested System Requirements
		MPE/iX (HP 3000) OpenVMS (Alpha) OpenVMS (VAX) Platforms which are supported for clients, but are not delivered on the standard TelAlert distribution include: OS/400 MVS

Exemplary Patroller Configuration

Table 40 - Consoles + Agents/Modules:

<u>Host Name</u>	<u>Machine Type</u>	<u>Host ID</u>	<u>IP Address</u>
nsmmws16	Sun Sparc 20	7235a79f	149.122.57.25
nsmmws09	Sun Sparc 10	7260a126	149.122.57.56

5

Table 41 - Agents/KMS only:

<u>Host Name</u>	<u>Machine Type</u>	<u>IP Address</u>
------------------	---------------------	-------------------

nsmmws17	Sun Sparc 5	149.122.57.26
nsmmws18	Sun Sparc 5	149.122.57.26
nsmmws01	Sun Sparc 5	149.122.57.68
nsmmpc56	Compaq LTE5300	*149.122.57.178
nsmmpc23	Compaq LTE5300	*149.122.57.247
nsmmpd39	Compaq LTE5300	*149.122.57.221
nsmmpc39	Compaq XL6150	*149.122.57.180
nsmmpd48	Compaq XL6150	*149.122.57.223
nsmmpd93	Compaq XL6150	*149.122.57.234
mpclc1005	Compaq Proliant 2500	149.122.61.29
mpclc1006	Compaq Proliant 2500	149.122.61.30
nsmmnt01	Compaq Proliant 2500	149.122.61.24
nsmmnt02	Compaq Proliant 2500	149.122.62.12
nsmmnt03	Compaq Proliant 2500	149.122.57.37
nsmmnt04	Compaq Proliant 2500	149.122.57.38

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* - Denotes a dynamic (DHCP supplied) IP address

Determine Backup/Recovery Requirements

- 5 This section will list Service Assurance's responsibilities to an exemplary network to insure timely backup and recovery.

Body Section

- 10 Changes to monitoring and backups should be logged as a trouble ticket with the computer related service center.

An individual from the project should be identified to receive backup completion notices. These notices are mailed at the completion of each nightly backup cycle. This person should then verify that all Service Assurance servers were adequately backed up the previous night.

15

SA Environment Build Index

- 20 This section provides an overview of exemplary steps to build the Service Assurance environment. The procedures are presented as an ordered list, and unless denoted by '*', should be performed in their respective order. Procedures denoted by '*' are independent, and can be performed out of order with respect to other procedures at their respective level in the hierarchy.

Network Management Station System Build

```
5      Create 'noc' user
```

Install HP OpenView Network Node Manager (NNM)

Patroller Product Licensing

Install PatrolView

Install ECM

15 Telalert Installation & Configuration *

Install Oracle *

Install Perl Modules *

20 Install custom scripts

EHS component test

NA component test

PA component test

SA component test

10 RS component test (Reporting Test Cases.doc)

This is a short explanation of the steps and commands recommended to move the /sa directory structure from one machine to another.

Use the unix tar command to create an archive of /sa

```
20 Copy or FTP the files to the destination machine.
```

Use the unix tar command to extract the archive for netman and vcs.

ex: tar -xvfp <tar_file> REMEMBER: This will keep the relative directory structure that was used when creating the archives. Also, all untarred files will have the correct permissions and will take the uid and gid of the user issuing the untar command.

NSF mount the /sa directory into the root of other directories.

Confirm that the vcs/bin directory is in the noc users path.

10 **Remote Shell & .rhost Configuration**

This section will be a very brief overview of starting a remote shell between unix servers.

Body Section

- 15 The .rhosts file is used to control access between unix servers also known as remote authentication (see also /etc/hosts.equiv).

The .rhosts file is placed in the root of the noc users home. This will allow noc to rlogin from the specified host without a password.

Example: The .rhosts file on twmmdb02 contains the following line:

20 nsmnm09 + noc

This allows the local noc account on nsmnm09 to connect to twmmdb02 using rlogin and no password. Also, we could explicitly deny access to the local noc user on nsmnm09 with the line:

nsmmm09 – noc

Solaris System Build

- 5 Depending on the policies in place at the client, the system build and administration will very likely be handled exclusively by their operations group. For this reason guidelines are provided here that should be followed, but specific instructions will not be provided.

- 10 This section specifically discusses Sun Solaris 2.x systems. Specifics will be different, but the concepts and processes are similar for HP-UX 10.x and higher.

Body Section

Hardware Requirements

- 15 The base requirements to run all of the Service Assurance applications concurrently on one Sun Solaris system are:

- Sun Ultra 2 server
- Dual 200 MHz (or faster) CPUs
- 768 MB RAM
- 2 - 4GB Internal SCSI disks (mirrored) for system
- 20 • ~20 GB external disk storage (mirrored and striped)

Having a single CPU system should not be considered due to the high number of applications and processes that will be running on the system. Based on prior experience, lower amounts of system memory can severely degrade performance.

External disk storage will vary based on the size of the environment being monitored. The 20 GB listed above should be sufficient for a medium sized environment (~500 monitored nodes).

5 Hardware Setup & Configuration

As discussed in the requirements section, dual (or more) CPUs and a large amount of physical RAM are crucial to the performance of the system. Mirroring of the system disk is critical for maintaining availability of the Service Assurance system. Mirroring and striping is crucial on the external drives to provide the performance and throughput required by the real-time data gathering portions of the system.

OS Install

Install the Base OS

Install the Solaris 2.x operating system as explained in the Solaris manual or the Teleworks – NSM procedure. You will want to ensure that the system has adequate swap space on the system disks.

Install recommended and security patches

You will want to install any recommended vendor patches so that you have an up to date system.

20 Install any patches that are required by applications

Some of the applications you will be installing may require specific operating system patches. If this is the case, it is easier to install the patches immediately.

Details of using the Version Control System (VCS)

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- The VCS should only be used on files that use '#' to denote comments. This is due to the vcs header being framed with '#'.
- Ownership of the files will be noc:twsa, twsa is a nis group to which members of the Service Assurance team belongs.
- 5 • The ability to check in and check out files so that only one person is editing a file at a time. A lock file is created in the /sa/vcs/source which controls usage.
- When a file is checked in; its file permissions are 544.
- When a file is checked out; its file permissions are 744.
- Each time a file is checked out, edited and checked back in; the revision of that
10 file is incremented. For Example, when version 1.9 is checked back in it becomes version 1.10.

Applications that will exist as part of the Version Control System

15 vcsclear

Clears a file lock so the file can be edited or restored by a person other than the person who checked it out. This command should be used rarely and only as required for code maintenance.

20 vcsedit

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5

10 vcsget

15

20

This is the primary command for checking a file back into the vcs after editing or when it is first created. The file permissions are reset and the lock file is removed.

Examples of using the VCS.

Creating a new file that needs to be version controlled.

```
prompt% vi foo.pl
```

Create the file and add content. Save the file and exit.

5

```
prompt% vcsput foo.pl
```

The file is added to the vcs for the first time. User will be prompted for username and a comment. The file permissions are updated and a record file is started.

10

```
prompt% vcsedit foo.pl
```

This creates a lock file for foo.pl and the user can now safely edit the file.

Informational Commands of the vcs

```
prompt% vcsinfo foo.pl
```

15 Indicates whether a record file currently exists and if it is locked or not.

```
prompt% vcsenv foo.pl
```

Indicates which version of a file belongs in which environment. Also, allows the user to make changes to environment and version association.

20

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Other vcs commands

prompt% vcsclear foo.pl

5 A previous user forget to check a file back in after a vcsedit command. This allows the lock file to be cleared so the next user can edit the file. Changes to the file from the previous user are lost.

prompt% vcsget foo.pl

10 A user wishes to work on a copy of a file in their home account for testing purposes. This will create a copy of the latest version of the file in the current directory without locking the file. If the file is later copied back into the development environment care must be taken not overwrite the version of the file already there.

15 While various embodiments have been described above, it should be understood that they have been presented by way of example only, and not limitation. Thus, the breadth and scope of a preferred embodiment should not be limited by any of the above described exemplary embodiments, but should be defined only in accordance with the following claims and their equivalents.

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